Circle Packing for Origami Design Is Hard

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1 Introduction

Over the last 20 years, the world of origami has been changed by the introduction of design algorithms that bear a close relationship to, if not outright ancestry from, computational geometry. One of the first robust algorithms for origami design was the circle/river method (also called the tree method) developed independently by Lang [7–9] and Meguro [12, 13]. This algorithm and its variants provide a systematic method for folding any structure that topologically resembles a graph theoretic weighted tree. Other algorithms followed, notably one by Tachi [15] that gives the crease pattern to fold an arbitrary 3D surface.

Hopes of a general approach for efficiently solving all origami design problems were dashed early on, when Bern and Hayes showed in 1996 that the general problem of crease assignment — given an arbitrary crease pattern, determine whether each fold is mountain or valley — was NP-complete [1]. In fact, they showed more: given a complete crease assignment, simply determining the stacking order of the layers of paper was also NP-complete. Fortunately, while crease assignment in the general case is hard, the crease patterns generated by the various design algorithms carry with them significant extra information associated with each crease, enough extra information that the problem of crease assignment is typically only polynomial in difficulty. This is certainly the case for the tree method of design [3].

Designing a model using the tree method (or one of its variants) is a two-step process: the first step involves solving an optimization problem where one solves for certain key vertices of the crease pattern. The second step constructs creases following a geometric prescription and assigns their status as mountain, valley, or unfolded. The process of constructing the creases and assigning them is definitely polynomial in complexity; but, up to now, the computational complexity of the optimization was not established.

There were reasons for believing that the optimization was, in principle, computationally intractable. The conditions on the vertex coordinates in the

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optimization can be expressed as a packing problem, in which the packing objects are circles and "rivers, (which are curves of constant width) of varying size. It is known that many packing problems are, in fact, NP-hard, and our intuition suggested that this might be the case for the tree method optimization problem.

In this paper, we show that this is, in fact, the case. The general tree method optimization problem is NP-hard. In the usual way with such problems, we show that any example of 3-Partition can be expressed as a tree method problem. At the same time, we show that deciding whether a given set of circles can be packed into a rectangle, an equilateral triangle, or a unit square are NP-hard problems, settling the complexity of these natural packing problems. On the positive side, we show that any set of circles of total area 1 can be packed into a square of edge length $\frac{4}{\sqrt{\pi}} = 2.2567...$

2 Circle-River Design

The basic circle-river method of origami has been described in [3,9]; we briefly recapitulate it here. As shown in Figure 1, one is presented with a polygon P', which represents the paper to be folded, and an edge-weighted tree, T, which describes the topology of the desired folded shape. The design problem is to find the crease pattern that folds P' (or some convex subset) into an origami figure whose perpendicular projection has the topology of the desired tree T and whose edge lengths are proportional to the edge weights of T. The coefficient of proportionality m between the dimensions of the resulting folded form and the specified edge weights is called the scale of the crease pattern. The optimization form of the problem is to find the crease pattern that has the desired topology and that maximizes the scale m.

Formally, the problem can be expressed as follows. There is a one-to-one correspondence between leaf nodes $\{n_i\}$ of the tree T and leaf vertices $\{v_i\}$ of the crease pattern whose projections map to the leaf nodes. We denote the edges of T by $\{e_j\}$ with edge weights $w(e_j)$. For any two leaf nodes $n_i, n_j \in T$, there is a unique path $p_{i,j}$ between them; this allows us to define the path length $l_{i,j}$ between them as

$$l_{i,j} \equiv \sum_{e_k \in p_{i,j}} w(e_k). \tag{1}$$

We showed previously [9] that a necessary condition for the existence of a crease pattern with scale m was that for all leaf vertices,

$$|v_i - v_j| \ge m l_{i,j},\tag{2}$$

and subsequently, that with a few extra conditions, Equation 2 was *sufficient* for the existence of a full crease pattern (and we gave an algorithm for its construction). The largest possible crease pattern for a given polygon P', then, can be found by solving the following problem:

optimize
$$m$$
 subject to
$$\begin{cases} |v_i - v_j| \ge m l_{i,j} \text{ for all } i, j \\ v_i \in P' \text{ for all } i \end{cases}$$
 (3)

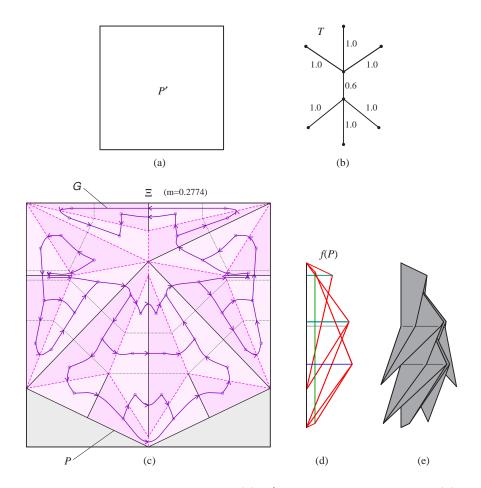


Figure 1: Schematic of the problem. (a) P' is the paper to be folded. (b) T is an edge-weighted tree that describes the desired shape. (c) A solution to the optimization problem, showing creases and the ordering graph on the facets. (d) An x-ray view of the folded form. (e) A visual representation of the folded form.

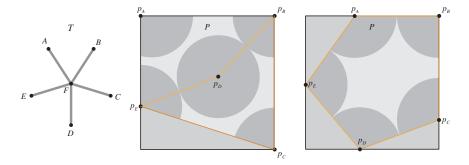


Figure 2: A star tree and two possible solutions for the leaf vertices. Each solution corresponds to a packing of the circles centered on the leaf vertices.

There is a simple physical picture of these conditions: if we surround each vertex by a circle whose radius is the scaled length of the edge incident to its corresponding leaf node and, for each branch edge of the tree, we insert into the crease pattern a curve of constant width (called a river) whose width is the scale length of the corresponding edge, then Equation 3 corresponds exactly to the problem of packing the circles and rivers in a non-overlapping way so that the centers of the circles are confined to the polygon P' and the incidences between touching circles and rivers match the incidences of their corresponding edges in the tree T.

A special case arises when there are no rivers, i.e., in the case of a star tree with only a single branch node, as illustrated in Figure 2. In this case there are no rivers, and the optimization problem reduces to a single packing of circles, one for each leaf node, whose radius is given by the length of the edge incident to the corresponding node.

Thus, several problems in origami design can be reduced to finding an optimum packing of some number of circles of specified radii within a square (or other convex polygon). Several examples of such problems (and their solutions) are described in [10].

We now show that this circle-packing problem is NP-complete.

3 Packing and Complexity

Problems of packing a given set of objects into a specific container appear in a large variety of applied and theoretical contexts. Many one-dimensional variants are known to be NP-complete, e.g., BIN PACKING, where the objective is to pack a set of intervals of given lengths into as few unit-sized containers as possible. A special case of BIN PACKING that is still NP-hard is 3-PARTITION, where an instance is given by 3n numbers x_i with $1/4 < x_i < 1/2$, and $\sum_{i=1}^{3n} x_i = n$. Clearly, n unit-sized containers suffice for packing the object, iff there is a partition of the x_i into n triples that each have combined weight 1; hence the

name 3-Partition. An important property of the problem is that it is *strongly* NP-complete: it remains hard even if there is only a constant number of different values x_i [6].

Like their one-dimensional counterparts, higher-dimensional packing problems tend to be hard. Typically, the difficulty arises from complicated container shapes (e.g., a non-simple polygon to be filled with a large number of unit squares), or complicated objects (e.g., rectangles of many different sizes to be filled into a square, which is a generalization of BIN PACKING.) This does not mean that packing simple objects into simple containers is necessarily easy: for some such problems it is not even known whether they belong to the class NP. One example is the problem PALLET LOADING of deciding whether n rectangles of dimensions $a \times b$ can be packed into a larger rectangle of dimensions $A \times B$, for positive integers n, a, b, A, B: it is open whether the existence of any feasible solution implies the existence of a packing that can be described in space polynomial in the input size $\log n + \log a + \log b + \log A + \log B$, as the two different orientations of the small rectangles may give rise to complicated patterns. (See Problem #55 in The Open Problems Project, [4].)

None of these difficulties arises when a limited number of simple shapes without rotation, in particular, different squares or circles are to be packed into a unit square. Leung et al. [11] managed to prove that the problem Square Packing of deciding whether a given set of squares can be packed into a unit square is an NP-complete problem. Their proof is based on a reduction of the problem 3-Partition mentioned above: any 3-Partition instance Π_{3p} can be encoded as an instance Π_{sp} of Square Packing, such that Π_{sp} is solvable iff Π_{3p} is, and the encoding size of Π_{sp} is polynomial in the encoding size of Π_{3p} . Membership in NP is not an issue, as coordinates of a feasible packing are integers of description size polynomial in the encoding size of Π_{sp} .

In the context of circle/river origami design, we are particularly interested in the problem of CIRCLE PACKING: given a set of n circles of a limited number of different sizes, decide whether they can be packed into a unit square. More precisely, we are interested in CIRCLE PLACEMENT: given a set of n circles, place the circle centers on the paper, such that the overall circle layout is non-overlapping. Clearly, this feels closely related to SQUARE PACKING, so it is natural to suspect NP-completeness. However, when packing circles, another issue arises: tight packings may give rise to complicated coordinates. In fact, the minimum size C_n of a $C_n \times C_n$ square that can accommodate n unit circles is only known for relatively moderate values of n; consequently, the membership of CIRCLE PACKING in NP is wide open. (At this point, n=36 is the largest n for which the exact value of C_n is known; see [14] for the current status of upper and lower bounds for $n \leq 10,000$.)

Paradoxically, this additional difficulty has also constituted a major roadblock for establishing NP-hardness of CIRCLE PACKING, which requires encoding desired combinatorial structures as appropriate packings: this is hard to do when little is known about the structure of optimal packings.

The main result of this paper is to describe an NP-hardness proof of CIRCLE PLACEMENT, based on a reduction of 3-PARTITION; it is straightforward to see

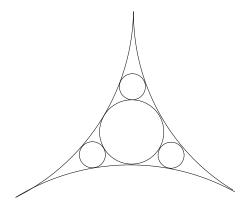


Figure 3: A symmetric 3-pocket with plug and shims.

that this also implies NP-hardness of CIRCLE PACKING. In the following section, we will describe the key idea of using symmetric 3-pockets for this reduction: a triple of small "shim" circles C_{i_1} , C_{i_2} , C_{i_3} and a medium-sized "plug" circles can be packed into such a pocket, iff the corresponding triple of numbers x_{i_1} , x_{i_2} , x_{i_3} add up to at most 1. In the following sections, we show how symmetric 3-pockets can be forced for triangular paper (Section 5), for rectangular paper (Section 6), and for square paper (Section 7). The technical details for the proof of NP-hardness are wrapped up in Section 8 and Section 9, in which we sketch additional aspects of filling undesired holes in the resulting packings, approximating the involved irrational coordinates, and the polynomial size of the overall construction. On the positive side, we show in Section 10 that circle packing becomes a lot easier if one is willing to compromise on the size of the piece of paper: we prove that any given set of circles of total area at most 1 can easily and recursively be packed into a square of edge length $\frac{4}{\sqrt{pi}} = 2.2567...$

4 Symmetric 3-Pockets

Our reduction is based on the simple construction shown in Figure 3. It consists of a *symmetric 3-pocket* as the container, which is the area bounded by three congruent touching circles.

Into each pocket, we pack a medium-sized circle (called a *plug*) that fits into the center, and three small identical circles (called *shims*) that fit into the three corners left by the plug. Straightforward trigonometry (or use of Proposition 8.1) shows that for a pocket formed by three unit circles, the corresponding size is $2/\sqrt{3} - 1 = 0.1547...$ for the plug; the value for the shims works out to $1/(5 + \sqrt{3} + 2\sqrt{7 + 4\sqrt{3}} = 0.07044....$

Clearly, this packing is unique, and the basic layout of the solution does not change when the plug is reduced in size by a tiny amount, say, $\varepsilon = 1/N$ for a suitably big N, while each shim is increased by a corresponding amount that

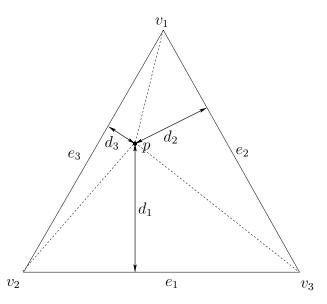


Figure 4: For an equilateral triangle, the sum of distances from the three sides is a constant.

keeps the overall packing tight. This results in a radius of r_p for each plug, and a radius of r_s for each shim.

Now consider the numbers x_i for $i=1,\ldots,3n$, constituting an instance of 3-Partition. We get a feasible partition iff all triples (i_1,i_2,i_3) are feasible, i.e., $\sum_{j=1}^3 x_{i_j} = 1$. By introducing $x_i' = 1/3 - x_i$ and using $\sum_{i=1}^{3n} x_i = n$, it is easy to see that a partition is feasible iff $\sum_{j=1}^3 x_{i_j}' \le 0$ for all triples (i_1,i_2,i_3) . Note that a 3-Partition instance involves only a constant number of different sizes, so there is some $\delta > 0$ such that any infeasible triple (i_1,i_2,i_3) incurs $\sum_{j=1}^3 x_{i_j}' \ge \delta$. By picking N large enough, we may assume $\delta > \varepsilon$.

As a next step, map each x_i to a slightly modified shim S_i by picking the shim radius to be $r_i = r_s - x_i'/N^2$. We will make use of the following elementary lemma; see Figure 4

Lemma 4.1 Consider an equilateral triangle $\Delta = (v_1, v_2, v_3)$ bounded by the lines ℓ_1, ℓ_2, ℓ_3 through the triangle edges e_1, e_2, e_3 . For an arbitrary point p, let d_j be the distance of p from ℓ_j . Define $y_j = d_j$, if p is on the same side of ℓ_j as Δ , and $y_j = -d_j$ if p is separated from Δ by ℓ_j . Then $\sum_{j=1}^3 y_j$ is independent of the position of p.

Proof: Consider the three triangles (v_1, v_2, p) , (v_2, v_3, p) , (v_3, v_1, p) . Their areas are $d_3/2$, $d_1/2$, $d_2/2$, hence $y_1/2 + y_2/2 + y_3/2$ is always equal to the area of Δ , i.e., a constant. \square

The crucial argument for our reduction is the following.

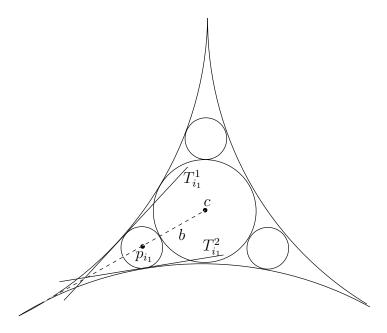


Figure 5: Changing the size of a shim.

Lemma 4.2 A set of three shims S_{i_1} , S_{i_2} , S_{i_3} and a plug P of radius r_p can be packed into a 3-pocket, iff $\sum_{j=1}^3 x'_{i_j} \leq 0$, i.e., if (i_1, i_2, i_3) is feasible.

Proof: Refer to Figure 5. Let c be the center point of the pocket. For each of the three corners of the pocket, consider the two tangents $T^1_{i_j}$ and $T^2_{i_j}$ between an unmodified shim of radius r_s and the touching pocket boundary; let $2\phi \in]0, \pi[$ be the angle enclosed by those two tangents. (If the pocket was an equilateral triangle, we would get $\phi = \pi/6$; the exact value for pockets with circular boundaries can be computed, but the exact value does not matter.)

Now consider the shim motion arising by modifying r_s by x'_{i_j}/N^2 , while keeping the shim tightly wedged into the corner. This moves its center point p_{i_j} along the bisector b between $T^1_{i_j}$ and $T^2_{i_j}$. Let $c=1/\sin\phi$. Considering the first-order expansion of the shim motion, we conclude that p_{i_j} moves by $c \times x'_{i_j}/N^2 + \Theta(1/N^4)$ along b, to a position q_j .

Finally, refer to Figure 6 and consider the possible placement of a plug after placing the modified shims S_{i_1} , S_{i_2} , S_{i_3} into the the three corners; this requires finding a point within the pocket that has distance at least $r_p + r_s - x'_{i_j}/N^2$ from each p_{i_j} . For this purpose, consider the circle C_{i_j} of radius $r_p + r_s - x'_{i_j}/N^2$ around each p_{i_j} . As shown in Figure 7, let t_{i_j} be the tangent to C_{i_j} at the point closest to c; let d_{i_j} be the distance of c to t_{i_j} . If we define $y_{i_j} = d_{i_j}$ for c is outside of C_{i_j} , and $y_{i_j} = -d_{i_j}$ for c is inside of C_{i_j} , then $y_{i_j} = -((c+1) \times x'_{i_j}/N^2 + \Theta(1/N^4))$. Now consider the set Δ of points separated by t_{i_1} from p_{i_1} , t_{i_2} from p_{i_2} , t_{i_3} from p_{i_3} . Making use of Lemma 4.1, we conclude that Δ is

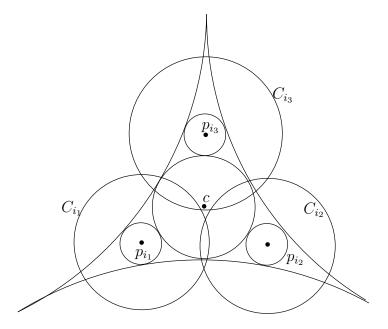


Figure 6: Finding a feasible placement for the plug.

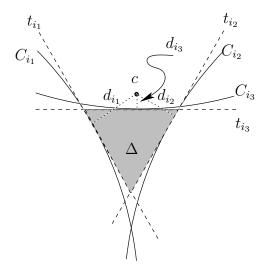


Figure 7: The existence of a feasible placement for the plug depends on the sum of distances of c from the sides of Δ . (Distances are not drawn to scale so that circles and tangents can be distinguished; in reality, they are much closer.)

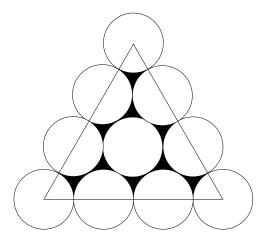


Figure 8: The unique packing of (k+2)(k+1)/2 unit circles into an equilateral triangle of edge length 2k leaves k^2 identical symmetric 3-pockets.

a nonempty isosceles triangle, iff $\sum y_{i_j} \geq 0$, i.e., iff $\sum x'_{i_j}/N^2 - \Theta(1/N^4) \leq 0$. Given that $\sum x'_{i_j} > 0$ implies $\sum x'_{i_j} \geq \delta > 1/N$, we conclude that $\sum x'_{i_j} \leq 0$ implies the existence of a feasible packing.

Conversely, consider $\sum x'_{ij} > 0$. Given that each t_{i_1} has a distance $\Theta(1/N^2)$ from c, we observe that the corners of the triangle formed by t_{i_1} , t_{i_2} , t_{i_3} are within $\Theta(1/N^4)$ from C_{i_1} , C_{i_2} , C_{i_3} . However, because of $\sum x'_{i_j} \geq \delta > 1/N$, we conclude that any point of Δ is at least $\Theta(1/N^3)$ from being feasible. This implies that there is no feasible placement for the plug, concluding the proof.

5 Triangular Paper

For making use of Lemma 4.2 and completing the reduction, we need to define a set of circles (called *rocks*) that can only be packed in a way that results in a suitable number of 3-pockets. In the case of triangular paper, this is relatively easy by making use of a result by Graham [5].

Proposition 5.1 An equilateral triangle of edge length 2k has a unique packing of (k+2)(k+1)/2 unit circles; this uses a hexagonal grid pattern, placing circles on the corners of the triangle.

This creates $\sum_{i=1}^{k} (2i-1) = k^2$ symmetric 3-pockets. After handling some issues of accuracy and approximation (which are discussed in Section 9), we get the desired result.

Theorem 5.2 Circle/river origami design for triangular paper is NP-hard.

As a corollary, we get

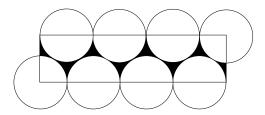


Figure 9: Packing 2k unit circles into a rectangle of dimensions 2k-1 and $\sqrt{3}$ leaves 2k-2 identical symmetric 3-pockets.

Corollary 5.3 It is NP-hard to decide whether a given set of circles can be packed into an equilateral triangle.

6 Rectangular Paper

Similar to triangular paper, it is easy to force a suitable number of symmetric 3-pockets for the case of rectangular paper, see Figure 9: disregarding symmetries, 2k unit circles can only be packed into an 2k-1 by $\sqrt{3}$ rectangle in the manner shown. This creates 2k-2 symmetric 3-pockets, which can be used for the hardness proof.

Because the input created for encoding an instance Π_{3p} of 3-Partition needs to be a set of rationals whose size is bounded by a polynomial in the encoding size of Π_{3p} , the irrational numbers needs to be suitably approximated without compromising the overall structure. This will be discussed in Section 9. As a consequence, we get

Theorem 6.1 Circle/river origami design for rectangular paper is NP-hard.

This yields the following easy corollary.

Corollary 6.2 It is NP-hard to decide whether a given set of circles can be packed into a given rectangle.

7 Square Paper

Setting up a sufficient number of symmetric 3-pockets for square paper is slightly trickier: there is no infinite family of positive integers n, for which the optimal patterns of packing n unit circles into a minimum-size square are known. As a consequence, we make use of a different construction; without loss of generality, our piece of paper is a unit square.

As a first step, we use four large circles of radius 1/2, creating a symmetric 4-pocket, as shown in Figure 10. Now a circle of radius $\frac{\sqrt{2}-1}{2}$ has a unique feasible placement in the center of the pocket, leaving four smaller auxiliary pockets, as shown.

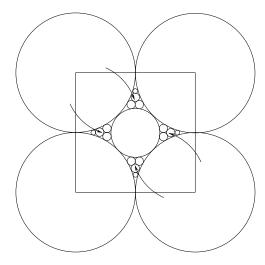


Figure 10: A gadget for creating identical triangular pockets: the shown set of 13 circles has a unique packing into a symmetric 4-pocket. This creates four smaller symmetric 3-pockets, indicated by arrows.

Now we use 12 identical "plug" circles and four slightly smaller "fixation" circles, such that three plugs and one shim have a tight packing as shown in the figure. For these it is not hard to argue that not more than three plugs fit into an auxiliary pocket, ensuring that precisely three must be placed into each pocket. Moreover, it can be shown that at most one additional shim can be packed along with the three plugs; this admits precisely the packing shown in the figure, creating a symmetric 3-pocket in each auxiliary pocket. In addition, we get a number of undesired asymmetric pockets, which must be used for accommodating appropriate sets of "filling" circles, leaving only small gaps that cannot be used for packing the circles that are relevant for the reduction.

As shown in Figure 11, we can use a similar auxiliary construction (consisting of 13 circles) for the 3-pockets in a recursive manner in order to replace each symmetric 3-pocket by three smaller symmetric 3-pockets. The argument is analogous to the one for 4-pockets. Again, additional filling circles are used; these do not compromise the overall structure of the packing, as the overall argument holds

Theorem 7.1 Circle/river origami design for square paper is NP-hard.

This yields the following easy corollary.

Corollary 7.2 It is NP-hard to decide whether a given set of circles can be packed into a given square.

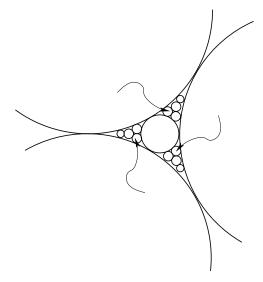


Figure 11: A gadget for creating multiple identical triangular pockets: the shown set of 13 circles has a unique packing into a symmetric 3-pocket. This creates three smaller symmetric 3-pockets, indicated by arrows.

8 Filling Gaps

The above constructions create a number of additional gaps in the form of asymmetric 3-pockets. Each is bounded by three touching circles, say, of radius r_1 , r_2 , r_3 . By adding appropriate sets of "filler" circles that precisely fit into these pockets, we can ensure that they cannot be exploited for sidestepping the desired packing structure of the reduction. Computing the necessary radii can simply be done by using the following formula.

Proposition 8.1 The radius r of a largest circle inscribed into a pocket formed by three mutually touching circles with radii r_1 , r_2 , r_3 satisfies

$$1/r = 1/r_1 + 1/r_2 + 1/r_3 + 2\sqrt{1/r_1r_2 + 1/r_1r_3 + 1/r_2r_3}$$

Note that the resulting r is smaller than the smallest r_i , and at least a factor of 3 smaller than the largest of the circles. Therefore, computing the filler circles by decreasing magnitude guarantees that all gaps are filled in the desired fashion, and that only a polynomial number of such circles is needed.

9 Encoding the Input

In order to complete our NP-hardness proof for CIRCLE PLACEMENT, we still need to ensure that the description size of the resulting CIRCLE PLACEMENT instance is polynomial in the size of the input for the original 3-PARTITION

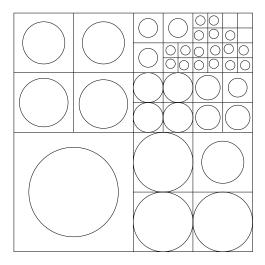


Figure 12: A quad-tree packing guarantees that any set of circles of total area at most 1 can be packed into a square of edge length $\gamma = 4/\sqrt{\pi} = 2.2567...$

instance. It is easy to see from the above that the total number of circles remains polynomial. This leaves the issue of encoding the radii themselves: if we insist on tightness of all packings, we get irrational numbers that can be described as nested square roots. As described in Section 4, the key mechanisms of our construction still work if we use a sufficiently close approximation. This allows to use sufficiently tight approximations of the involved square roots in other parts of the construction, provided the involved computations are fast and easy to carry out. For our purposes, even Heron's quadratically converging method (which doubles the number of correct digits in each simple iteration step) suffices.

10 A Positive Result

Our NP-hardness results imply that there is little hope for a polynomial-time algorithms that computes the smallest possible triangle, rectangle or square for placing or packing a given set of circles. However, it is possible to guarantee the existence of a feasible solution, if one is willing to use larger paper. In fact, we show that a square of edge length $4/\sqrt{\pi}=2.2567...$ suffices for packing any set of circles that have total area 1.

Theorem 10.1 Consider a set S of circles of total area 1, and a square S of edge length $4/\sqrt{\pi}$. Then S can be packed into S.

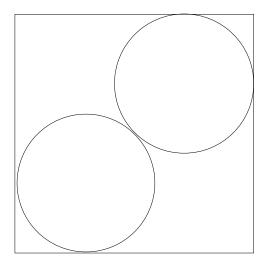


Figure 13: A lower-bound example for packing circles: two circles of area 1/2 require a square of edge length at least 1.362...

Proof: Refer to Figure 12. For each circle C_i of radius r_i , let n_i be chosen such that $\frac{\gamma}{2^{n_i+1}} < r_i \le \frac{\gamma}{2^{n_i}}$. Hence, replacing each C_i by a square S_i of size $\frac{\gamma}{2^{n_i}}$ increases the edge length by a factor of at most $\gamma = 4/\sqrt{\pi}$. Now a recursive subdivision of S into sub-squares of progressively smaller size can be used to pack all squares S_i , showing that all circles C_i can be packed.

11 Conclusions

In this paper, we have proven that even the aspect of circle packing in circle/river origami design is NP-hard. On the positive side, we showed that the size of a smallest sufficient square for accommodating a given set of circles can easily be approximated within a factor 2.2567... A number of interesting open questions remain:

- Our 2.2567-approximation is quite simple. The performance guarantee is based on a simple area argument. This gives rise to the following question: what is the smallest square that suffices for packing any set of circles of total area 1? We believe the worst-case may very well be shown in Figure 13, which yields a lower bound of $(1+\sqrt{2})/\sqrt{\pi}=1.362\ldots$ There are ways to improve the upper bound; at this point, we can establish $2\sqrt{2}/\sqrt{\pi}=1.5957\ldots[2].$
- The same question can be posed for *placing* circles instead of packing them.
- The approximation of circle packing does not produce a "clustered" layout as required by circle/river origami design, where objects that are close in

the hierarchy should be place in close vicinity. In the absence of rivers, we can reproduce the quad-tree packing in this context by making use of a space-filling curve.

• On the other hand, we do not know yet how to approximate the necessary paper size in the presence of rivers of positive width.

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