

# *Approximation Algorithms for Some Geometric Packing/Covering/Routing Problems*

Joe Mitchell



Stony Brook University

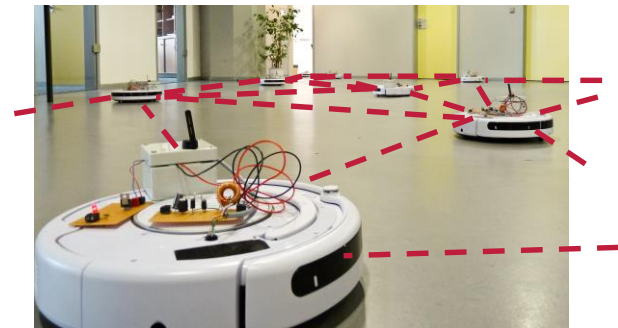
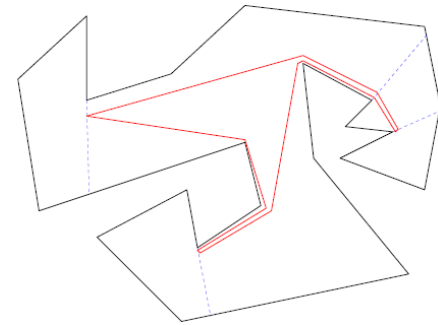
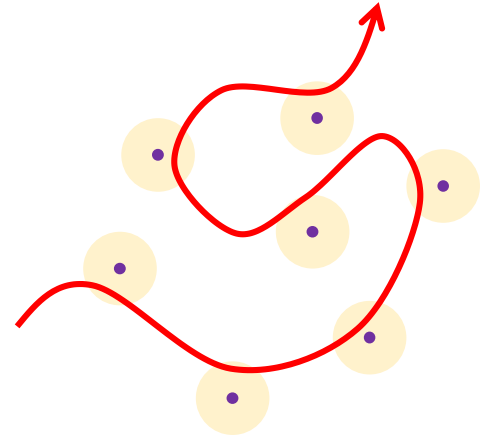
# Some NP-Hard Optimization Problems in Geometry

- TSP. vehicle routing variants
- Watchman routes
- Min-Weight Convex Partition
- MACS: Maximum Area Connected Subset
- MIS: Maximum Independent Set
- ...

Goal: Exploit geometric structure to get efficient, provable approximation algorithms

# Introduction

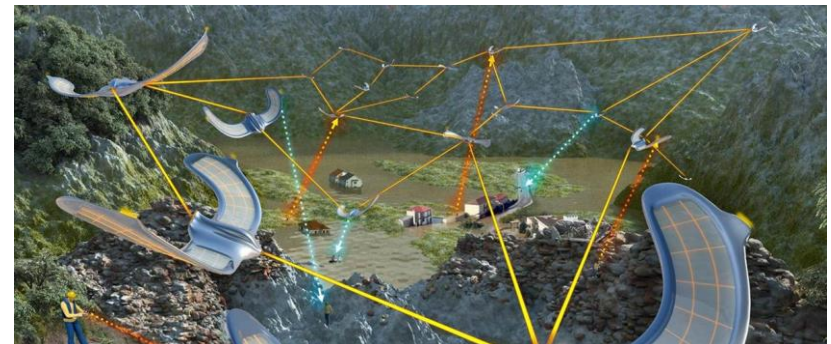
- Sampling of optimization problems:
  - Optimal routes/networks to visit regions
  - Optimization of routes for vision/coverage
- Aspects of current interest:
  - Uncertainty, robustness of solutions
  - Handling time constraints
- Motivating applications:
  - Robotics
  - Sensor networks
  - Vehicle routing, logistics



# Cooperative Heterogeneous Vehicle Mission Planning

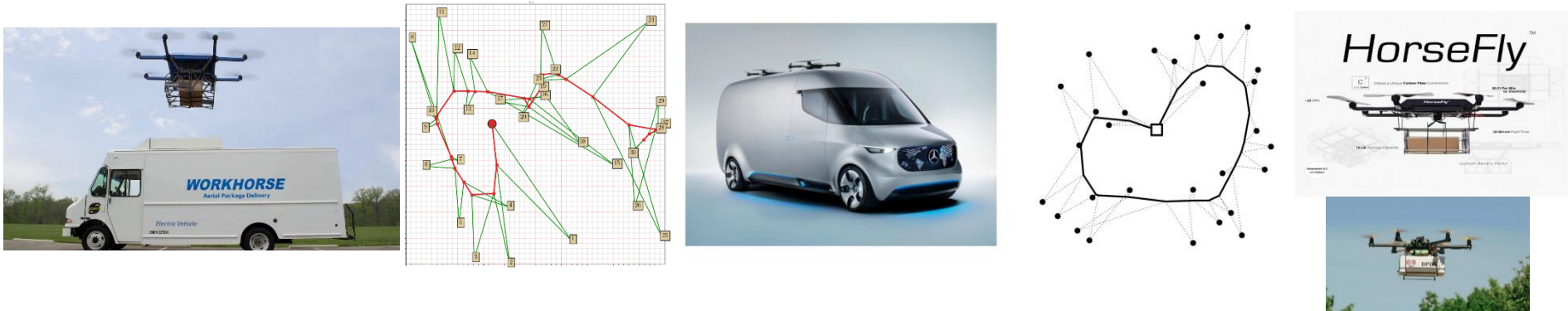
Motivating applications: search and rescue; casualty/disaster response; surveillance; mosaic battlefield

- Vehicles: various classes (ground, air, sea), speeds, capacities, capabilities
- Targets: points, regions; mission task times; precedence constraints
- Constraints: domains of operation; tethers (distance); rendezvous requirements, formations
- Tactical vs strategic; online vs offline





# “Horsefly”: Drone-Assisted Mission Planning (DAMP)



- Drone (UAV) picks up a payload from a truck, which continues on its route, and after a successful delivery, the drone returns to the truck to pick up the next payload
- Truck is an “aircraft carrier”; does not stop at targets
- Computing the most efficient routes is challenging because we have to coordinate both vehicles simultaneously
- For a fixed target sequence, the problem is a **Second Order Cone Program (SOCP)**
- For a fixed truck route, the problem is a challenging geometric scheduling problem
- We studied also generalizations to multi-trucks, multi-drones

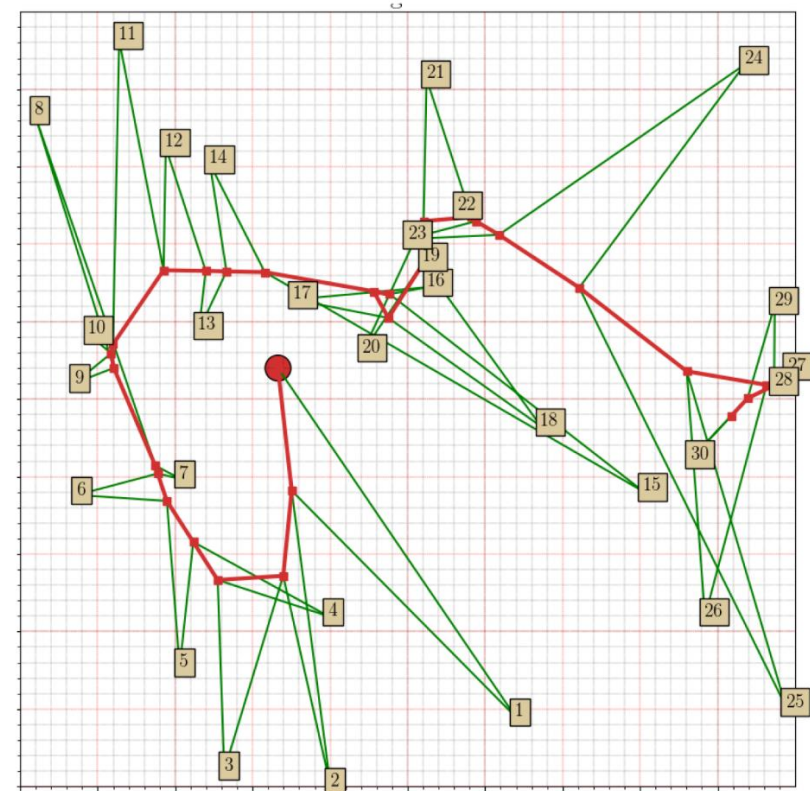
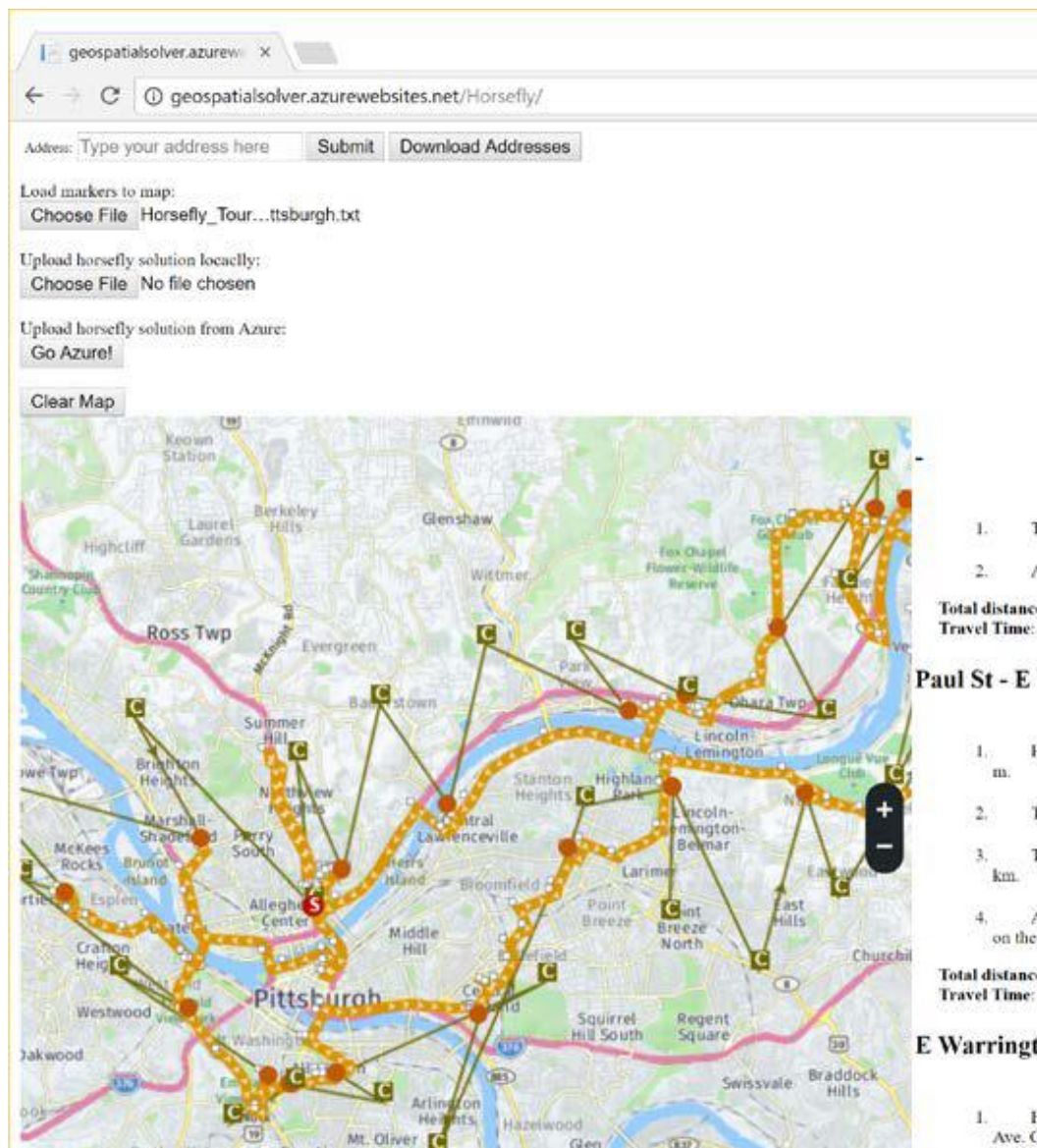


Figure 1: Example of a Horsefly Tour on 30 points.  $\varphi = 6.0$

Total distance:  
Travel Time: 0 minutes 27 seconds (in current traffic)

#### Paul St - E Warrington Ave

1. Head toward Boggs Ave on Paul St. Go for 88 m.
2. Turn left onto Boggs Ave. Go for 959 m.
3. Turn left onto W Warrington Ave. Go for 1.0 km.
4. Arrive at E Warrington Ave. Your destination is on the right.

Total distance: 2.058km.  
Travel Time: 4 minutes 27 seconds (in current traffic)

#### E Warrington Ave - Industry St

1. Head toward Pat Busway S on E Warrington Ave. Go for 102 m.

# Missions for Agents, UAVs

Types of mission tasks:

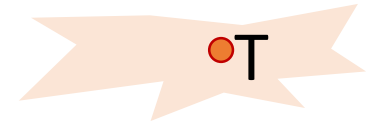
- Visit target site (point)  $p$
- Visit (any point) of target region  $R$ 
  - Possible constraint: Mission time (minimum) within  $R$

[Jia, Mitchell, 2019: TSPN with time lower bounds.  
PTAS, dual approximation algorithms]

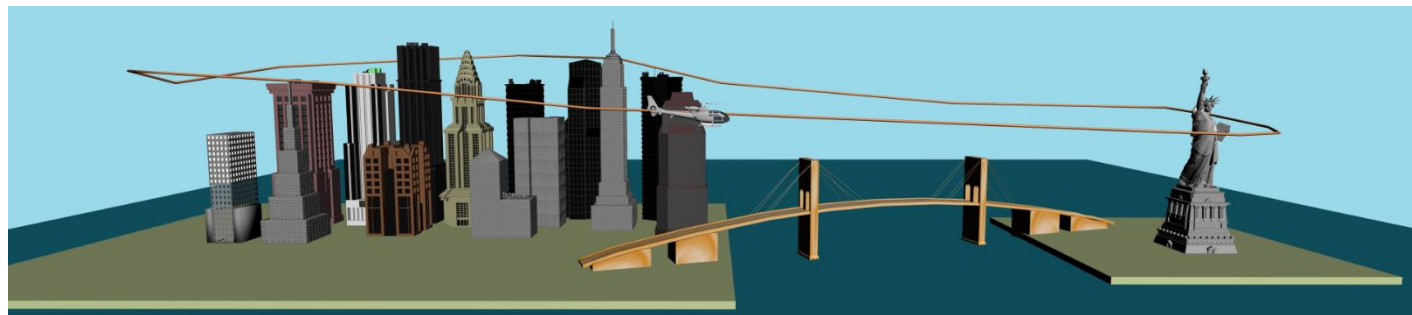
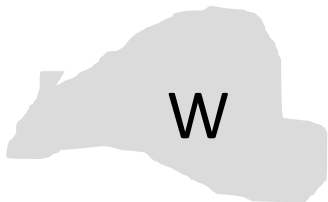
$p$



- View a target (point/region)  $T$ : visit any point that is visible to  $T$  “watchman route problem”



- Sweep a target region (recon, search),  $W$



# Approximation Algorithms

For a minimization problem, seek an upper bound on the ratio

$$\alpha = (\text{worst-case bound given by ALG}) / \text{OPT}$$

$\alpha$ -approximation

Possibly,  $\alpha = \alpha(n)$  depends on  $n$ , the size of the input

PTAS: For any fixed  $\epsilon > 0$ , there is a polytime algorithm achieving  $(1 + \epsilon)$ -approximation

(EPTAS, FPTAS, QPTAS)

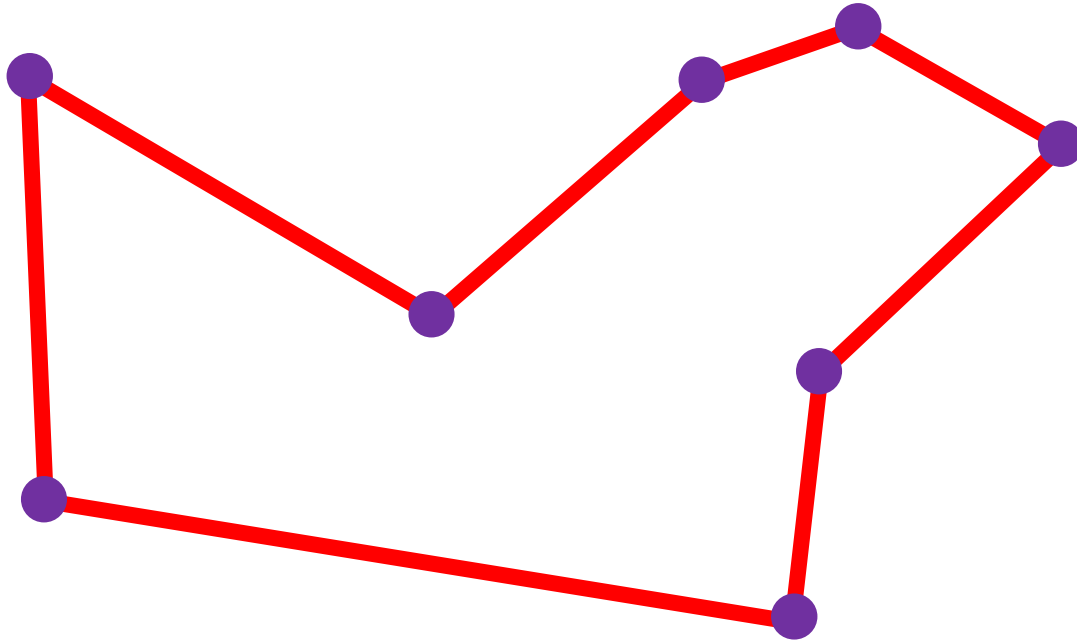
# Approximation Techniques

- Solve an easier problem, and use it to solve the hard problem, approximately
- Linear Programming relaxation of an Integer Program; Semi-Definite Programming
- Grid shifting, quadtrees; m-guillotine method
- Approximating subsets; “core sets”
- Local search

# Geometric Covering Tours, TSP

# Covering Tours

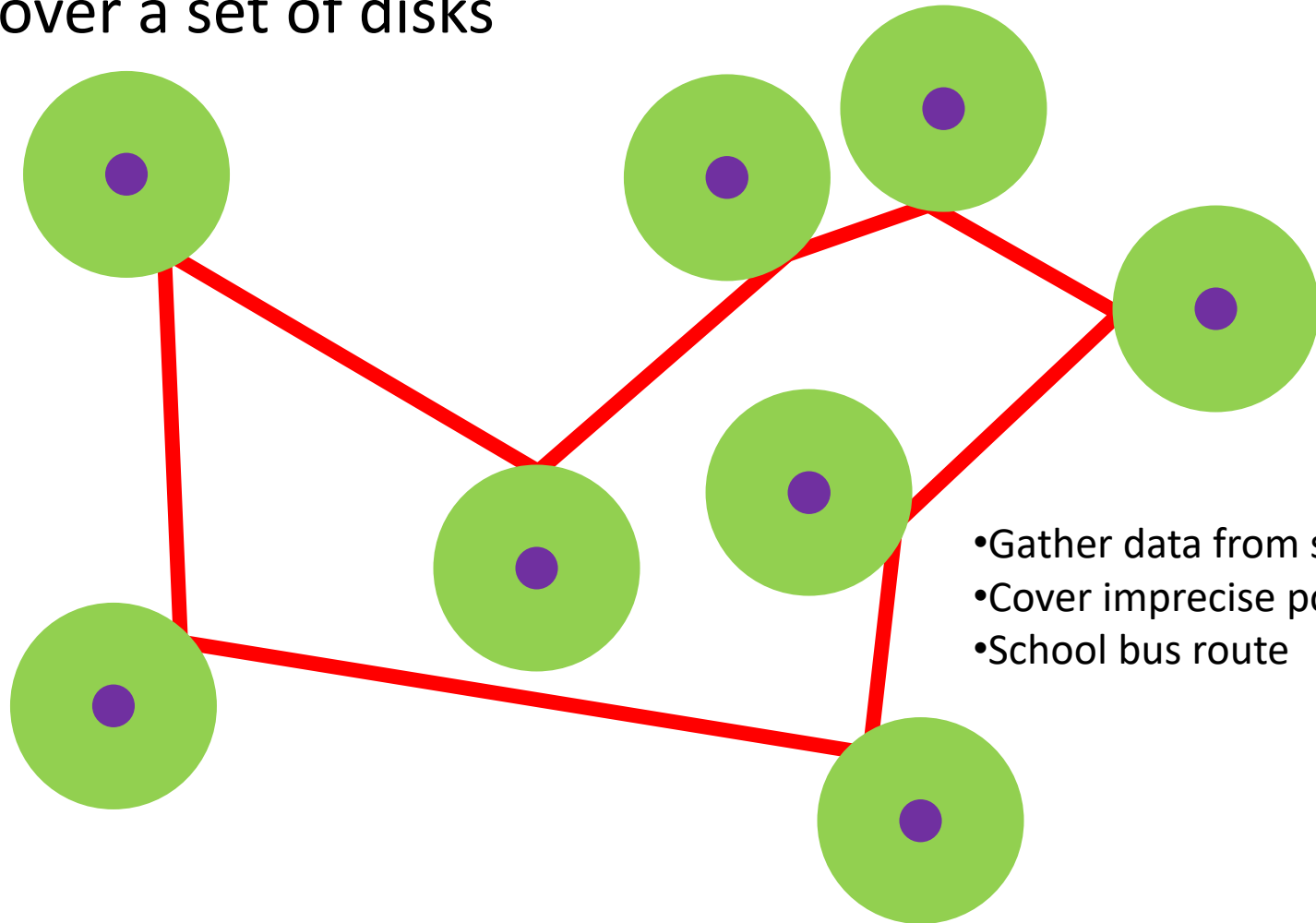
- Cover a point set  $S$



Just geometric TSP

# Covering Tours

- Cover a set of disks

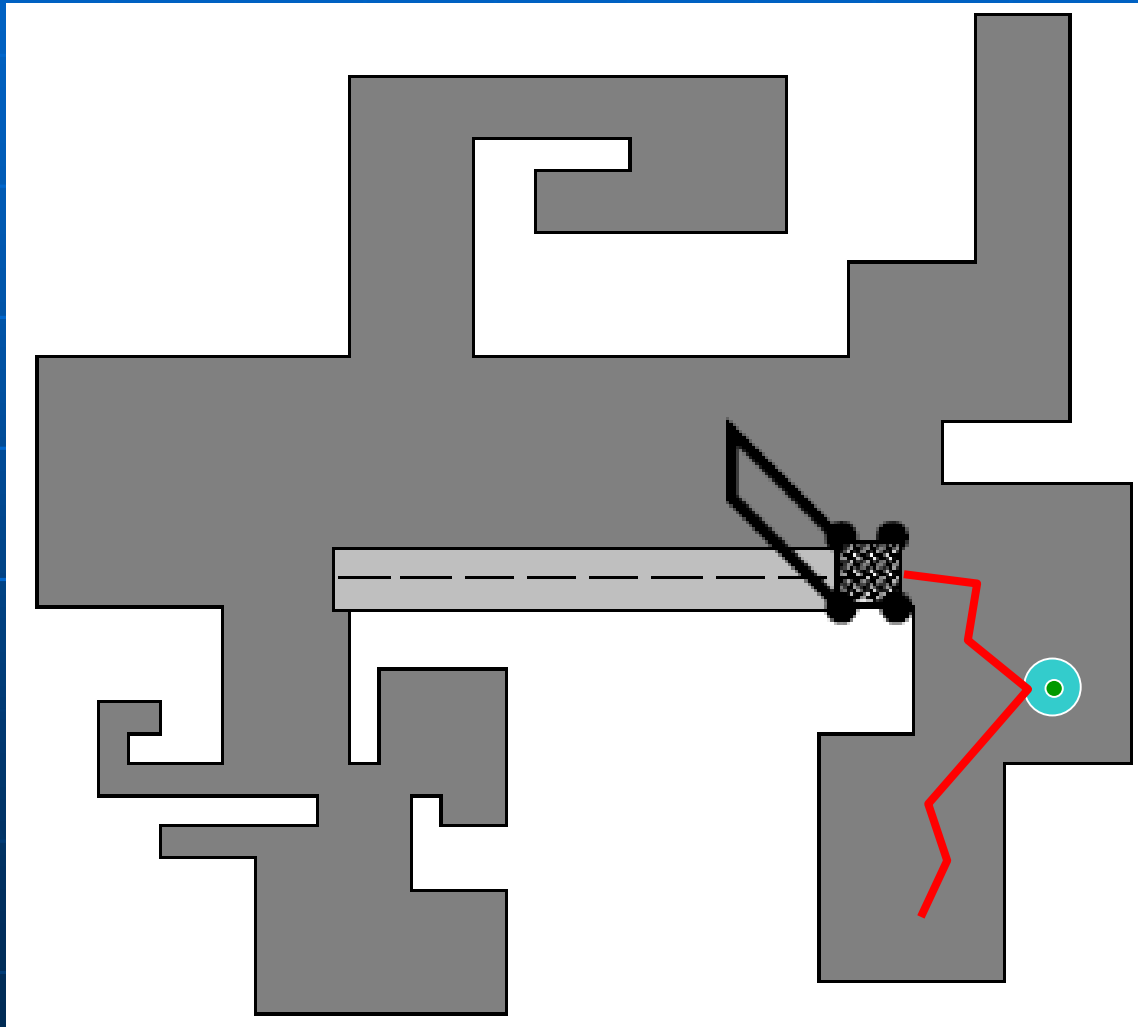


- Gather data from sensors
- Cover imprecise points
- School bus route

TSP with (circular) neighborhoods



# Lawnmower/Milling Problem



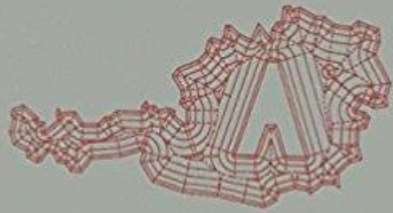
[AFM]

Best method of  
mowing the lawn?

TSPN: Visit the disk  
centered at each blade  
of grass

M. Held

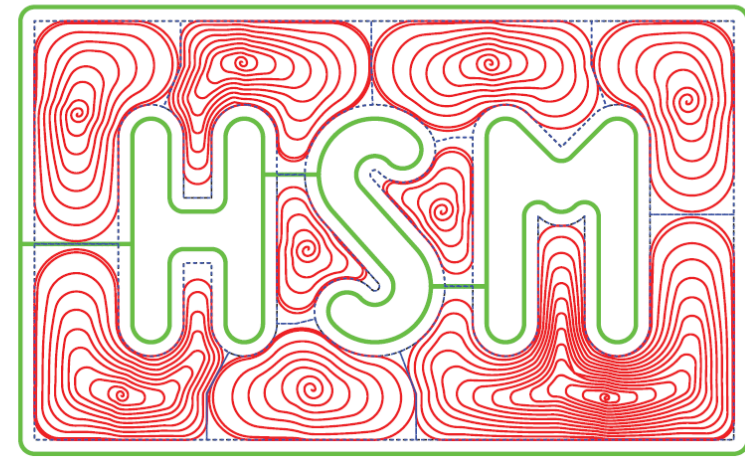
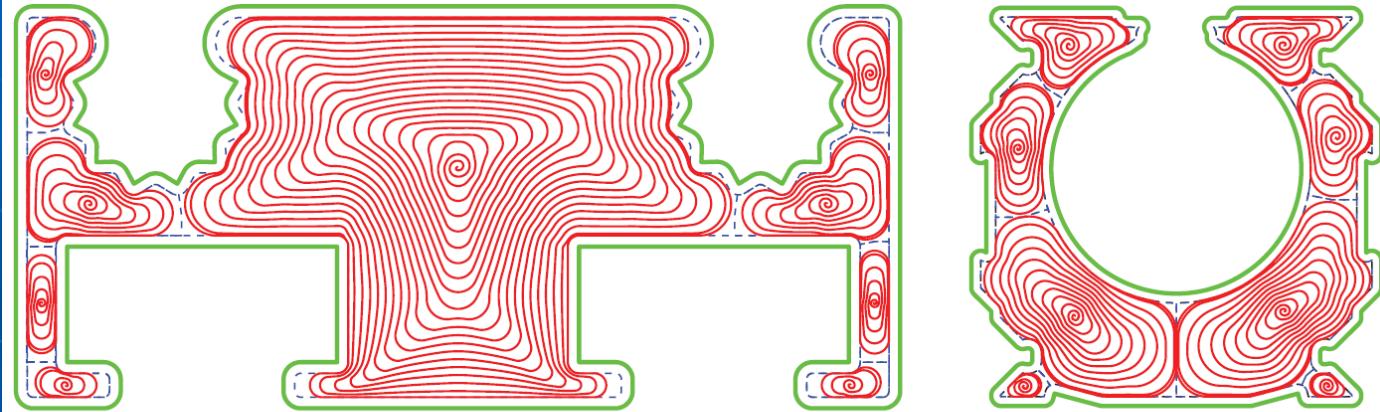
On the Computational  
Geometry of  
Pocket Machining



Springer-Verlag

# Pocket Machining

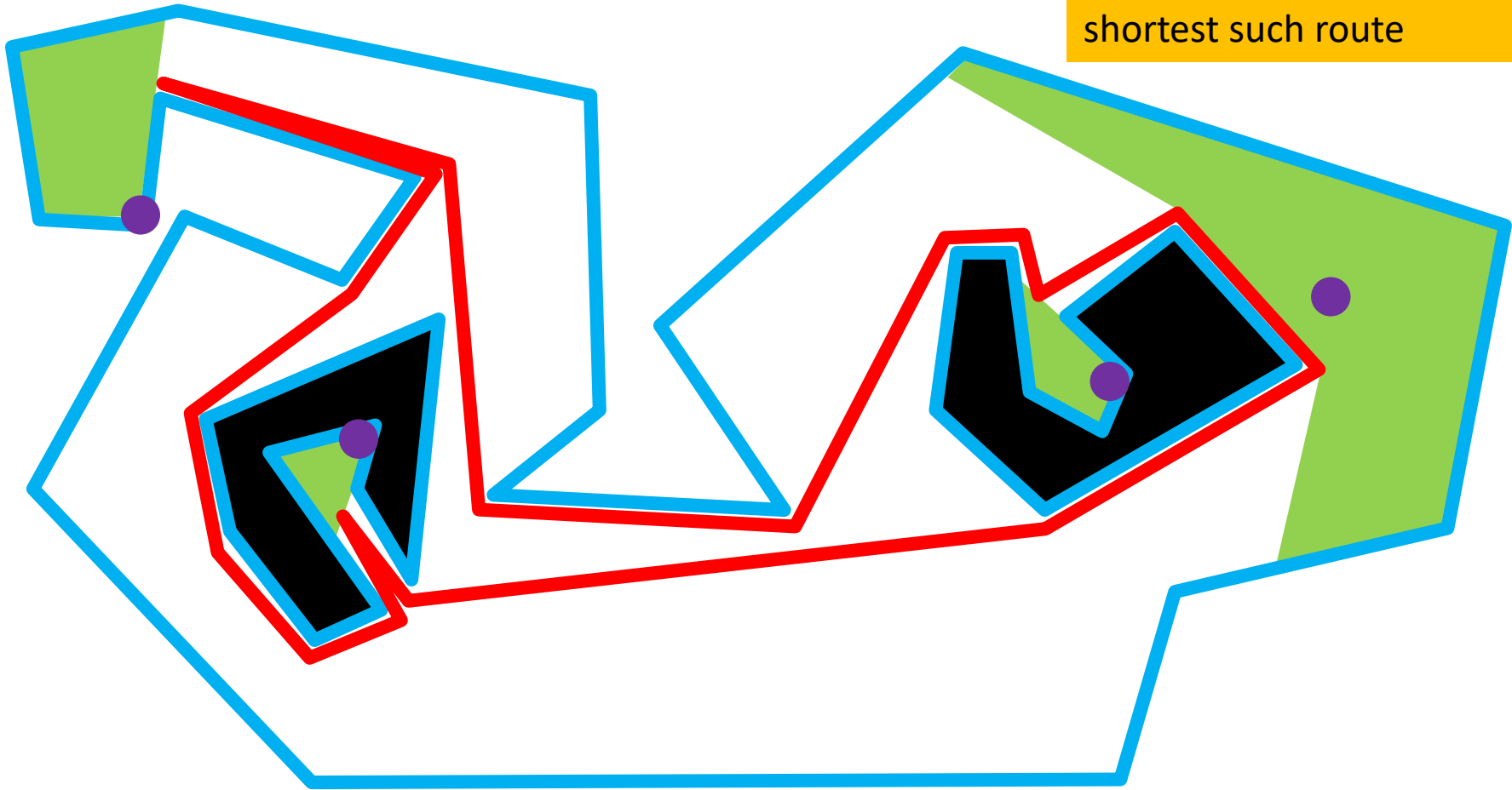
[Martin Held]



# Covering Tours

- Cover a set of visibility polygons

Search for location of an uncertain target: Want shortest such route



Watchman Route Problem

# WRP Approximation

- Simple polygons:
  - $\text{Sqrt}(2)$ -approx,  $O(n)$ , for anchored [Tan, DAM 2004]
  - $14(\pi+4)=99.98$ -approx,  $O(n \log n)$ , for floating [Carlsson, Jonsson, Nilsson, TR 1997]
  - 2-approx,  $O(n)$ , for floating [Tan, TCS 2007]
  - 4-approx,  $O(n^2)$ , for min-link [Alsuwaiyel, Lee, IPL 1995]
- Polygons with holes? SODA'13:  $O(\log^2 n)$ ,  $\Omega(\log n)$ 
  - $O(\log n)$ -approx, rectilinear, rectangle-visibility
- WRP in 3D: No constant-factor, unless  $P=NP$   
[Safra, Schwartz 2003]  
 $\Omega(\log n)$ , even for terrains

# Variants

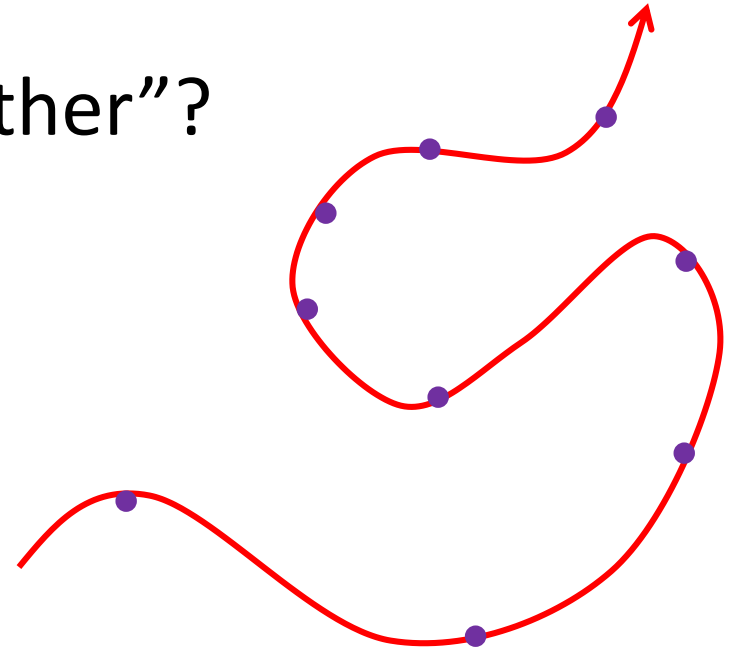
- k Agents/Tours
  - Possibly tethered, or otherwise constrained “tethered TSP”
- Depot(s)
- Offline vs online
- Time windows/constraints e.g., must see each point for time at least T; min makespan
- Sites in motion (kinetic variant)
- Uncertain sites: Stochastic models
- Precedence constraints
- Priorities, “prizes” at sites
- Various objectives, multicriteria optimization

# Objective Functions

- Min-length of tour (time to complete search)
  - Euclidean,  $L_p$ , weighted lengths
- Optimize edge lengths: min-max (bottleneck), max-min (max-scatter)
- Min turning: # turns, total turn, bounded curvature
- k Tours: min-max, min-sum; min-k for tours of bounded length
- Min-latency
- Stochastic metrics: e.g.,  $\max P(\text{tour length} < L)$ , or  $\min E(\text{time until a goal is achieved})$
- Combined metrics, multicriteria

# Application: Mobile Agents to Gather Data from Static Sensors

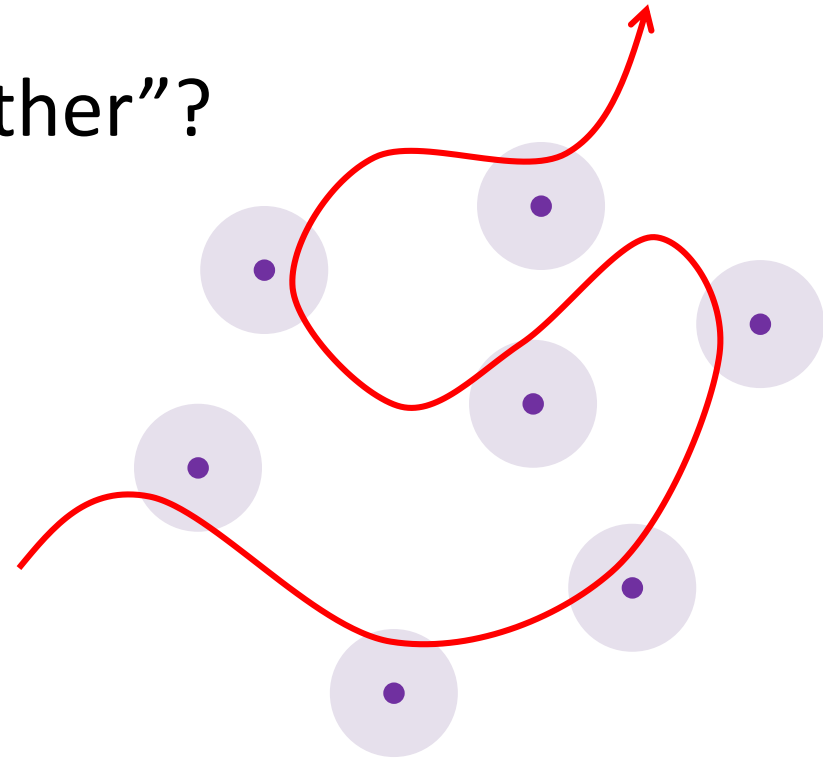
- What does it mean to “gather”?
  - Arrive at a sensor (point)



TSP

# Mobile Agents to Gather Data from Static Sensors

- What does it mean to “gather”?
  - Arrive at a sensor (point)
  - Arrive close to a sensor

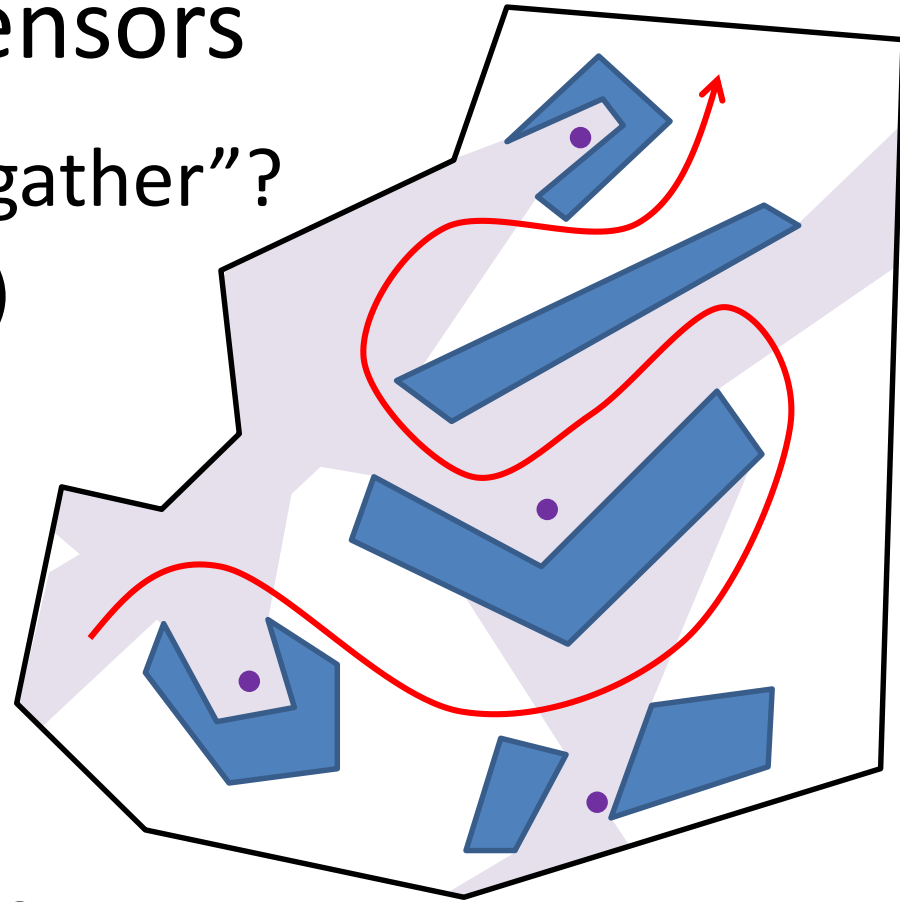


TSP with neighborhoods



# Mobile Agents to Gather Data from Static Sensors

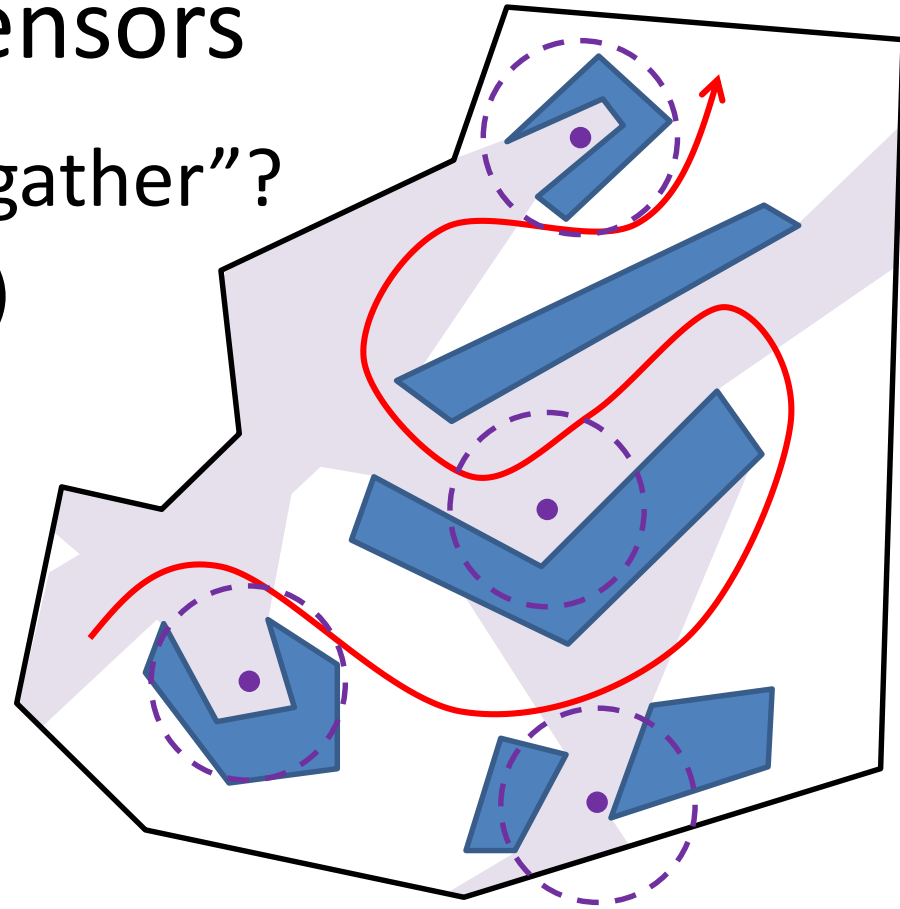
- What does it mean to “gather”?
  - Arrive at a sensor (point)
  - Arrive close to a sensor
  - See a sensor
    - Unlimited sight distance



Watchman route

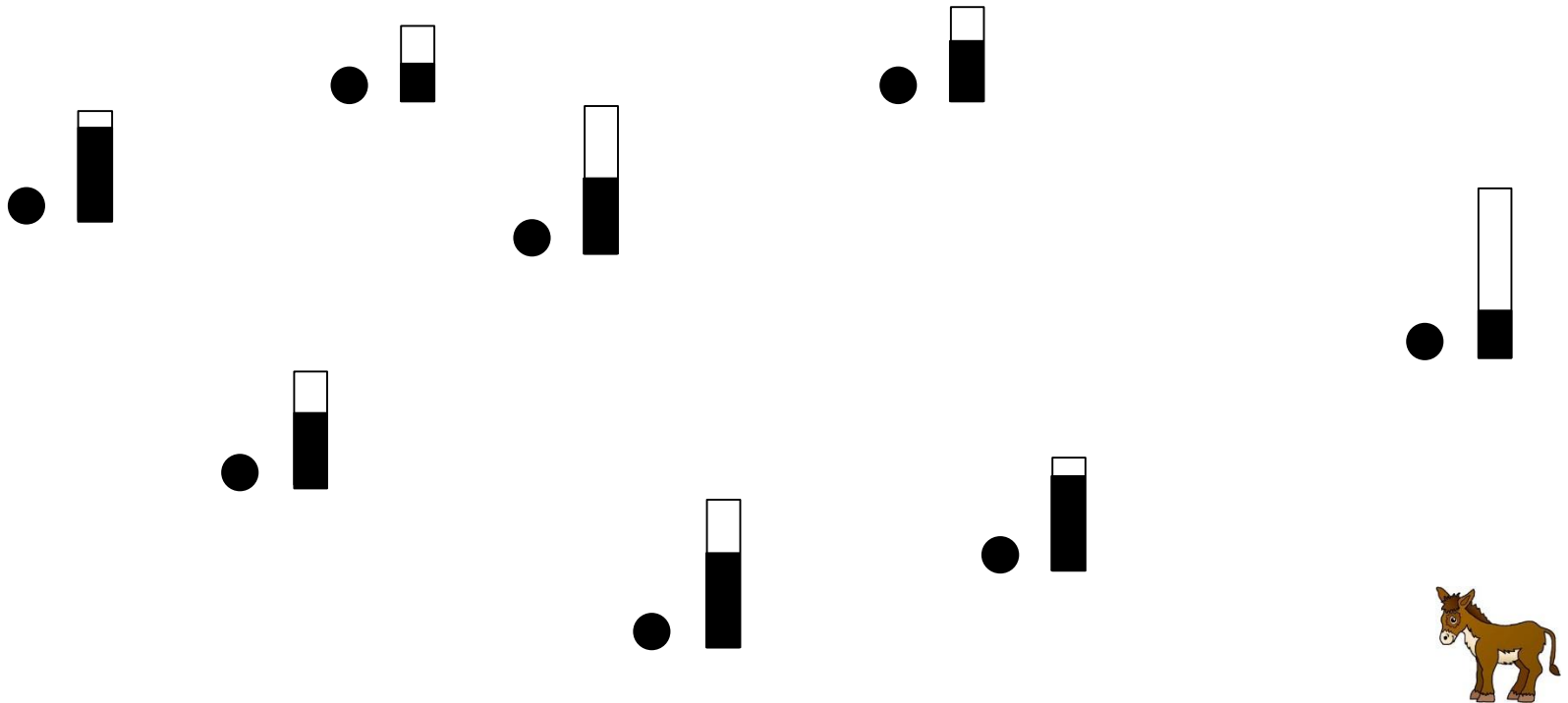
# Mobile Agents to Gather Data from Static Sensors

- What does it mean to “gather”?
  - Arrive at a sensor (point)
  - Arrive close to a sensor
  - See a sensor
    - Unlimited sight distance
    - Limited sight distance



# Data Gathering Problem

[Citovsky,Gao,M,Zeng, ALGOSENSORS 2015]



Each sensor, at position  $p_i$ , has a capacity,  $c_i$

Data arrives at rate  $r_i$

If capacity is reached, additional data is lost

# Data Gathering Problem

Given  $k$  mules travelling at a constant speed  $s$  and  $n$  sensors at points  $\{p_1, p_2, \dots, p_n\}$  in a metric space with capacities  $\{c_1, c_2, \dots, c_n\}$  and continuous data accumulation rates  $\{r_1, r_2, \dots, r_n\}$ .

Goal: Find a schedule/tours to maximize the data collection rate of all of the mules.

Each sensor, at position  $p_i$ , has a capacity,  $c_i$

Data arrives at rate  $r_i$

If capacity is reached, additional data is lost

# No Data Loss Problem: Min # of Mules

Given  $n$  sensors at points  $\{p_1, p_2, \dots, p_n\}$  in a metric space with capacities  $\{c_1, c_2, \dots, c_n\}$  and data accumulation rates  $\{r_1, r_2, \dots, r_n\}$ .

**Goal:** Find the min # mules and their schedules such that no data is lost.

# Our Results

With Sensors	Single Mule	K-mule	No Data Loss
on a Line	exact	$\frac{1}{3}$	exact
on a Tree	exact pseudo-polynomial	$\frac{1}{3} \left(1 - 1/e^{\frac{1}{2+\varepsilon}}\right)$	12
General Metric Space	$\frac{1}{6} - \varepsilon$		
Euclidean Space	$\frac{1}{3} - \varepsilon$		
Different Capacities	$O(\frac{1}{m})$		$O(m)$

$m = \log(\frac{c_{max}}{c_{min}})$ ,  $c_{max}$  = largest capacity,  $c_{min}$  = smallest capacity

(OPT is periodic if we assume integral capacities, rates, distances)

# Related: Variations of TSP

Orienteering Problem: Tour length quota,  $L$

Prize-Collecting TSP: Prize quota (possible costs for skipping sites)

Profitable Tour Problem:  $\max (\text{Prize} - \text{Tour length})$

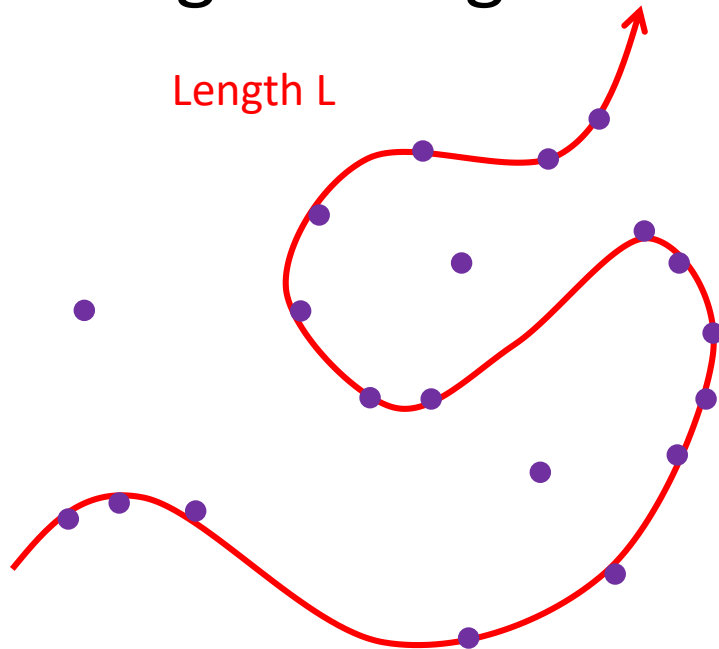
TSP with Time Windows

# Orienteering

Given  $n$  sites  $S=\{p_1, p_2, \dots, p_n\}$ ; length bound  $L$ .

Goal: Find a path/tour of length  $\leq L$  to Max # of sites visited

Data gathering: maximize the *collected data rate*



$O(1)$ -approx [AMN, SoCG'98]

PTAS, for rooted case, based  
on improved analysis of m-  
guillotine method for k-TSP  
[CH, SoCG'06]

Improved PTAS [GKR 2020]:  $n^{O(1/\delta)} (\log n)^{(d/\delta)^{O(d)}}$

Q:  $O(n \log n)$ ?



# TSP/Orienteering with Time Windows

Given  $n$  sites  $S=\{p_1, p_2, \dots, p_n\}$ , each with a time window,  $(r_i, d_i)$ ; length bound  $L$ .

Possible service time,  $t_i$ , at  $p_i$ ;  
assume  $t_i=0$ .

$r_i$ =release time at  $p_i$   
 $d_i$ =deadline at  $p_i$

Laxity =  $\min_i (d_i - r_i)$

Goal: Find a path/tour to Max # of sites visited during their respective time windows

# Time-Window TSP

[WAFR 2016, Jie Gao, Su Jia, M]

- Time Window Prize Collecting (TWPC):

Unit speed robot; must visit each site  $i$  during given time window,  $(r_i, d_i)$ .

(often called “TWTSP”)

Goal: max # sites visited (or total “prize”)

- Time Window Travelling Salesman (TWTSP):

Robot with speed  $s$ ; must visit each site  $i$  during given time window,  $(r_i, d_i)$ .

(may not be feasible for small  $s$ )

Goal: min *distance* robot travels to visit all sites (in TW)

# Time-Window TSP

[WAFR 2016, Jie Gao, Su Jia, M]

Various results, including:


**Theorem 2.** *Given an instance for 1D TWPC problem with bounded velocity  $s$ , let  $L_{max}$  be the maximum length of the input segments, and assume the shortest time window has length  $\geq 1$ . Then for any  $\epsilon > 0$ , in  $O((nL_{max})^{O(\frac{\log L_{max}}{\log(1+\epsilon)})})$  time we can find a path  $P$ , such that*

- 1. the number of segments that  $P$  visits is at least  $OPT$ ,*
- 2. each segment  $\sigma_i$  is visited in  $[r_i - \epsilon L_i, d_i + \epsilon L_i]$ , where  $L_i = d_i - r_i$ .*

*Similar result holds for 1D TWTSP with finite speed.*

Dual-approximation algorithms for 2D and metric spaces,  
yielding approx opt solution, using relaxed speed  
constraint

## The Orienteering Problem with Time Windows Applied to Robotic Melon Harvesting

Moshe Mann<sup>1</sup>  · Boaz Zion<sup>2</sup> ·  
Dror Rubinstein<sup>1</sup> · Rafi Linker<sup>1</sup> ·  
Itzhak Shmulevich<sup>1</sup>



Received: 19 March 2014 / Accepted: 1 June 2015  
© Springer Science+Business Media New York 2015

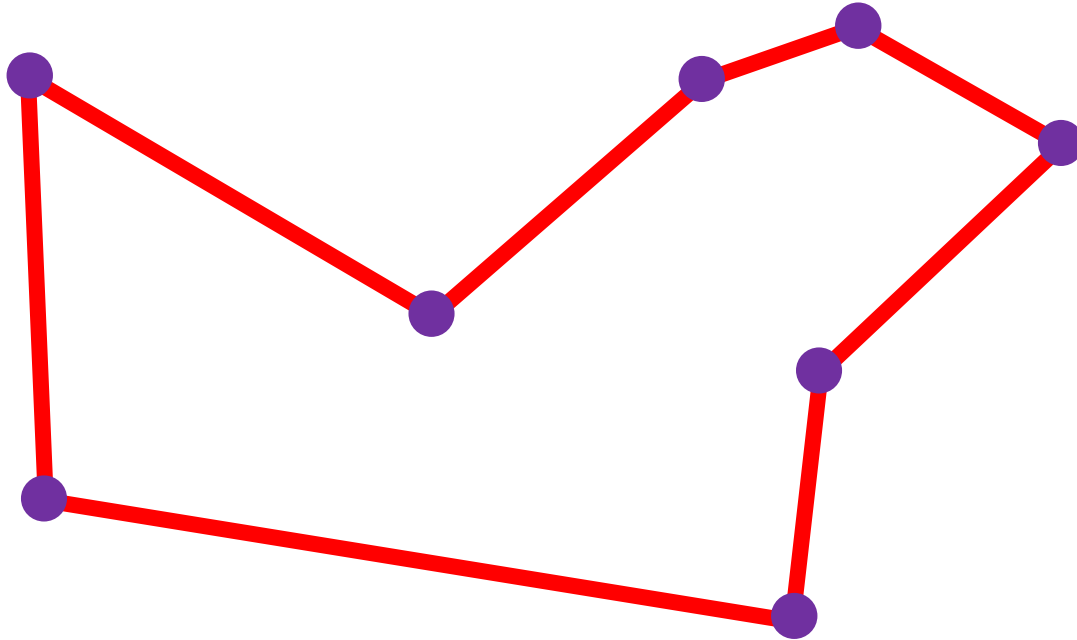
**Abstract** The goal of a melon harvesting robot is to maximize the number of melons it harvests given a progressive speed. Selecting the sequence of melons that yields this maximum is an example of the orienteering problem with time windows. We present a dynamic programming-based algorithm that yields a strictly optimal solution to this problem. In contrast to similar methods, this algorithm utilizes the unique properties of the robotic harvesting task, such as uniform gain per vertex and time windows, to expand domination criteria and quicken the optimal path selection process. We prove that the complexity of this algorithm is linearithmic in the number of melons and can be implemented online if there is a bound on the density. The results of this algorithm are demonstrated to be significantly better than the standard heuristic solution for a wide range of harvesting robot scenarios.

**Keywords** Harvesting robot · Orienteering · Time windows · Dynamic programming · Combinatorial optimization

# m-Guillotine Method Revisited

# Traveling Salesperson Problem: TSP

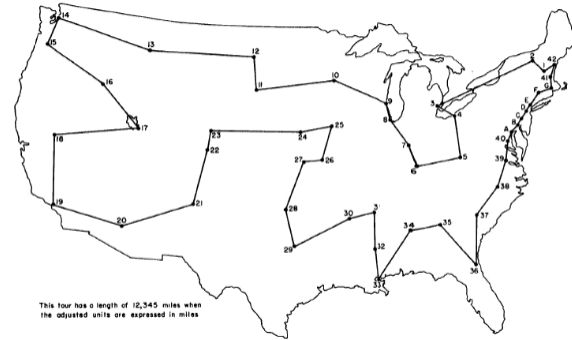
- In Euclidean plane: Find a cycle (polygonal) to visit  $n$  points,  $S$ , that has the shortest Euclidean length



- Necessarily: it will be a simple polygon with vertex set  $S$  (Why? Triangle inequality!)

# TSP

- Extremely well studied combinatorial optimization problem

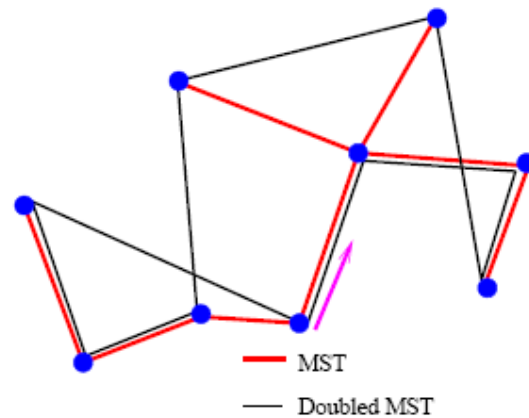
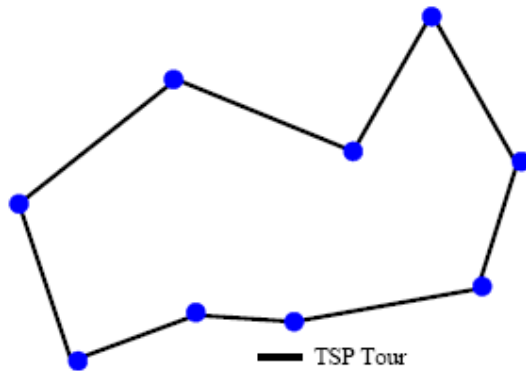


49 cities, 1954

- Many methods to solve to optimality (in worst-case exponential time) or near optimality
- NP-hard, even in Euclidean 2D

# Approximating TSP

- Simple 2-approx: double the MST and shortcut (holds in metric spaces)



- Christofides: 1.5-approx  
(use  $\text{MST} \cup \text{min-weight matching}$  on odd-degree nodes of MST)



# Recent News: Breaking Below 1.5

# A (Slightly) Improved Approximation Algorithm for Metric TSP

Anna R. Karlin,<sup>\*</sup> Nathan Klein,<sup>†</sup> and Shayan Oveis Gharan<sup>‡</sup>

University of Washington

July 6, 2020

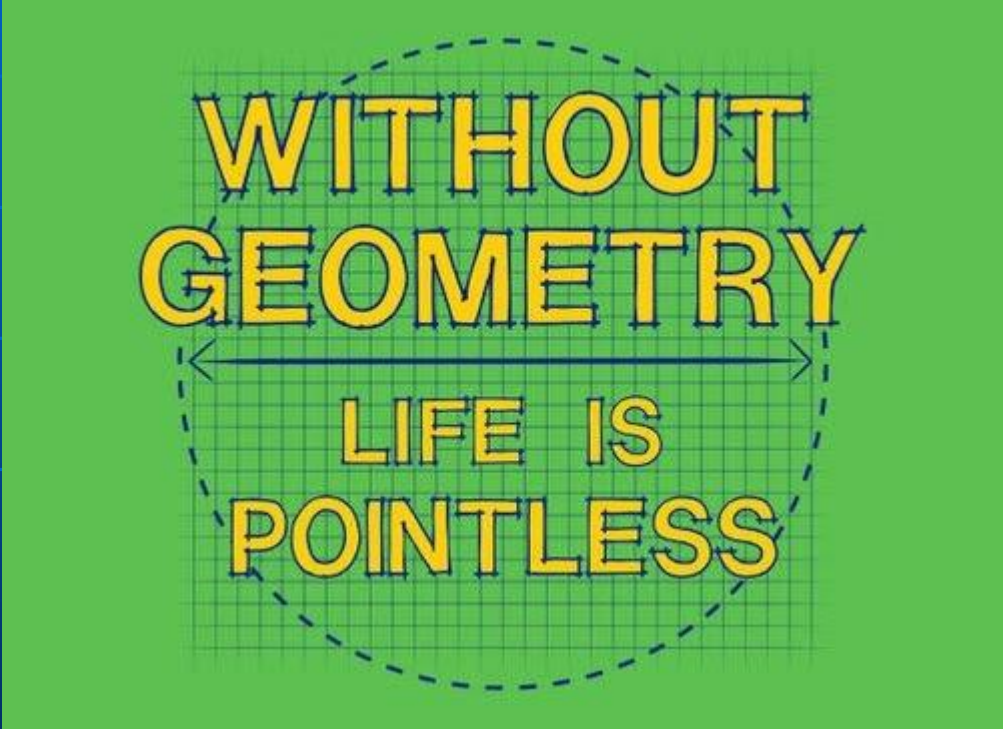
[illegible]

## Abstract

For some  $\epsilon > 10^{-36}$  we give a  $3/2 - \epsilon$  approximation algorithm for metric TSP.

<https://arxiv.org/pdf/2007.01409.pdf>

# Can Geometry Help?



WITHOUT  
GEOMETRY  
LIFE IS  
POINTLESS

# PTAS for Geometric TSP

---

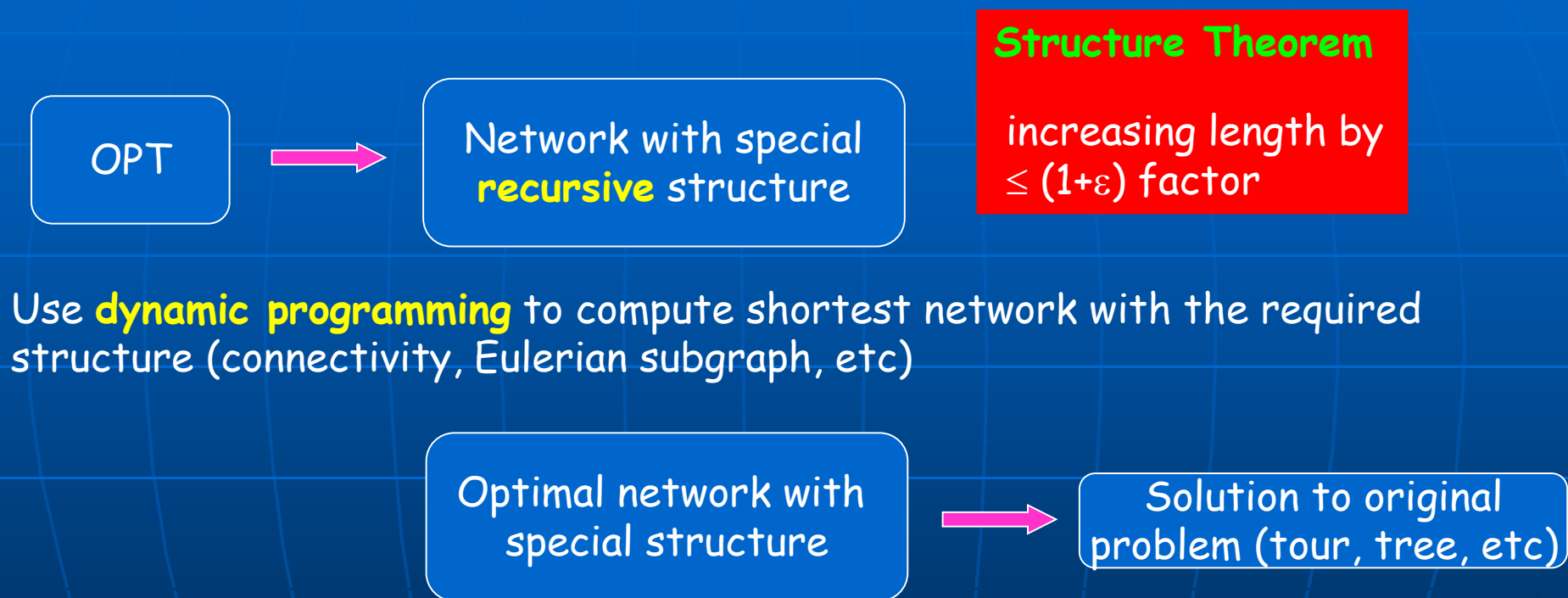
- PTAS in 2D, any fixed dim [Ar'96,M'96,Ar'97,M'97]
- $O(n \log n)$ : spanners/banyons [Rao-Smith'98]
- NP-hard to get  $(1+\varepsilon)$ -approx in  $R^{O(\log n)}$ ,  
for some  $\varepsilon > 0$  [Tr'97]

## Main Idea of PTAS's:

---

Transform **OPT** into a near-opt network of special recursive structure that allows efficient optimization by dynamic programming

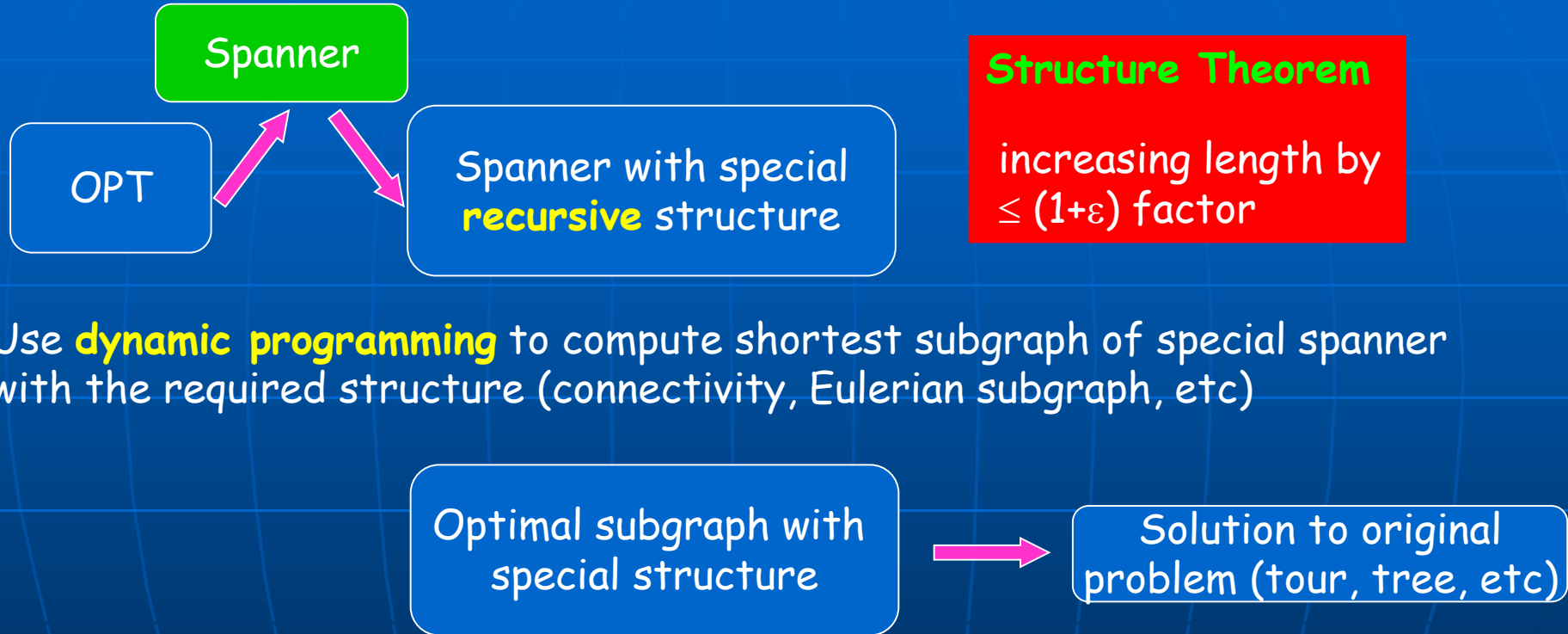
# Recipe for PTAS



What should the special recursive structure be?

# Recipe for PTAS

## The Role of Spanners: [Rao-Smith]



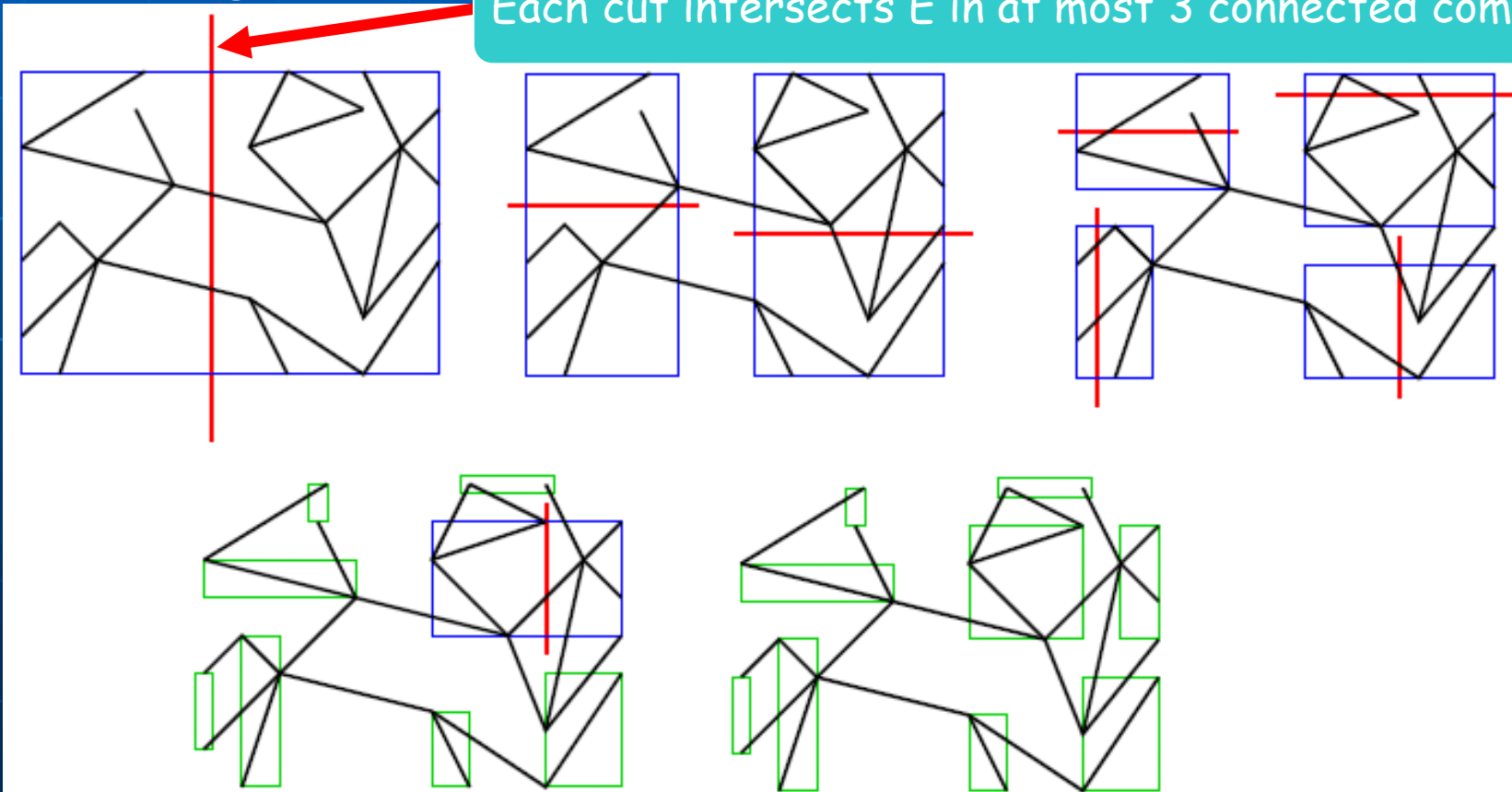
What should the special recursive structure be?

# One Possibility: m-Guillotine Structure

Network edge set  $E$  is  $m$ -guillotine if it can be recursively partitioned by horiz/vertical cuts, each having small ( $O(m)$ ) complexity wrt  $E$

**Example:** 3-guillotine

Each cut intersects  $E$  in at most 3 connected components



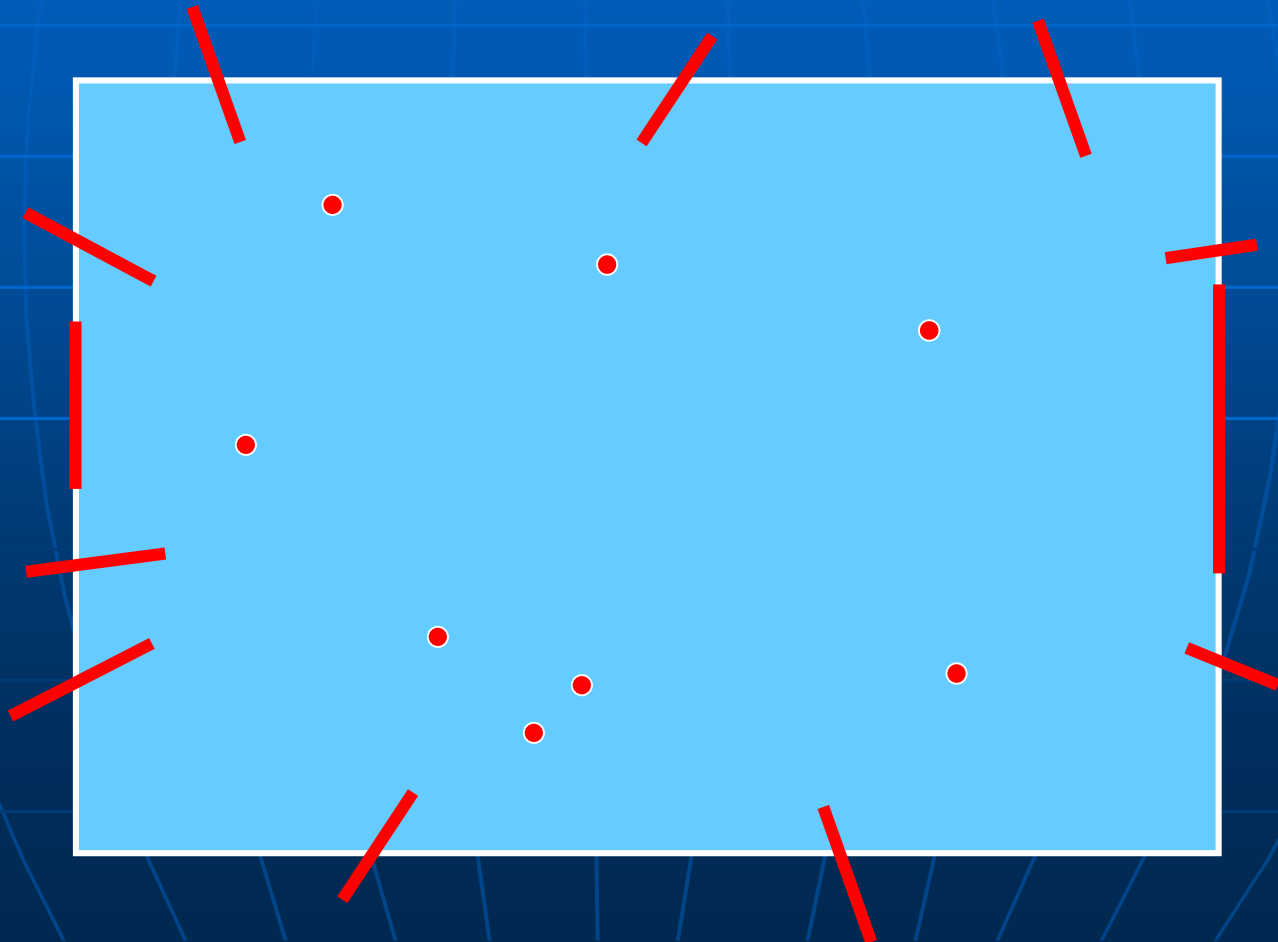
# Why m-Guillotine?

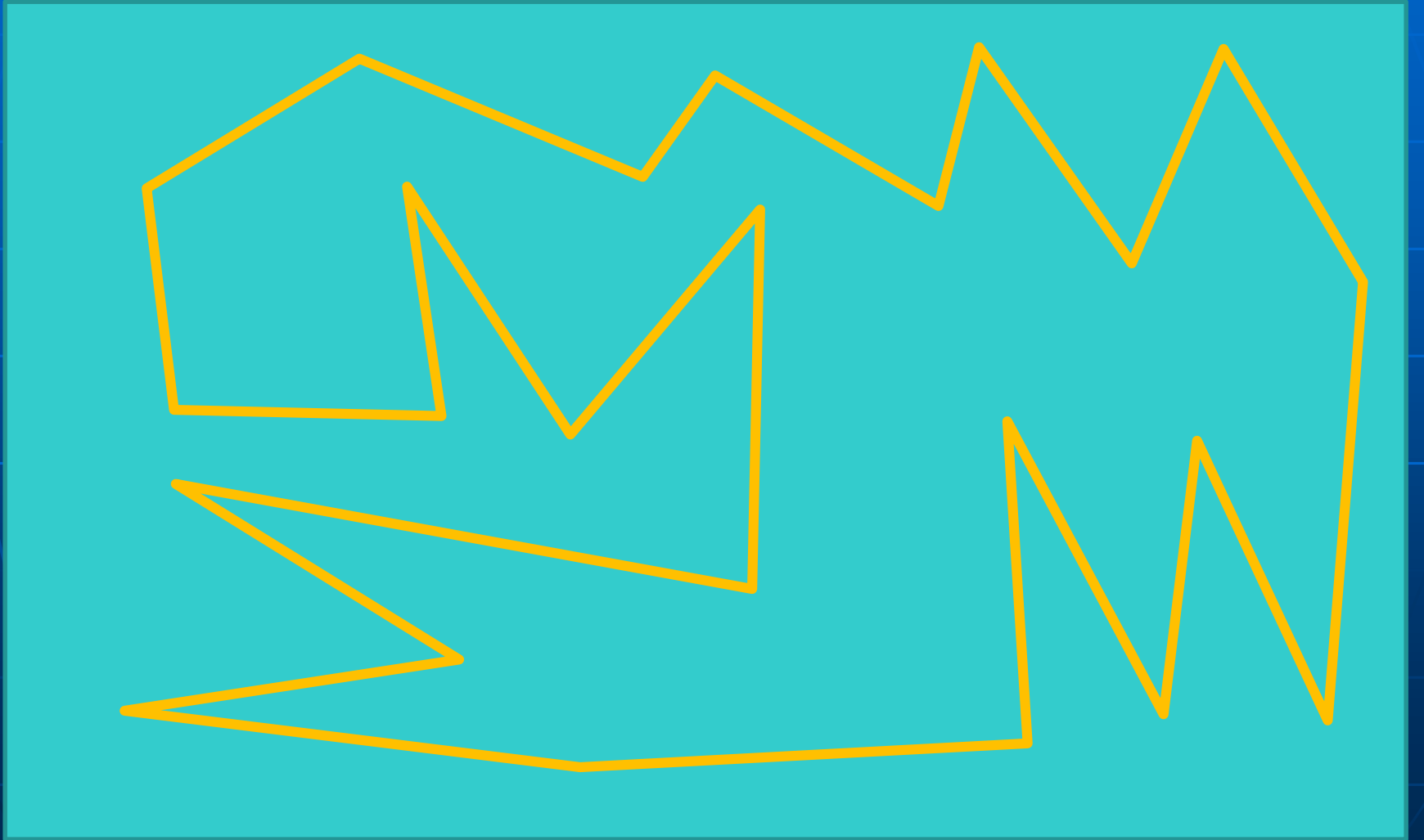
## Desired Recursive Structure

It is "just" what is needed for dynamic programming to work!

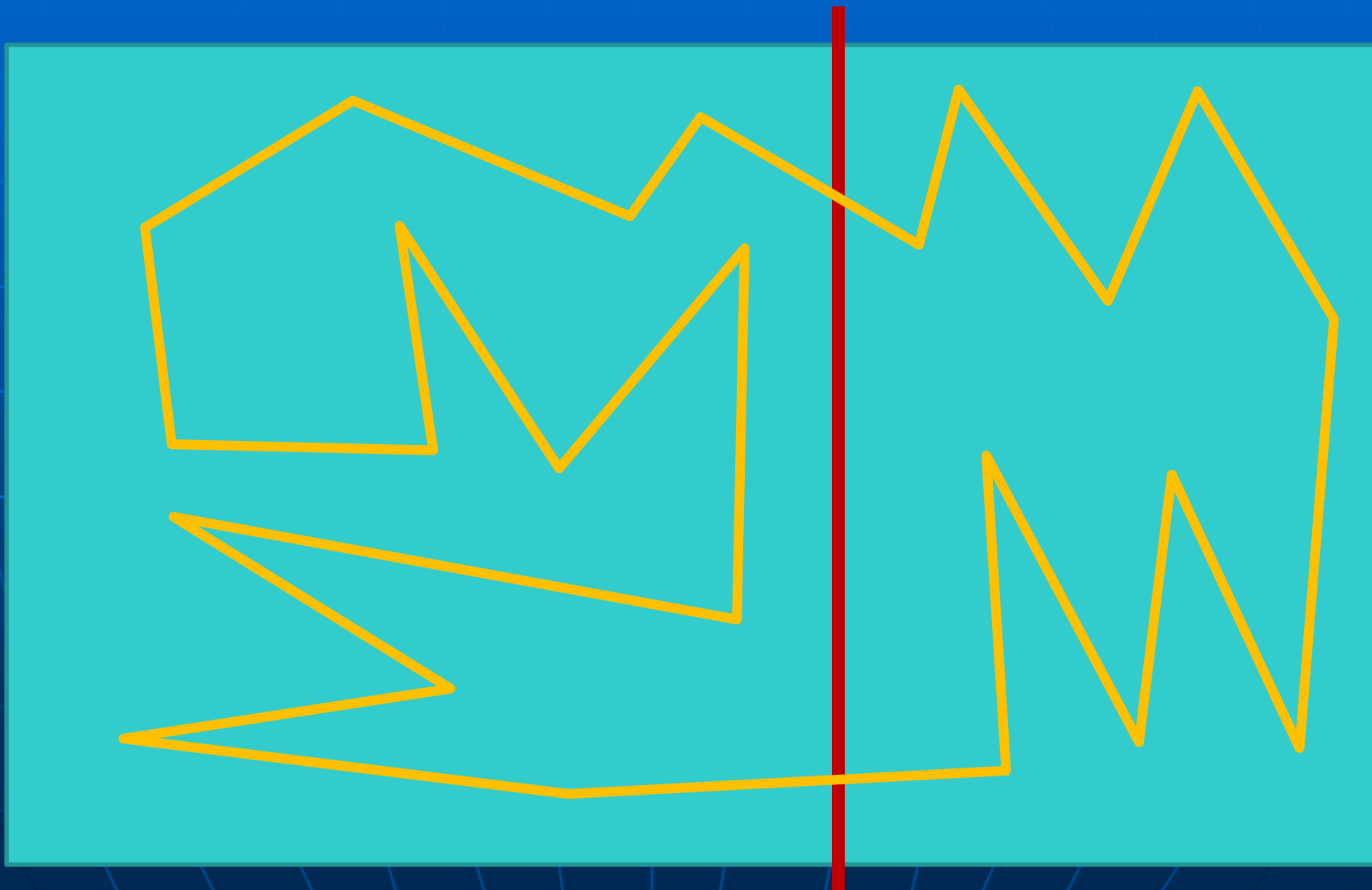
Rectangular **subproblem** in dynamic program (recursion)

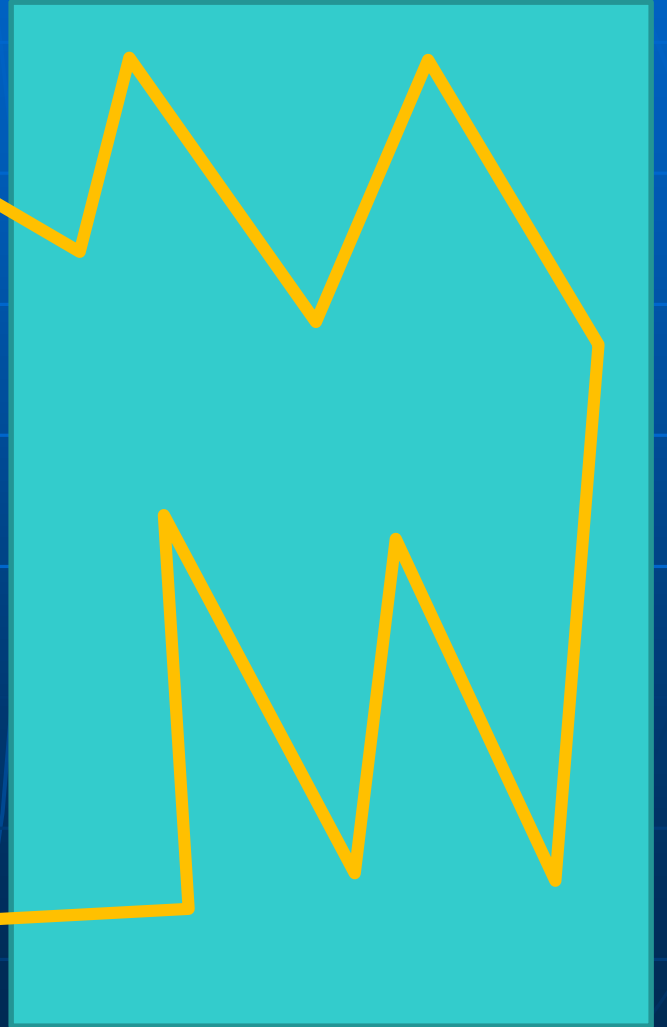
Constant  
( $O(m)$ )  
information  
flow across  
boundary

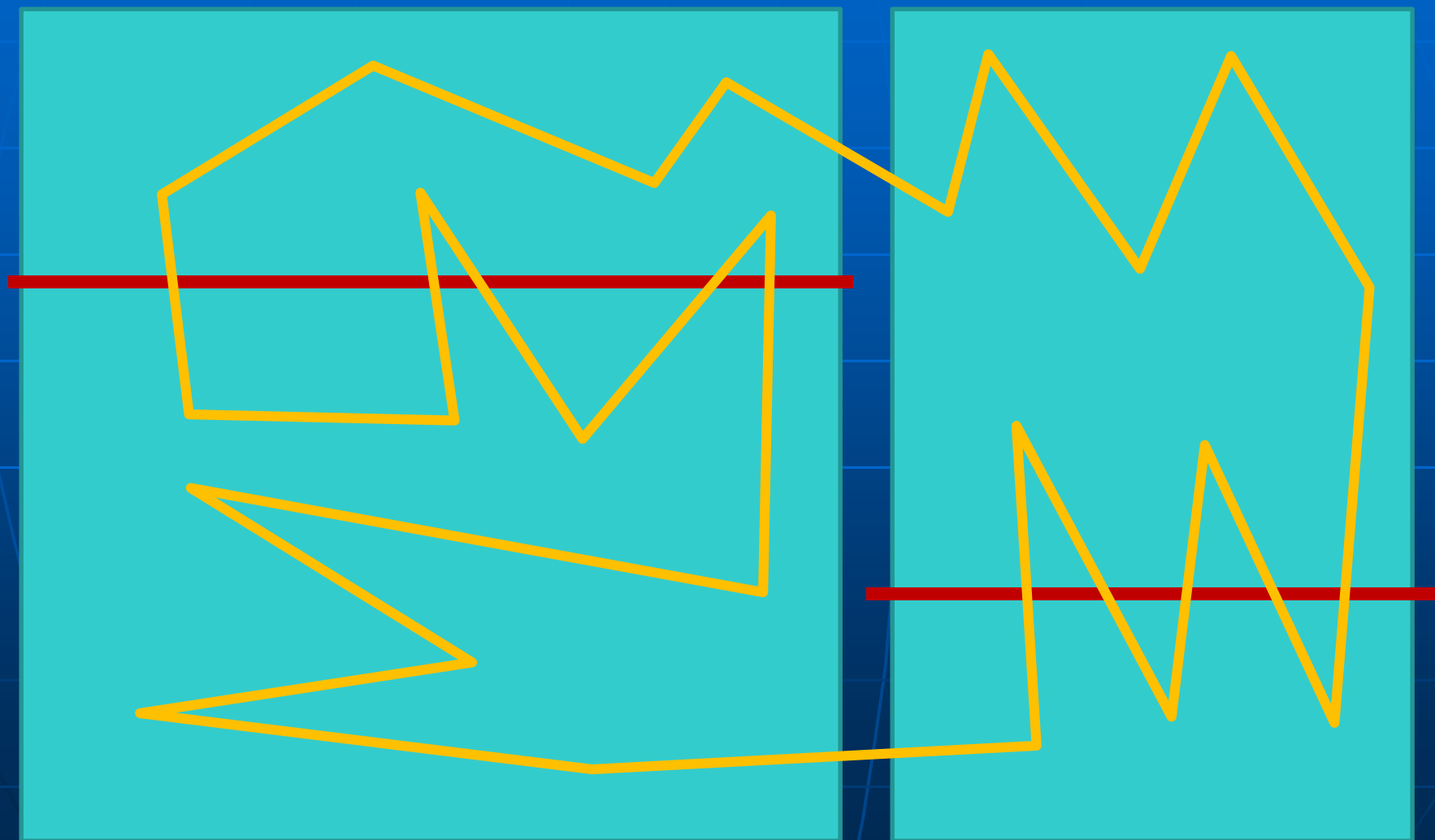


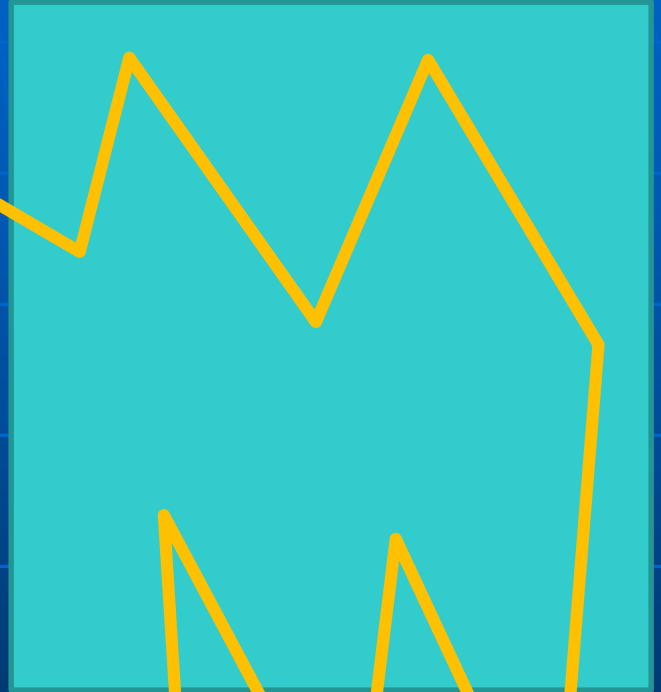
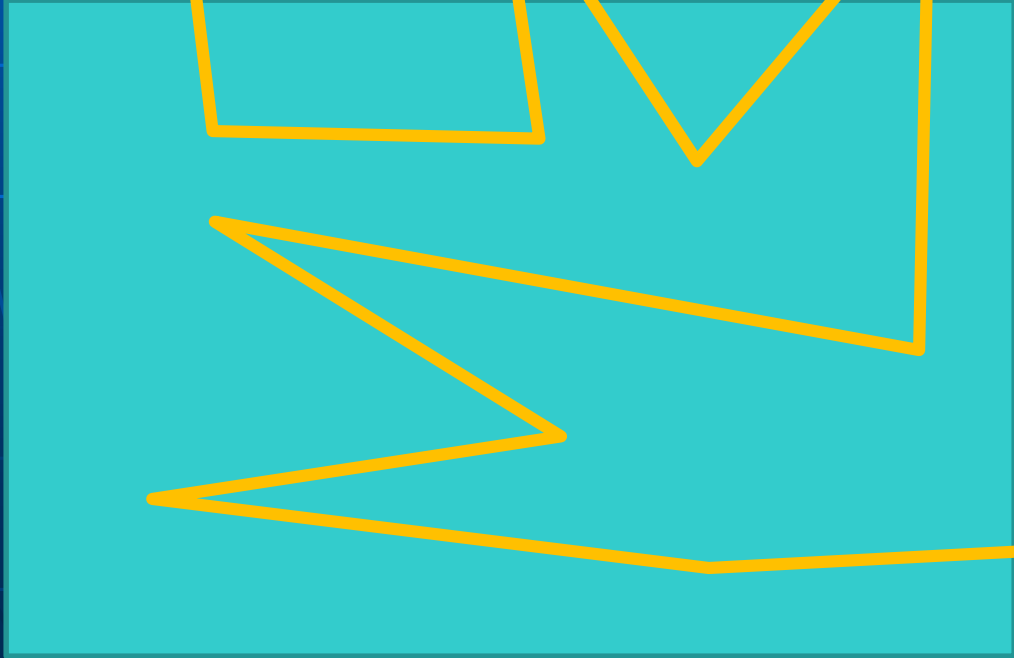


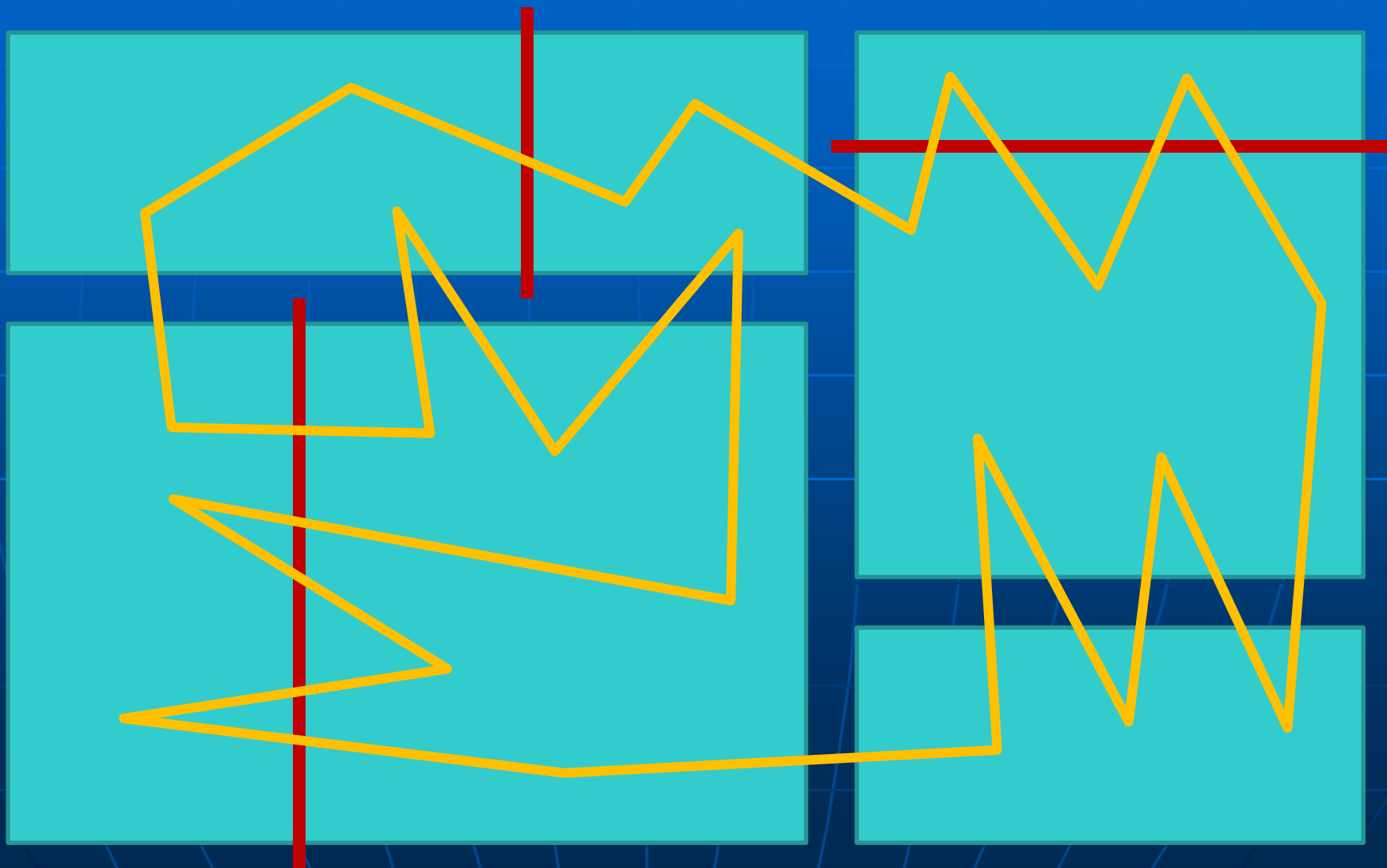


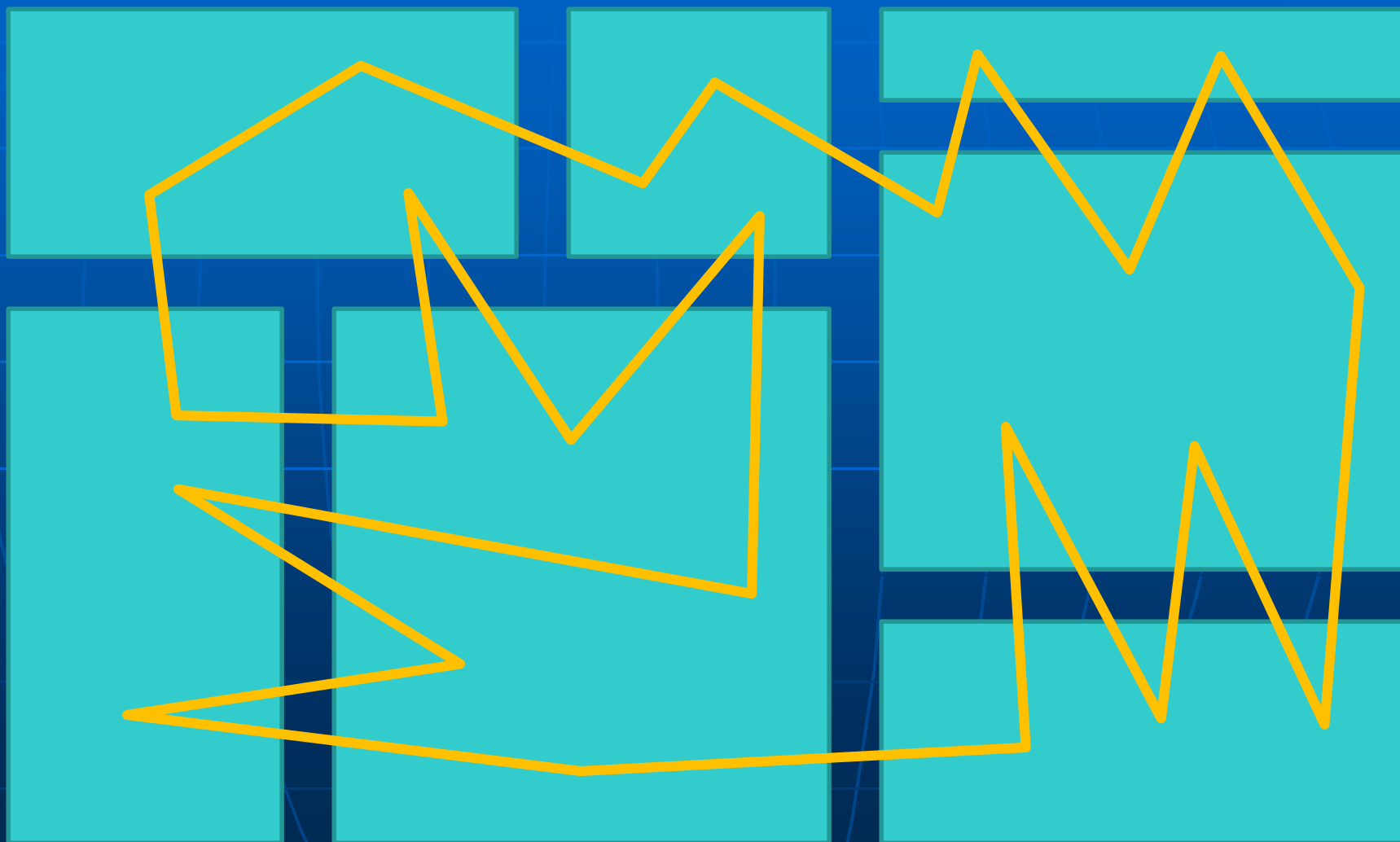








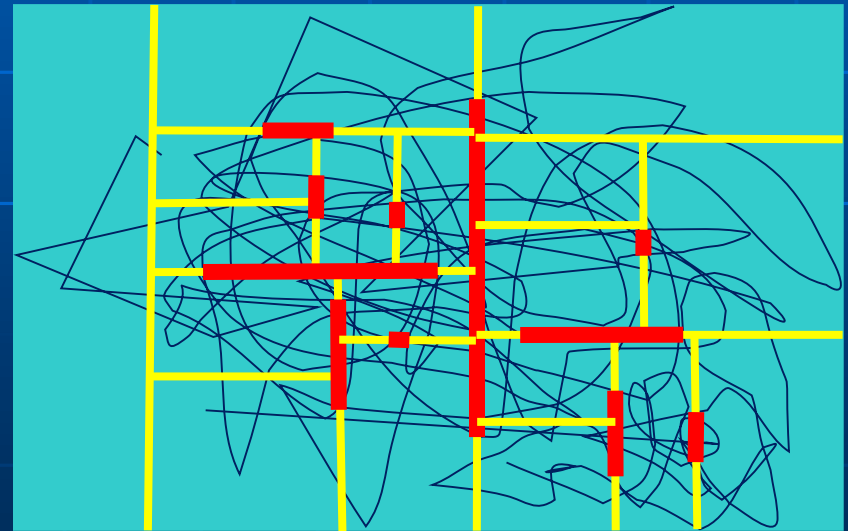
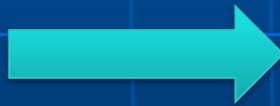




# m-Guillotine Structure Theorem

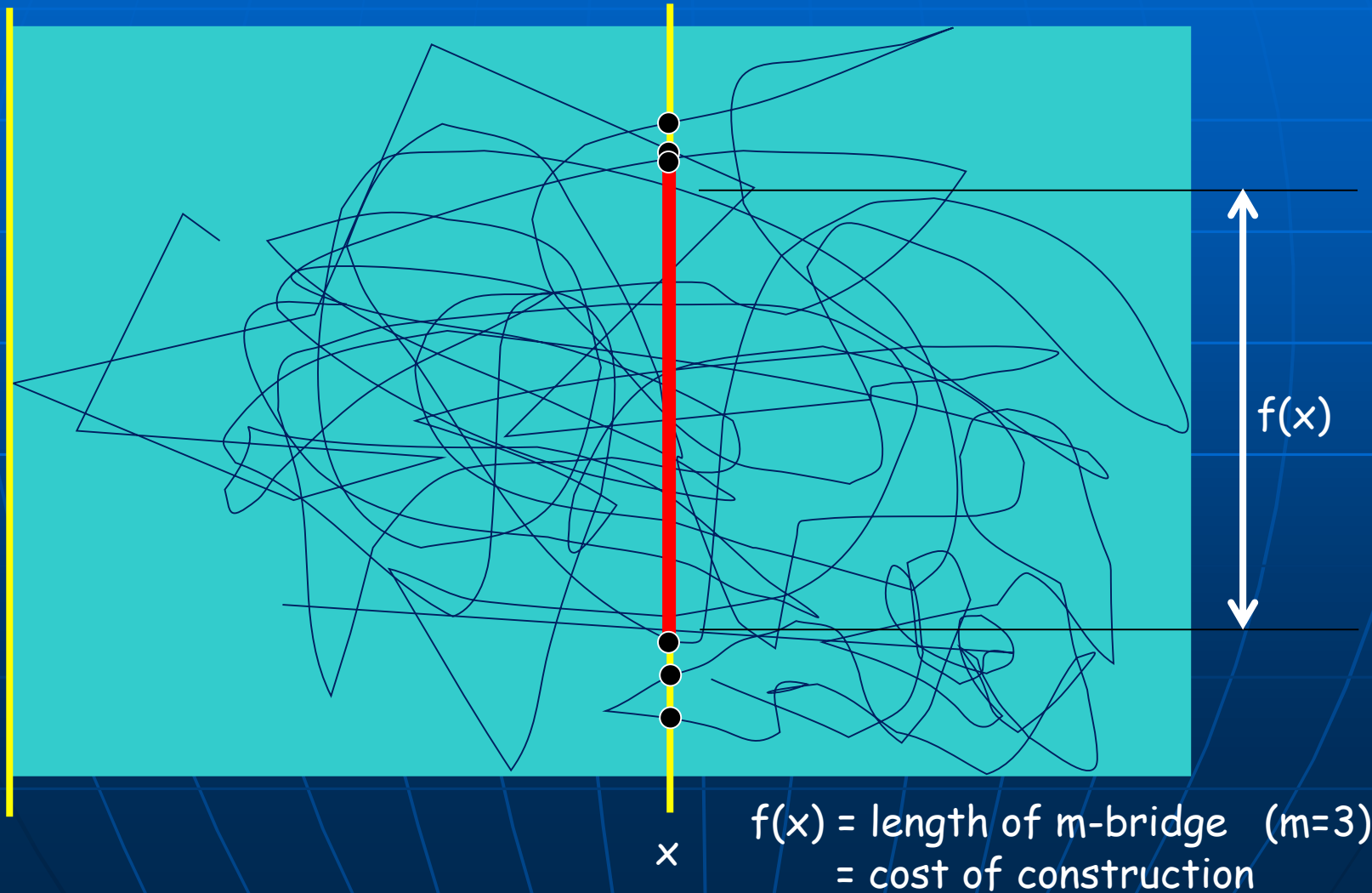
Any set  $E$  of edges of length  $L$  can be made to be  $m$ -guillotine by adding length  $O(L/m)$  to  $E$ , for any positive integer  $m$ .

Proof: Based on a charging scheme.



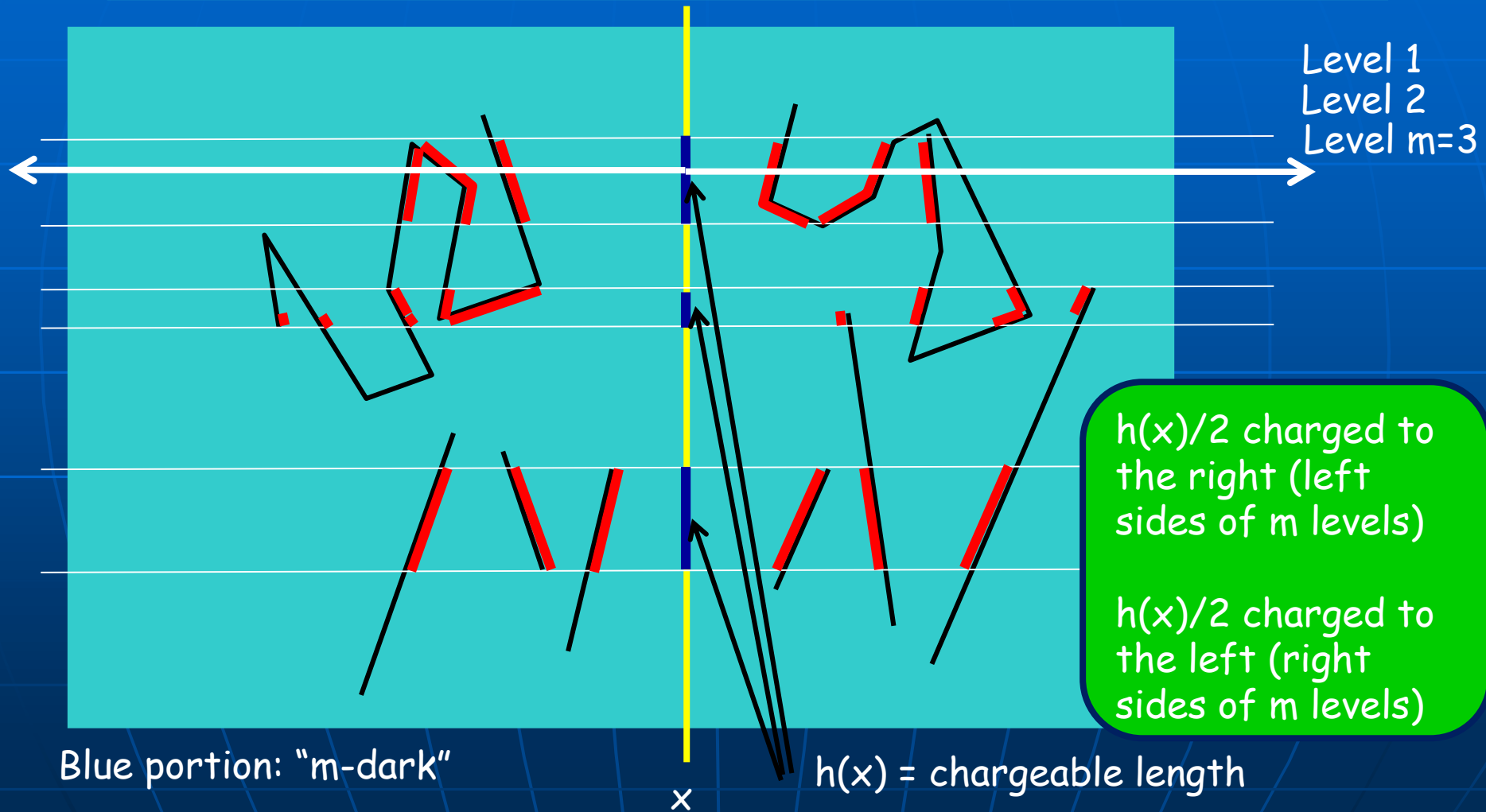
While this “scribble” may not be  $m$ -guillotine, it is “close” in that it can be made  $m$ -guillotine by adding only  $(1/m)$ th of its length

# Possible Vertical Cuts





# Paying for the Bridge Construction: The Chargeable Length



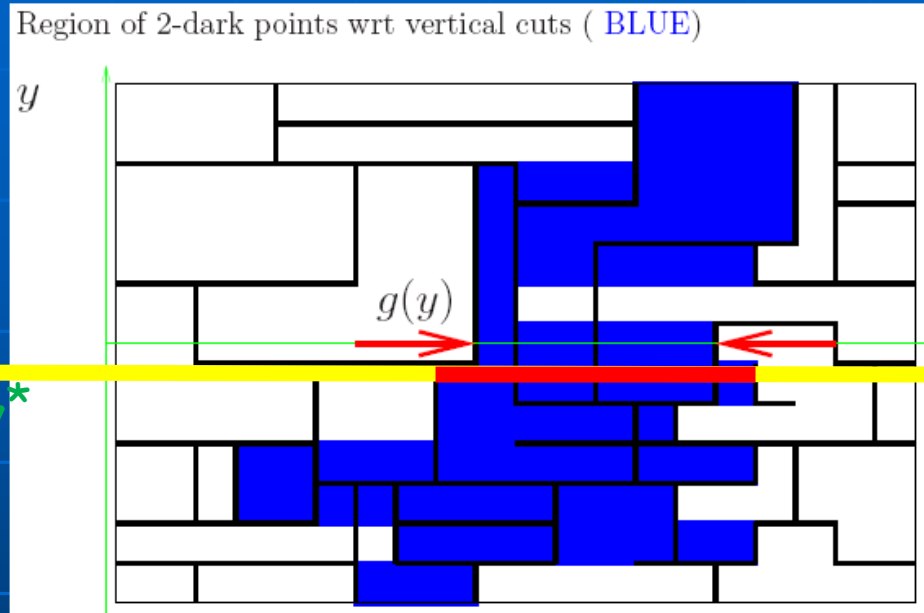
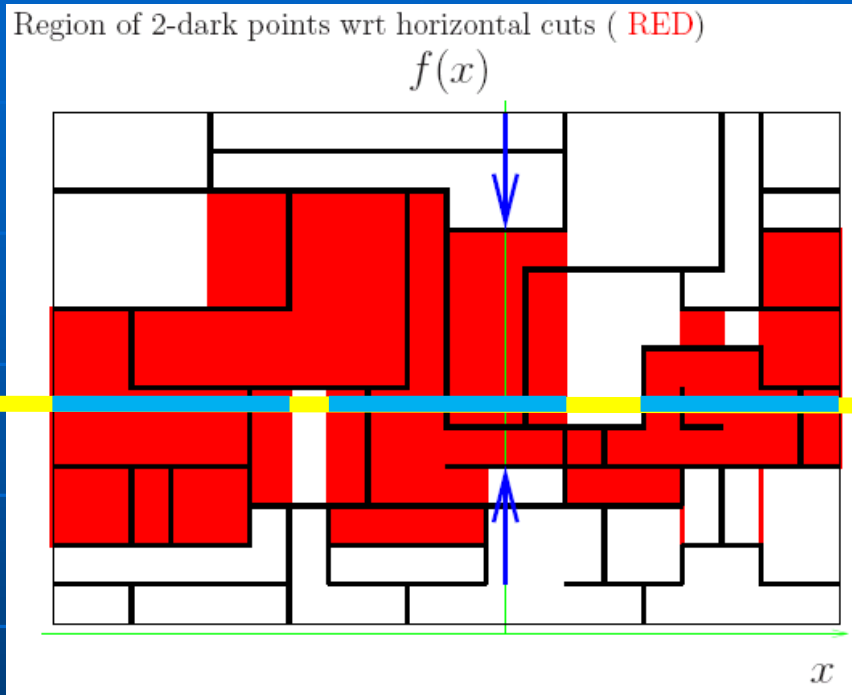
NOTE: After cut, charged lengths never charged again

# Key Lemma

- There exists a cut whose chargeable length is  $\geq$  its cost (length of m-span)

There exists a "favorable cut"

**Key Lemma:** A cut exists with chargeable length  $\geq$  cost (length of m-span)



WLOG: RED area  $\geq$  BLUE area

Thus, there exists a  $y^*$  such that  
m-dark portion of cut  $y=y^* \geq g(y) = \text{cost of cut} = \text{m-span}$

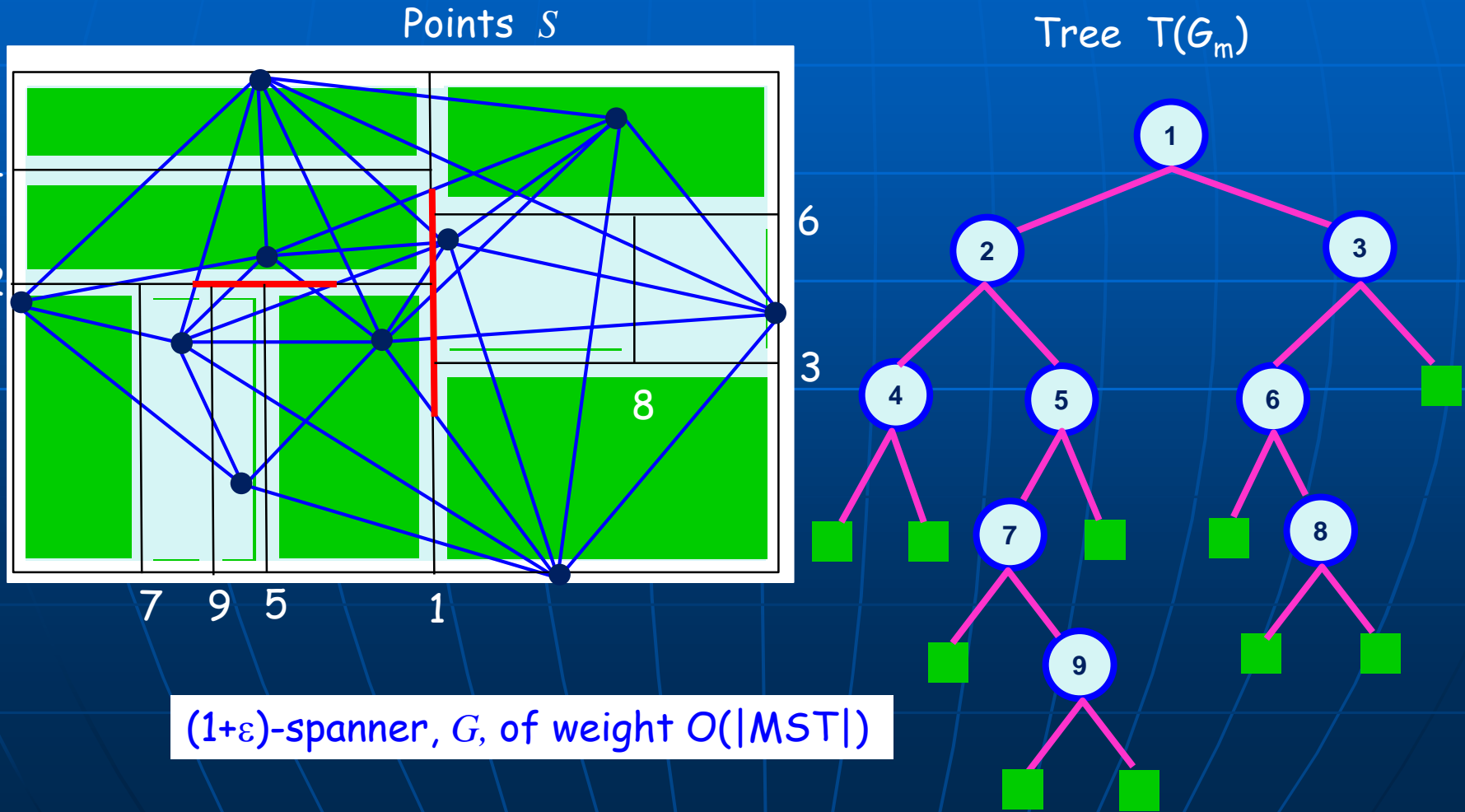
Chargeable Length

$\geq$

Cost of Cut

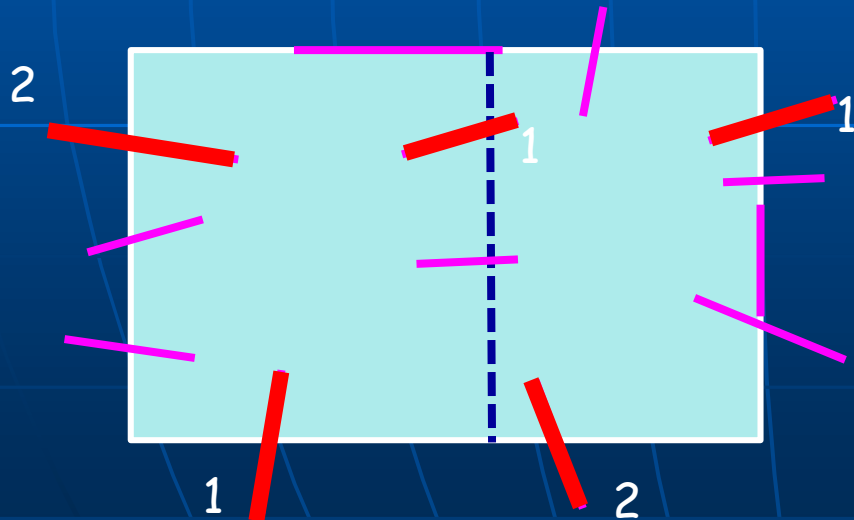
# A Simple $O(n \log n)$ PTAS

- Build  $(1+\varepsilon)$ -spanner,  $G$ , of  $n$  points  $O(n \log n)$  [Rao-Smith]
- Compute  $m$ -guillotine version,  $G_m$   $O(n \log n)$ 
  - Associated (balanced) tree,  $T(G_m)$



# A Simple $O(n \log n)$ PTAS

- Build  $(1+\varepsilon)$ -spanner,  $G$ , of  $n$  points  $O(n \log n)$
- Compute  $m$ -guillotine version,  $G_m$   $O(n \log n)$ 
  - Associated (balanced) tree,  $T(G_m)$
- DP: Min-weight spanning subgraph of  $G_m$ 
  - $O(n)$  Subproblems: nodes of  $T(G_m)$ , plus  **$O(1)$  info**  $(2^{O(m)})$



Specify which subset of the  $O(m)$  boundary segs of  $G_m$  OPT should use and how many times (1 or 2) it uses it

OPT can be moved onto spanner  $G$  (factor  $(1+\varepsilon)$ ), which is subgraph of  $G_m$

$$|OPT_G| \leq (1+\varepsilon)|OPT|$$

Sum of bridge lengths added:

$$|bridges| = O(\varepsilon |G|) = O(\varepsilon |MST|) = O(\varepsilon |OPT|)$$

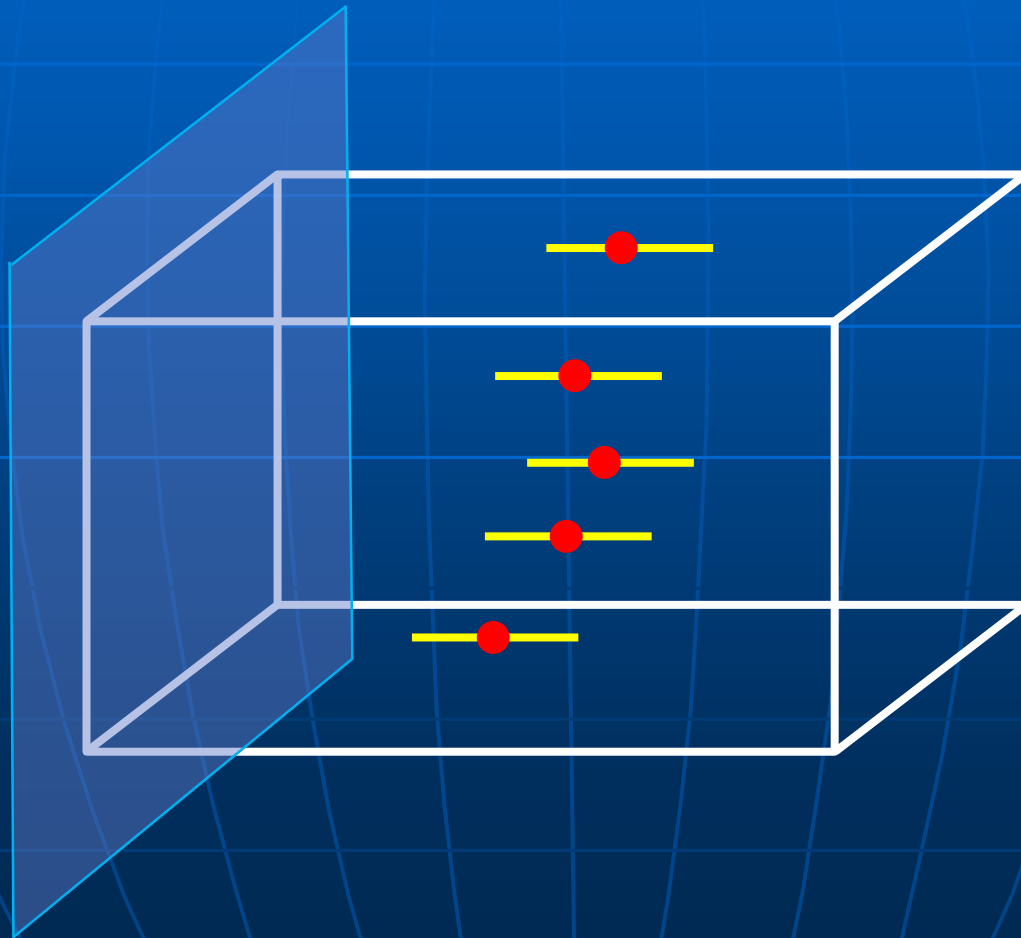
Algorithm computes  $OPT_{G_m}$

$$|OPT_{G_m}| \leq |OPT_G| + |bridges|$$

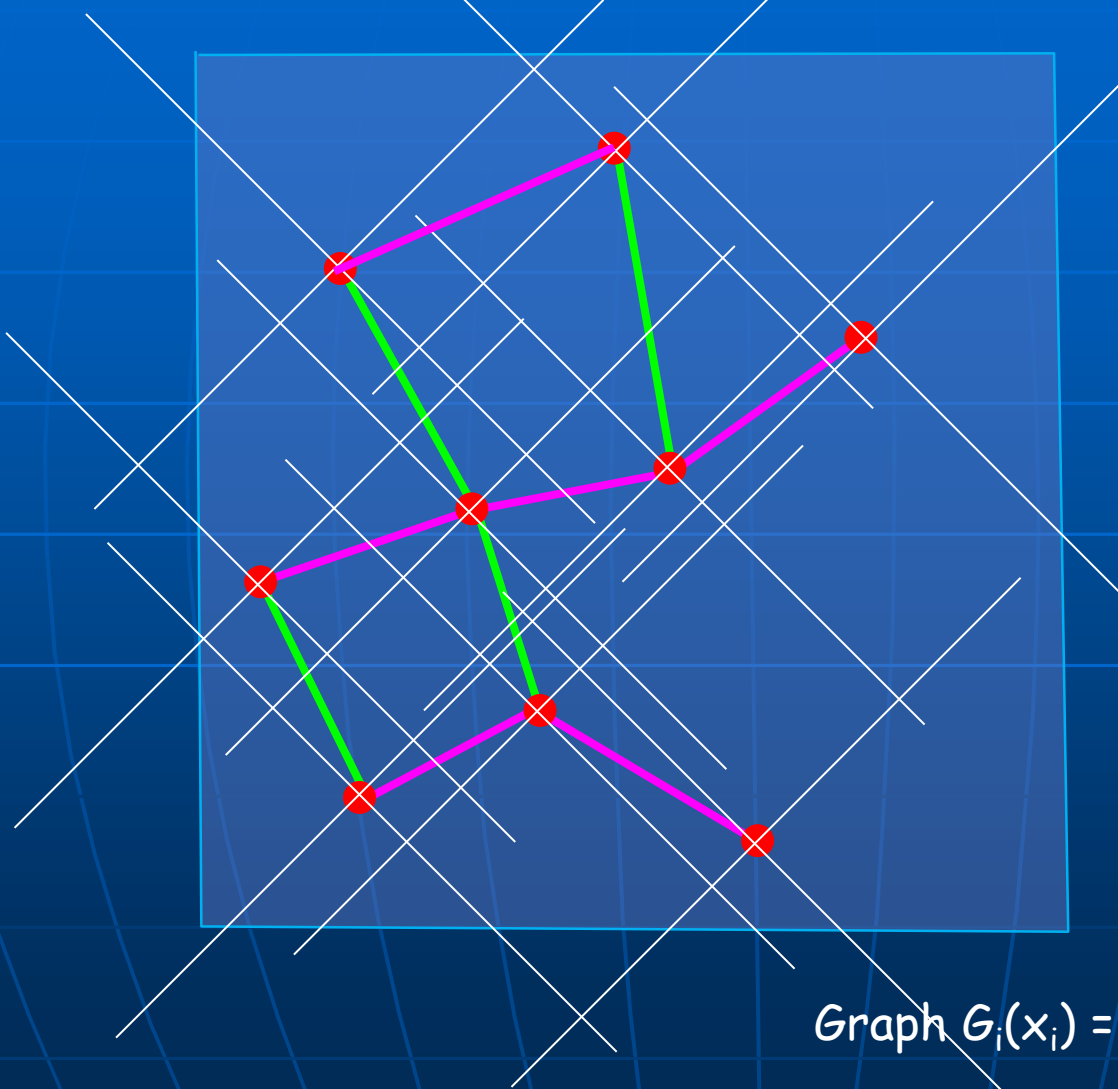
$$\leq (1+\varepsilon)|OPT| + O(\varepsilon |OPT|)$$

# Higher Dimensions

- m-guillotine applies:



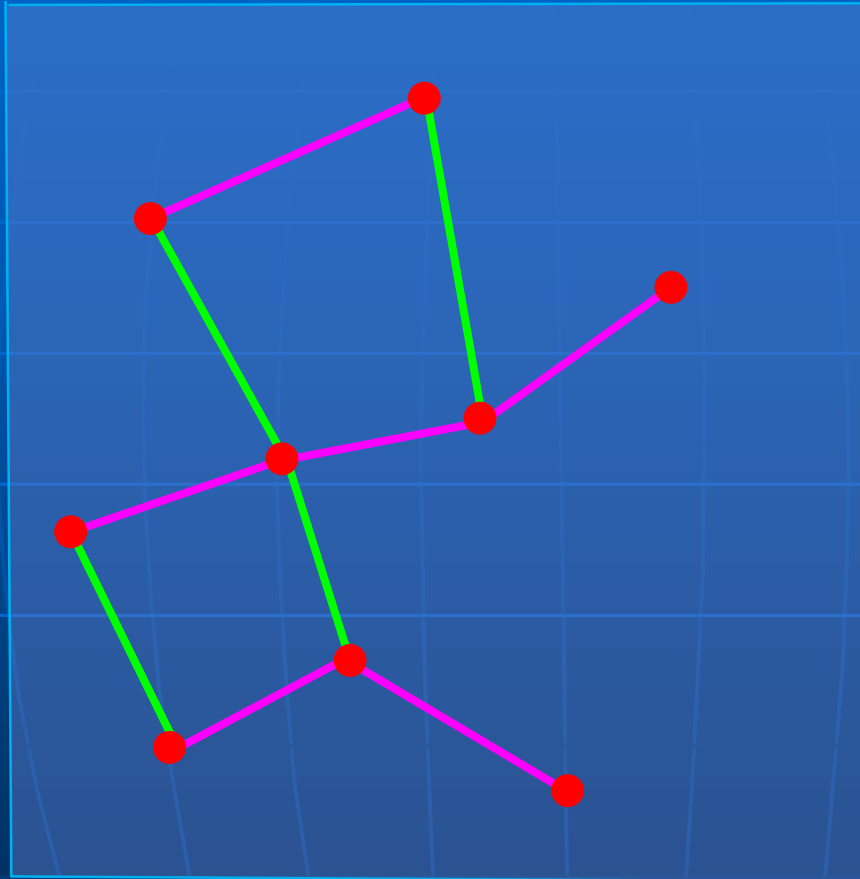
# View of a Slice



Graph  $G_i(x_i) = \text{green} \cup \text{magenta edges}$

Cross section orthogonal to  $x_i$

# View of a Slice

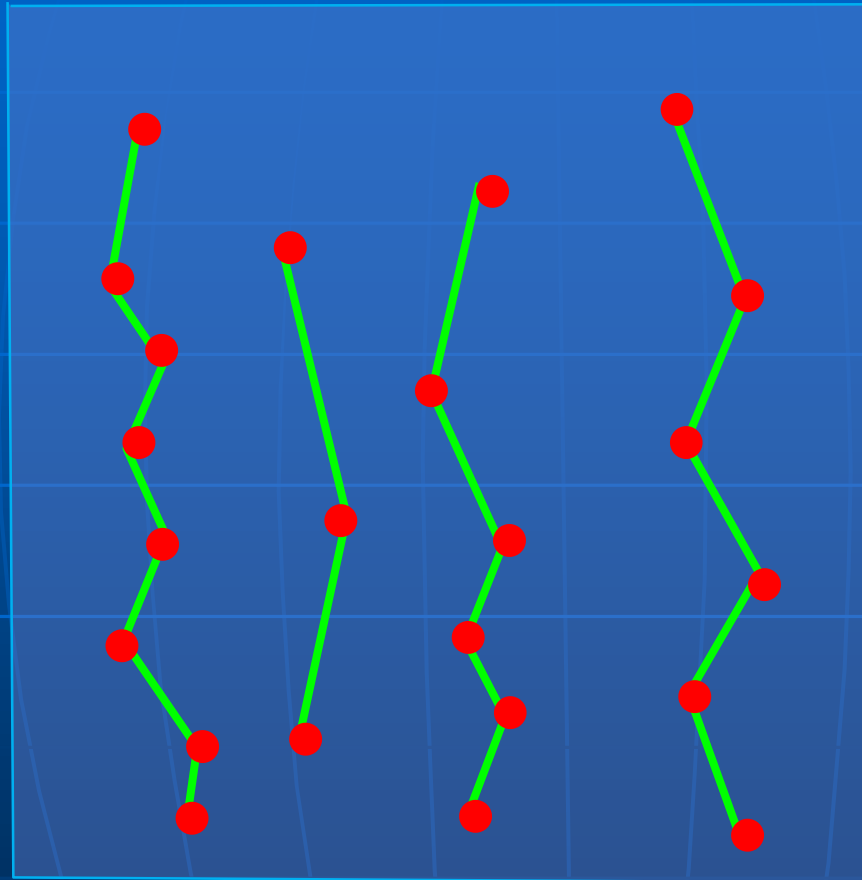


Graph  $G_i(x_i) = \text{green} \cup \text{magenta edges}$   
= union of disjoint green/magenta paths

Cross section orthogonal to  $x_i$



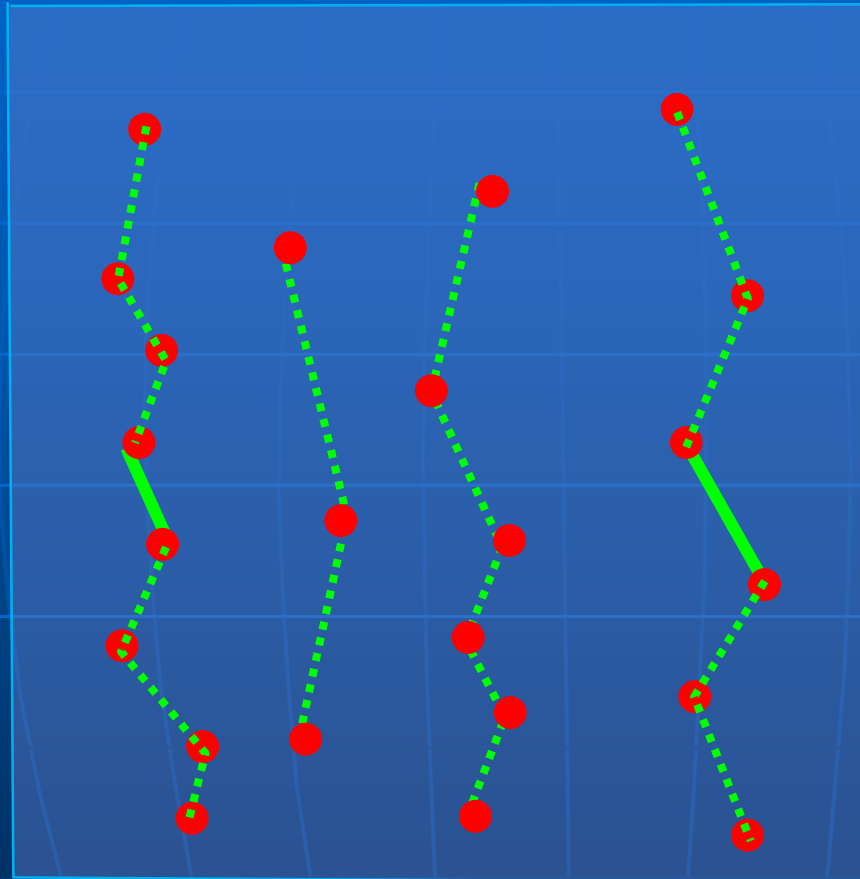
# View of a Slice



m-deep green edges

Cross section orthogonal to  $x_i$

# View of a Slice



Similarly define m-deep magenta edges.

$G_i^{(m)}(x_i)$  = all m-deep edges

**Claim:** The m-deep graph,  $G_i^{(m)}(x_i)$ , has  $O(m^{d-1})$  connected components

m-deep ( $m=3$ ) green edges 

Cross section orthogonal to  $x_i$

# m-Guillotine in $\mathbb{R}^d$

- "Cost" of cut orthogonal at  $x_i$  = length of the m-deep edge set =  $f_i(x_i) = |G_i^{(m)}(x_i)|$ 
  - Integrate wrt  $x_i$  = Area<sub>i</sub> (of 2-manifold  $M_i$ )
- "Chargeable" length of cut =  $\sum_{j \neq i} h_j(x_i)$ , where  $h_j(x_i)$  = length of the  $x_i$ -cross-section of  $M_j$
- Lemma: If Area<sub>i</sub> = min<sub>j</sub>(Area<sub>j</sub>), then there exists  $x_i^*$  with  $f_i(x_i^*) \leq 1/(d-1) \sum_{j \neq i} h_j(x_i^*)$   
i.e., there exists a favorable cut

# $m$ -Guillotine in $\mathbb{R}^d$

- Each unit of edge length parallel to  $x_j$  gets charged at most  $(d-1)$  times, each time with  $1/m(d-1)$  of its length (and will not be charged again after the cut)

# Other Metrics

- General metric TSP: APX-hard
- Bounded intrinsic (doubling) dimension:

**Doubling dimension  $k = \text{ddim}(S)$  of finite metric space  $S$ :** Every ball of radius  $r$  can be covered by  $2^k$  balls of radius  $r/2$

- Talwar'04: QPTAS

$$2^{(\text{ddim}(S)/\varepsilon \cdot \log n)^{O(\text{ddim}(S))}}$$

- Bartal-Gottlieb-Krauthgamer'12: PTAS

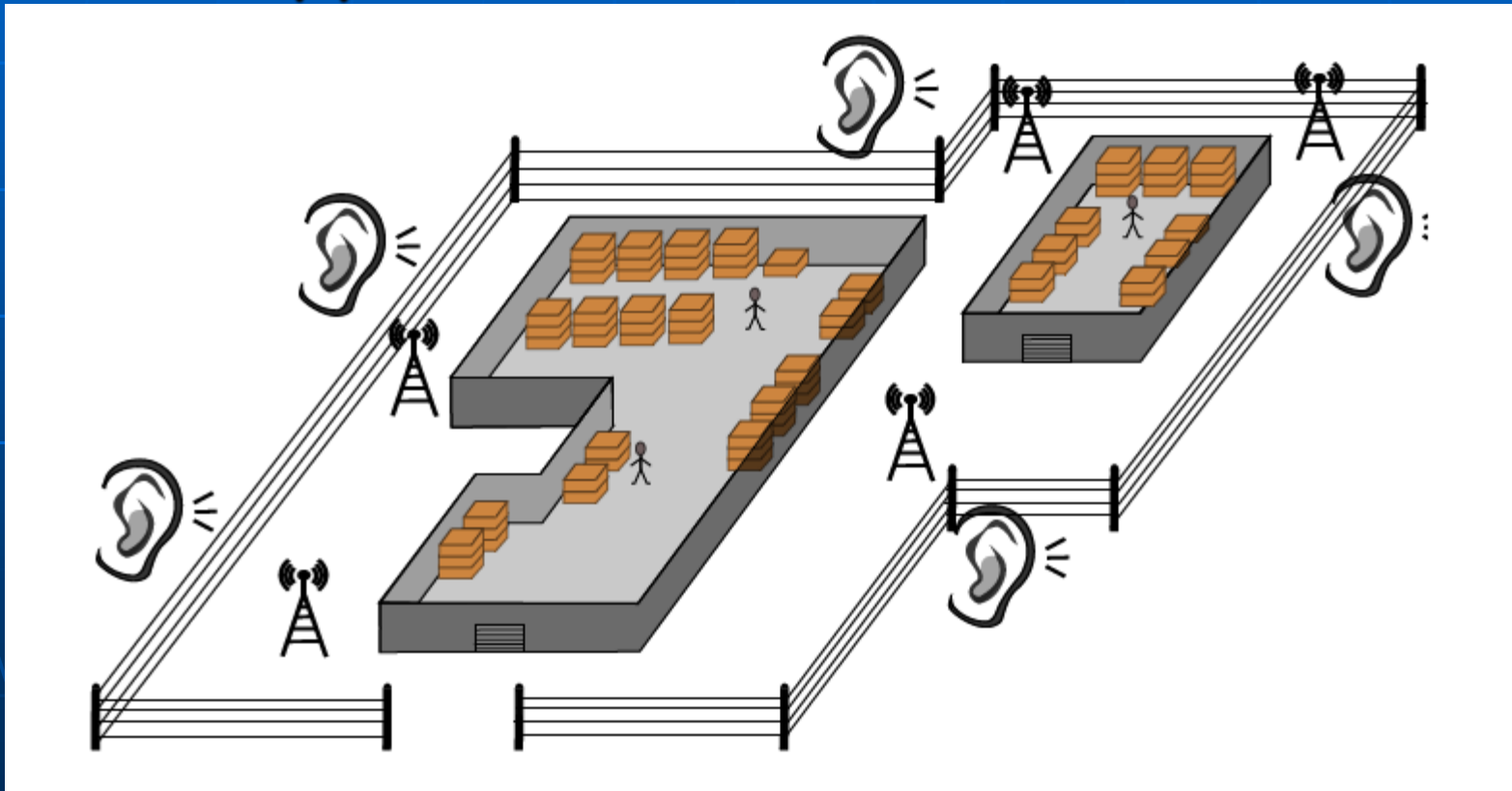
**Theorem 1.3.** *A  $(1 + \varepsilon)$ -approximation to the optimal tour of a metric TSP instance  $S$  on  $n = |S|$  points can be computed by a randomized algorithm in time  $n^{2^{O(\text{ddim}(S))}} \cdot 2^{(2^{\text{ddim}(S)}/\varepsilon)^{O(\text{ddim}(S))}} \sqrt{\log n}$ .*

# Many Applications

- Optimal paths, tours, trees
- Min weight networks
- Optimal partitioning
- Packing problems, MIS
- Covering problems (disk cover, guarding, jamming)
- Facility location, relay placement
- Optimal separation
- Robot localization

# Protective Jamming

"Guards" provide jamming: protect from eavesdroppers

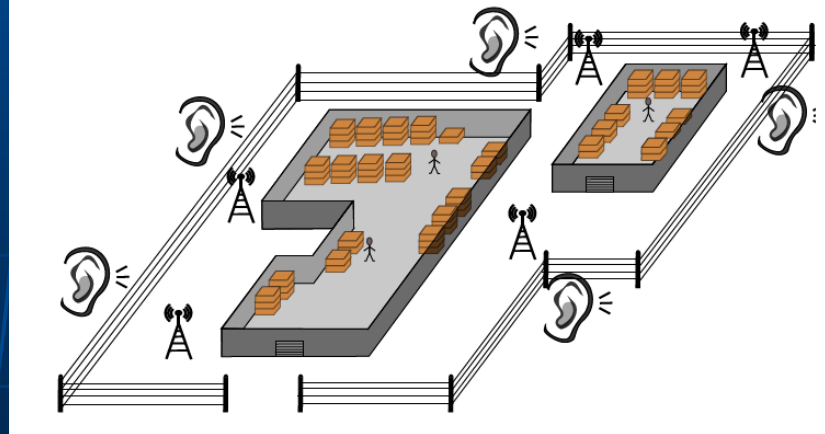




# Protective Jamming

**THEOREM 4.** *Given storage region(s)  $\mathcal{S}$ , fence  $\mathcal{F}$ , thresholds  $\delta_s, \delta_e$  and jammer power  $\hat{P}$ , under the NJ interference model, we can compute a set of locations  $J \subset \mathcal{F} \setminus \mathcal{S}$  in time  $O((T/\varepsilon^{O(1)})^{O(1/\varepsilon^2)})$  where  $T = \min\{\mathcal{L}_{\mathcal{F}}^2, \mathcal{L}_{\mathcal{S}}^2, n^2 \text{OPT}^2\}$  such that  $|J| \leq (1 + \varepsilon)\text{OPT}$  and if jammers of power  $\hat{P}$  are placed at  $J$ ,*

- (i) *For any point  $p_e \in \mathcal{E}$ ,  $\text{SIR}(J, p_e) < (1 + \varepsilon)\delta_e$ .*
- (ii) *For any point  $p_s \in \mathcal{S}$ ,  $\text{SIR}(J, p_s) > \delta_s$ .*





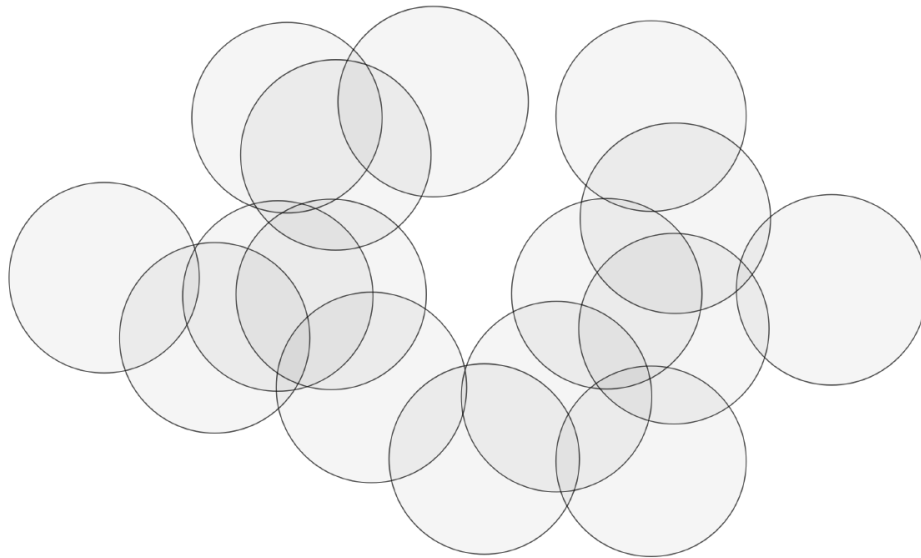
# MACS: Maximum Area Connected Subset

## Definition: Connected Unit-disk $k$ -coverage

**In:** A (connected) set of unit-area-disks in the Euclidean plane and an integer  $k$

**Out:** A connected subset  $S$  of size  $k$

**Goal:** Maximize the area covered by  $S$

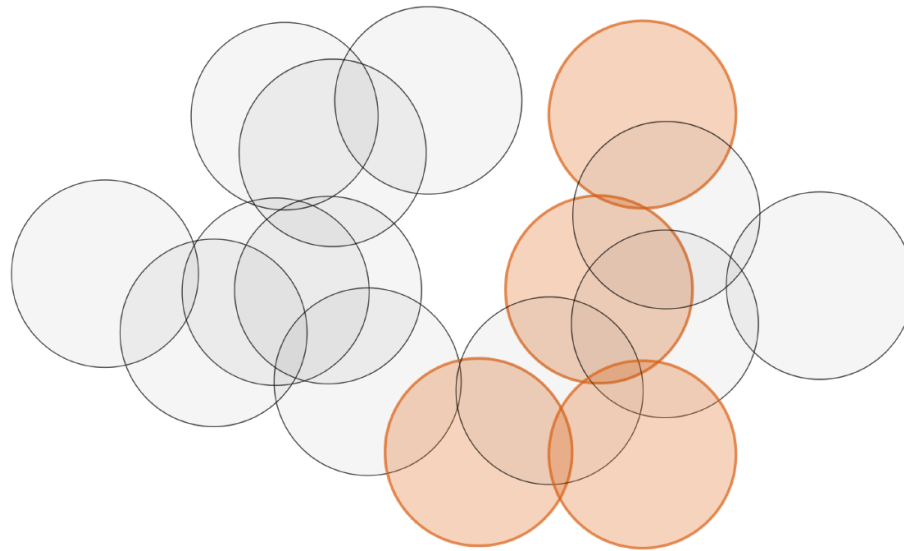


## Definition: Connected Unit-disk $k$ -coverage

**In:** A (connected) set of unit-area-disks in the Euclidean plane and an integer  $k$

**Out:** A connected subset  $S$  of size  $k$

**Goal:** Maximize the area covered by  $S$



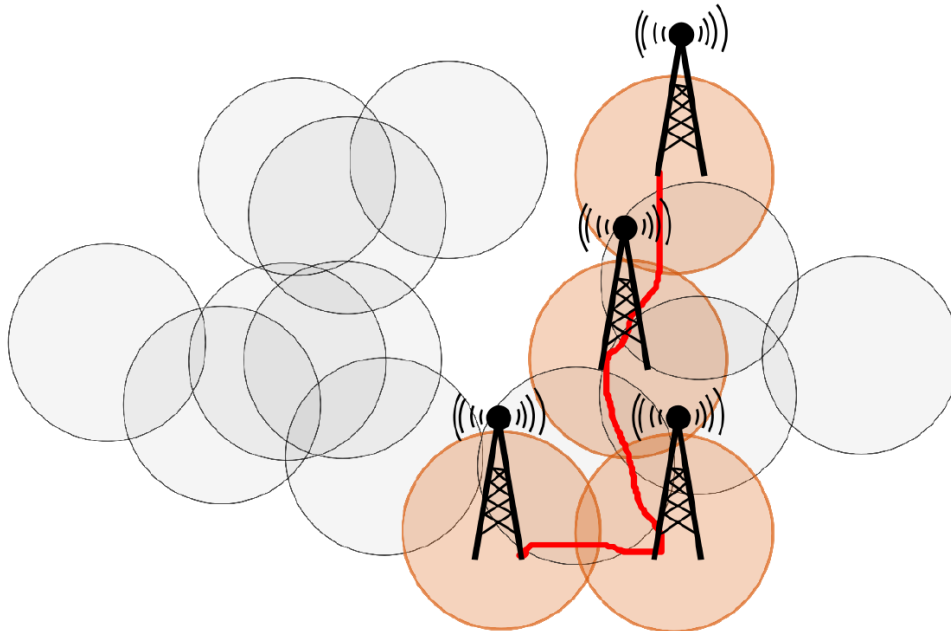
$$k = 4$$

## Definition: Connected Unit-disk $k$ -coverage

**In:** A (connected) set of unit-area-disks in the Euclidean plane and an integer  $k$

**Out:** A connected subset  $S$  of size  $k$

**Goal:** Maximize the area covered by  $S$

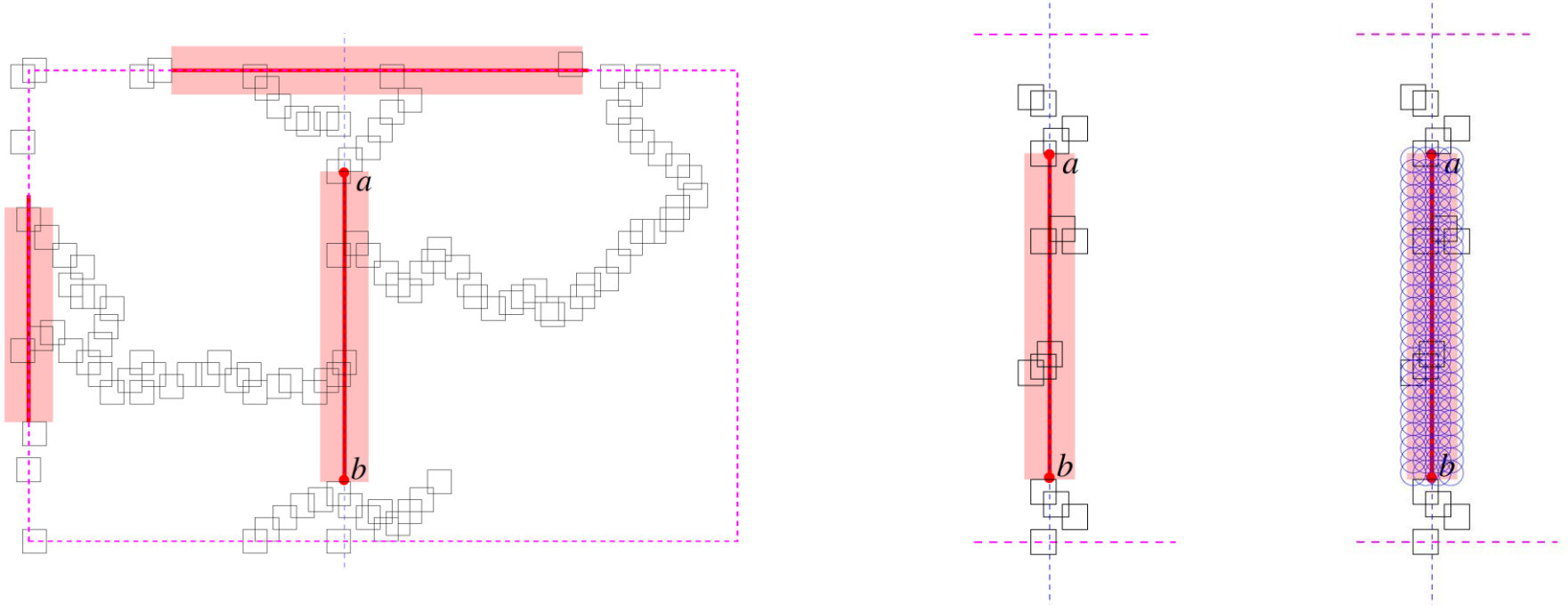


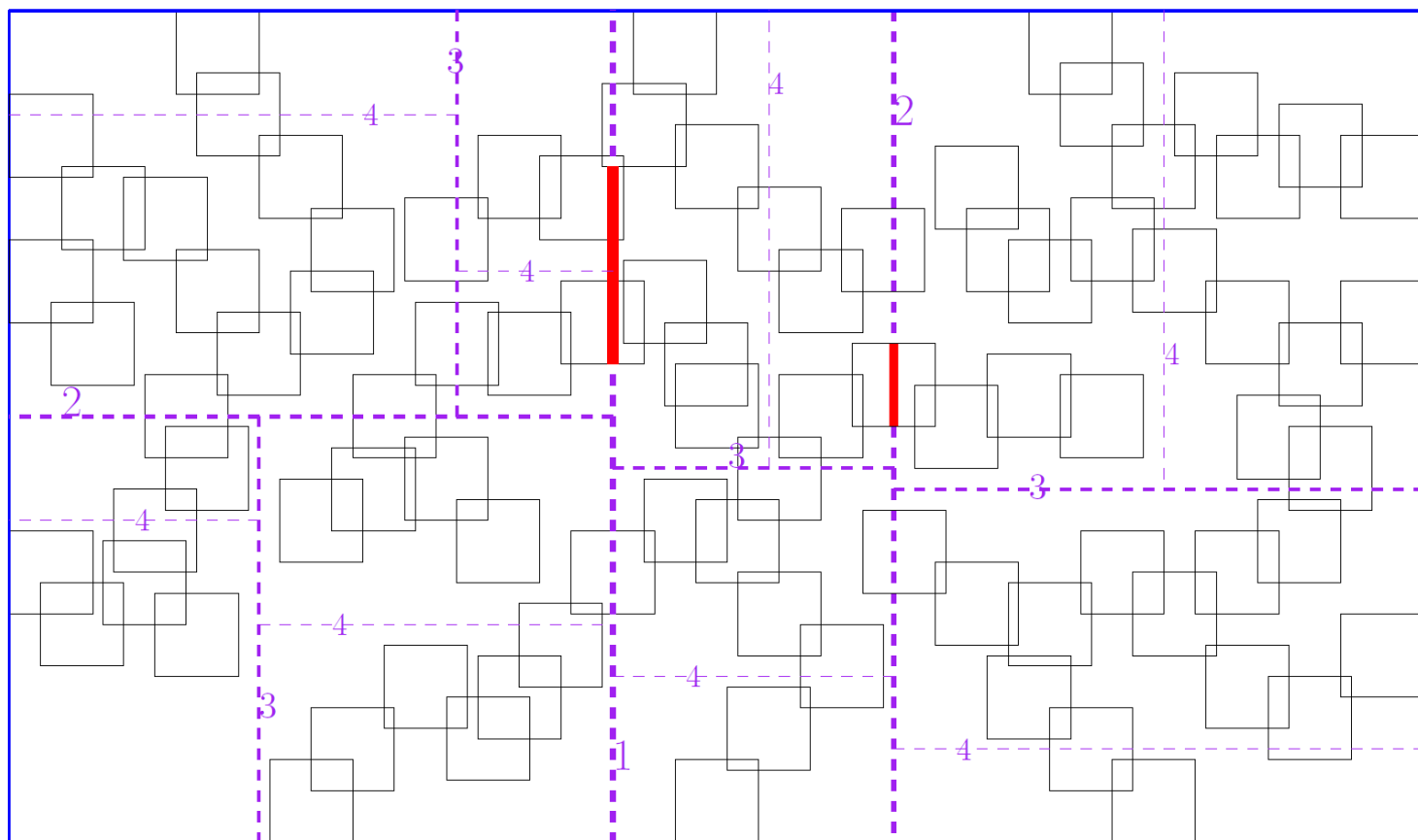
**Theorem 1** (Hardness). MACS is NP-hard.

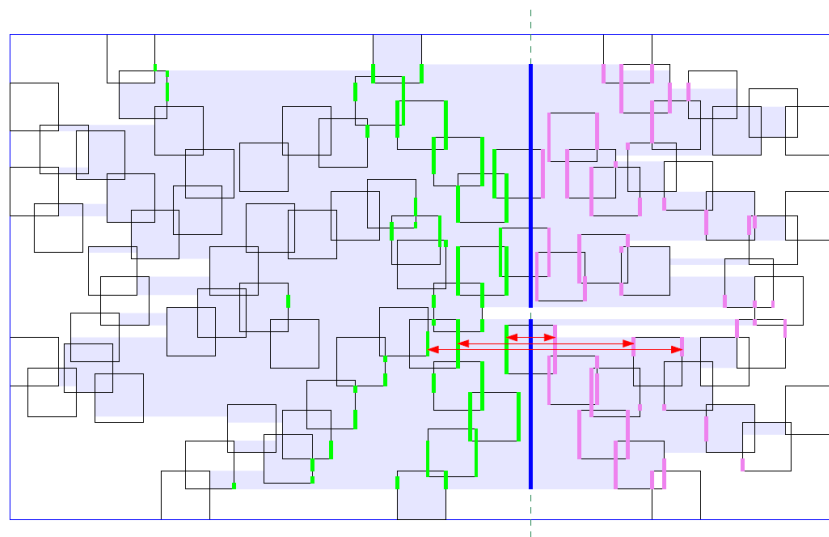
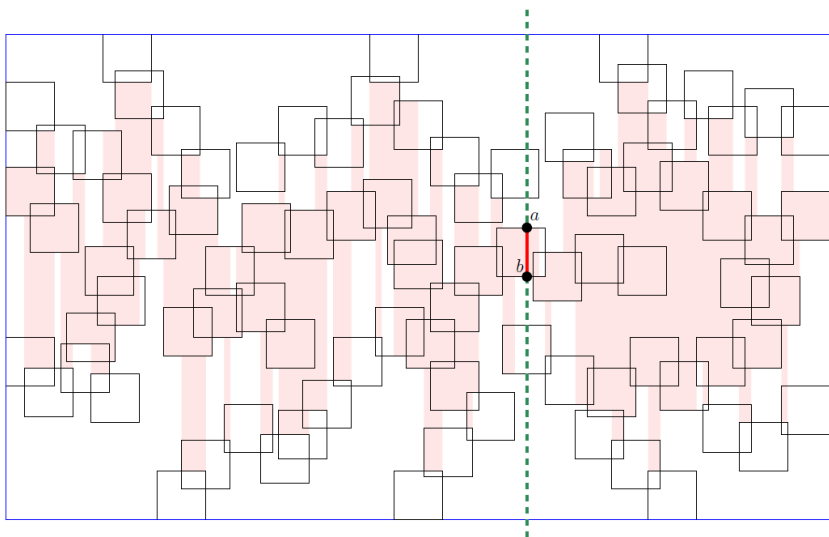
**Theorem 2** (Approximation). MACS has a  $(1/2)$ -approximation that can be computed in polynomial time (Algorithm 1).

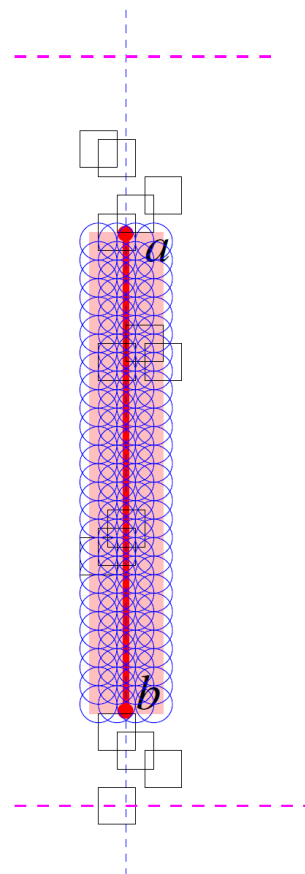
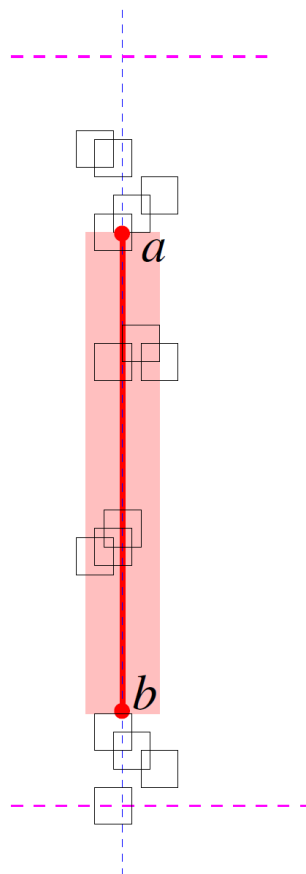
With resource augmentation, we obtain a  $(1 - \varepsilon)$ -approximation.

**Theorem 3** (Resource augmentation). Let  $\varepsilon > 0$  be fixed. Given a set  $X \subseteq \mathbb{R}^2$  of points and a positive integer  $k$ , there is a deterministic algorithm that computes, in time  $n^{O(\varepsilon^{-1})}$ , a subset  $S \subseteq X$  of size at most  $k$  and a set  $S_{add} \subseteq \mathbb{R}^2$  of at most  $\varepsilon k$  points, such that  $UDG(S \cup S_{add})$  is connected, and the area covered by the unit disks centered at  $S$  is at least  $(1 - \varepsilon)\mathbf{OPT}(X, k)$ .



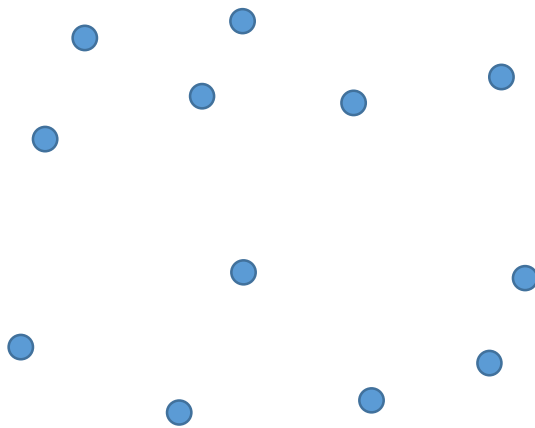




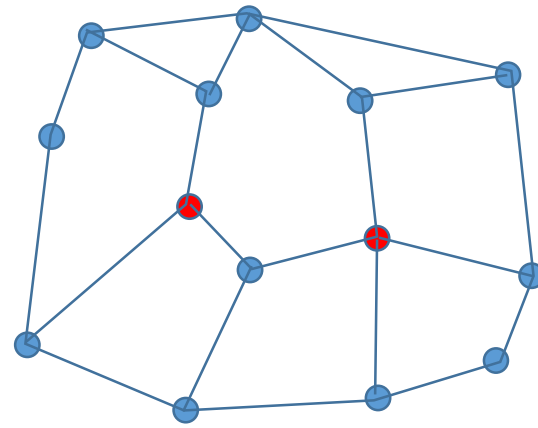


# Application: Min-Weight Convex Subdivision

- Given a set  $S$  of  $n$  points in 2D
- Goal: An embedded planar graph  $G = (V, E)$  with straight edges ( $E$ ), convex faces, and  $S \subseteq V$ , having minimum total Euclidean length of edge set  $E$ .



Input

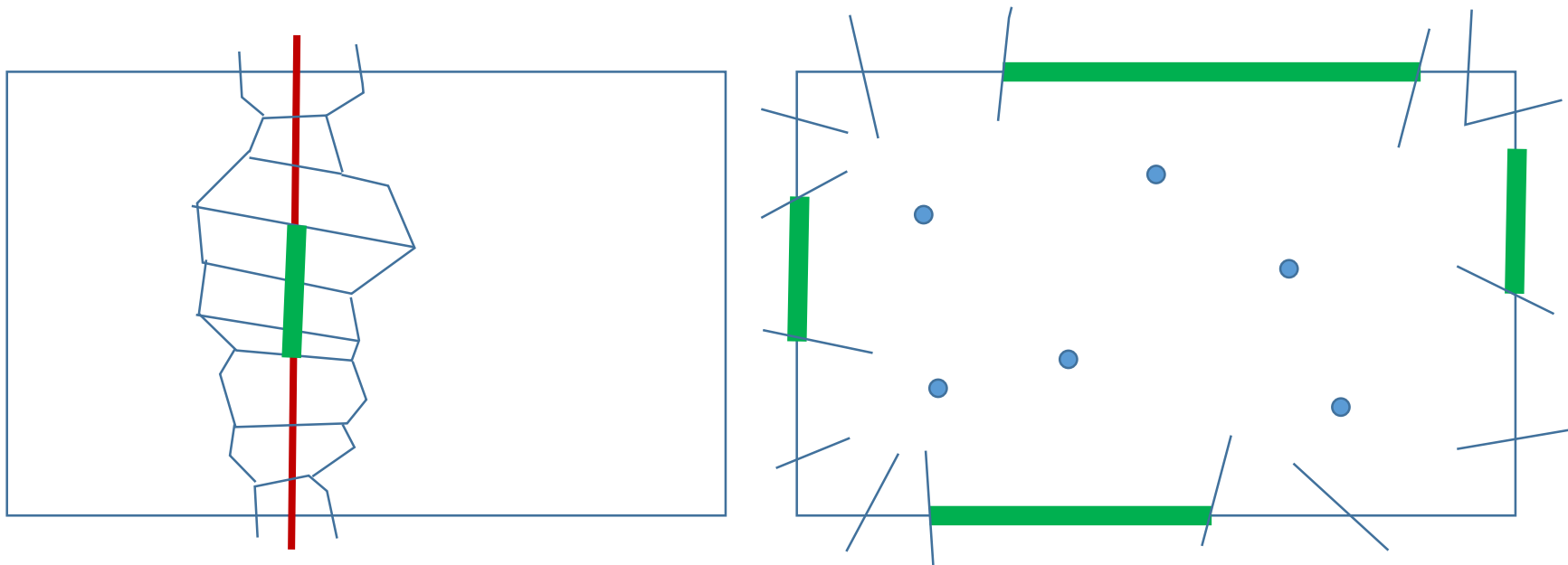


Output

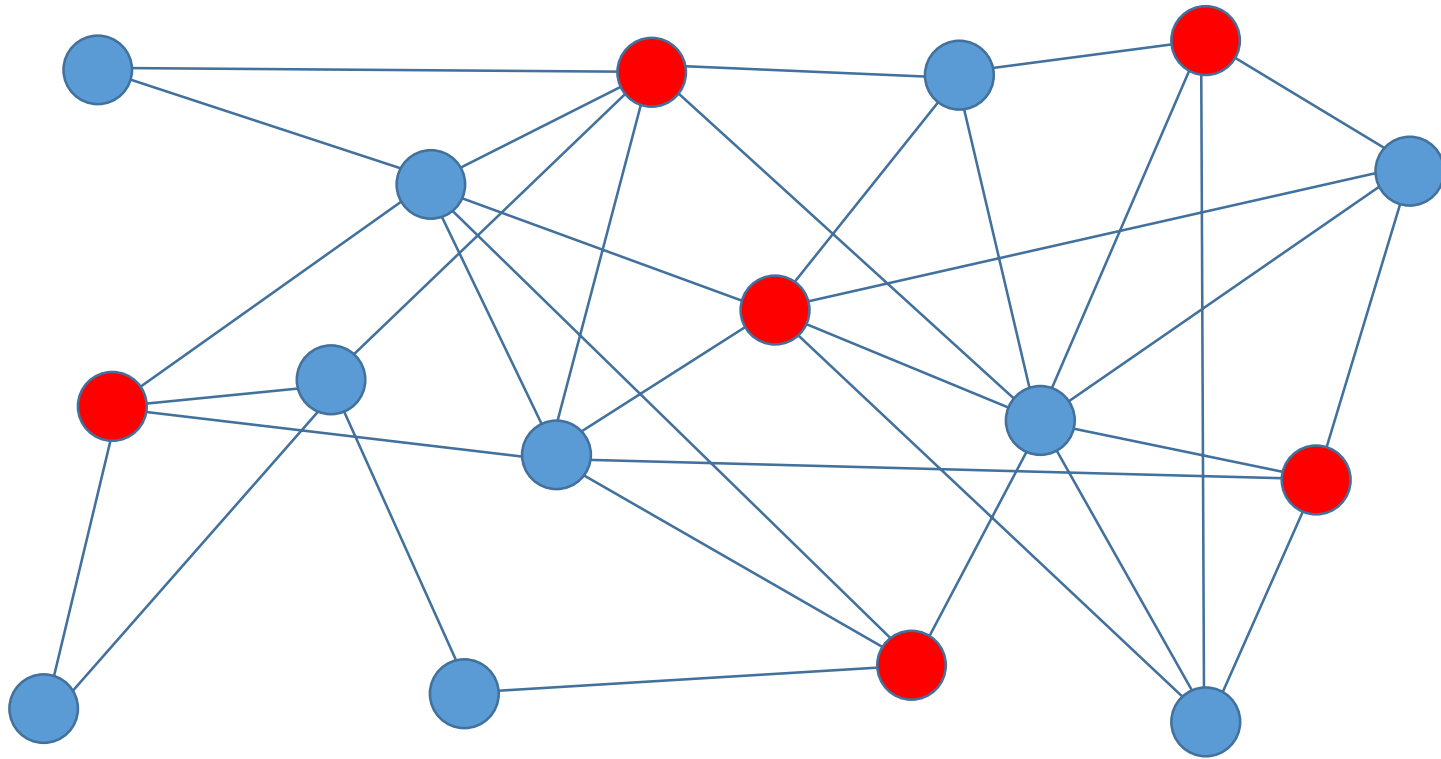


# PTAS

- Structure Thm implies OPT can be transformed to be m-guillotine, increasing length by factor  $(1 + \epsilon)$ , adding Steiner points.
- Algorithm: DP, searching for min-weight m-guillotine convex subdivision



# Maximum Independent Set (MIS)



Best known polytime approx factor:  $O(n/\log^2 n)$  [Boppana-Halldórsson]

No polytime algorithm with approx  $n^{1-\delta}$  for  $\delta > 0$ , unless  $P=NP$  [Zuckerman]

PTAS in planar graphs

# Geometric Instances of MIS

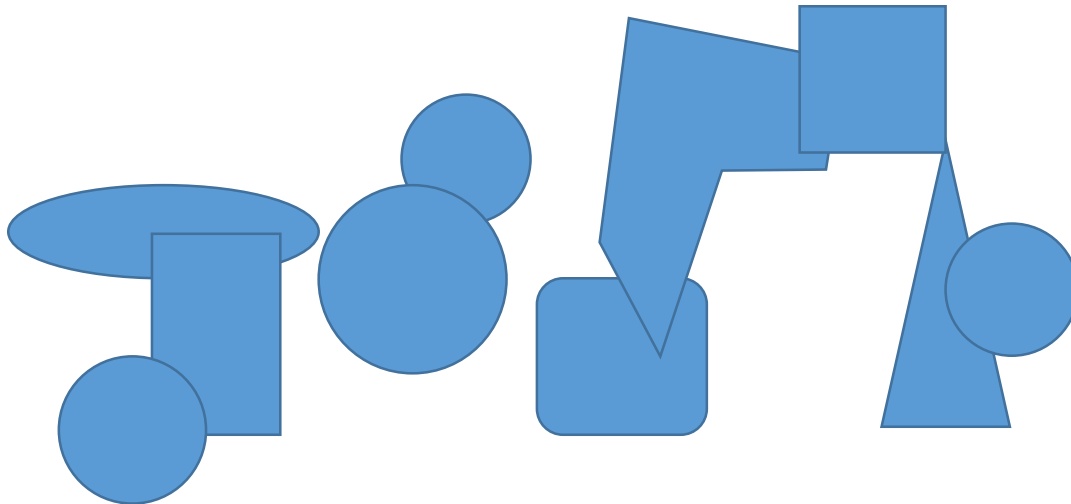
- Graph  $G$  given by intersection graph of objects
  - Disks, squares, fat regions
  - Pseudodisks
  - Rectangles (MISR)
  - Polygons
  - General connected sets, with various assumptions
  - Outerstring graphs
  - etc

# A Basic Geometry Problem

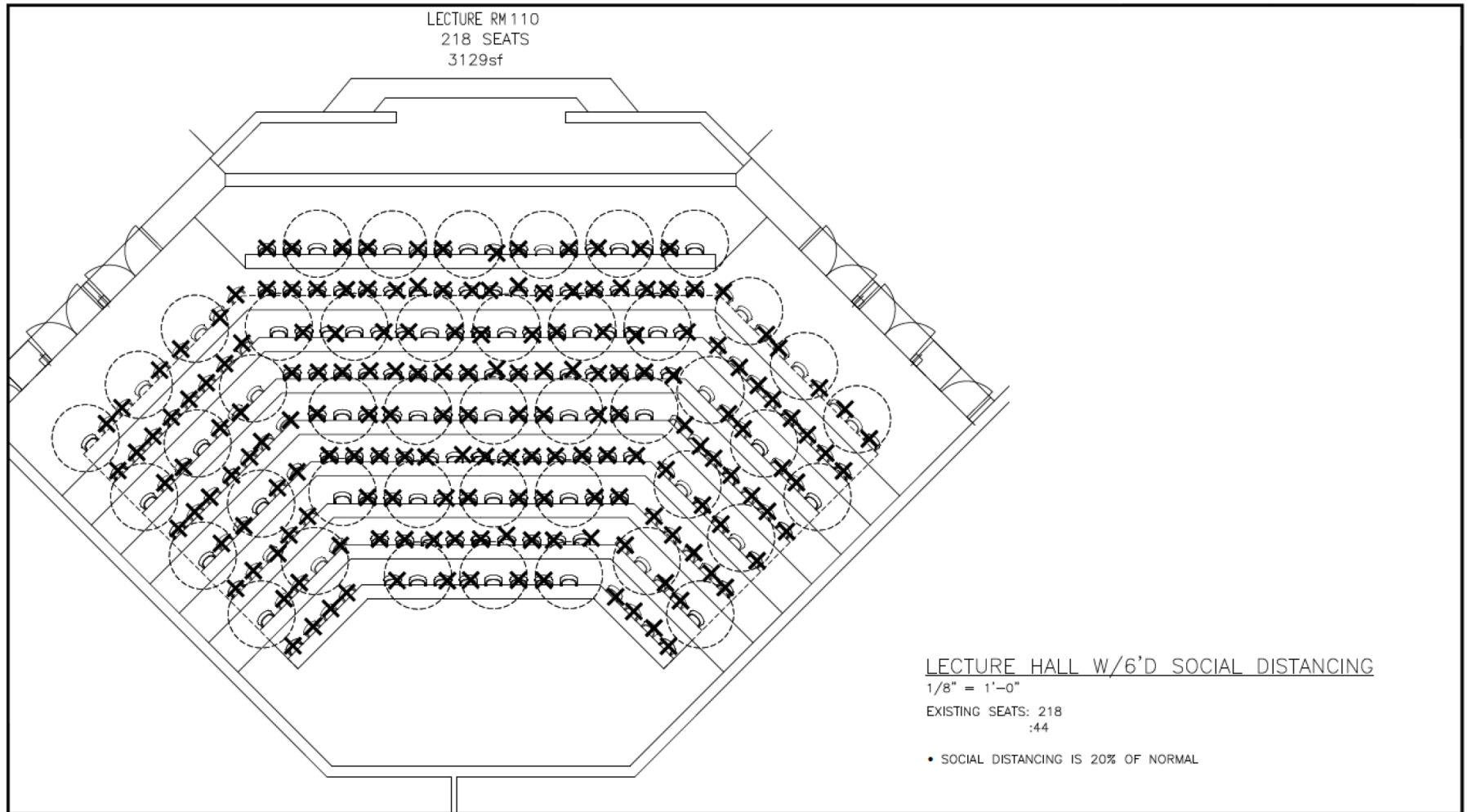
Maximum Independent Set (MIS):

Given a set  $S$  of bodies in the plane.

Find a max-cardinality subset,  $S^*$ , that is pairwise-disjoint.

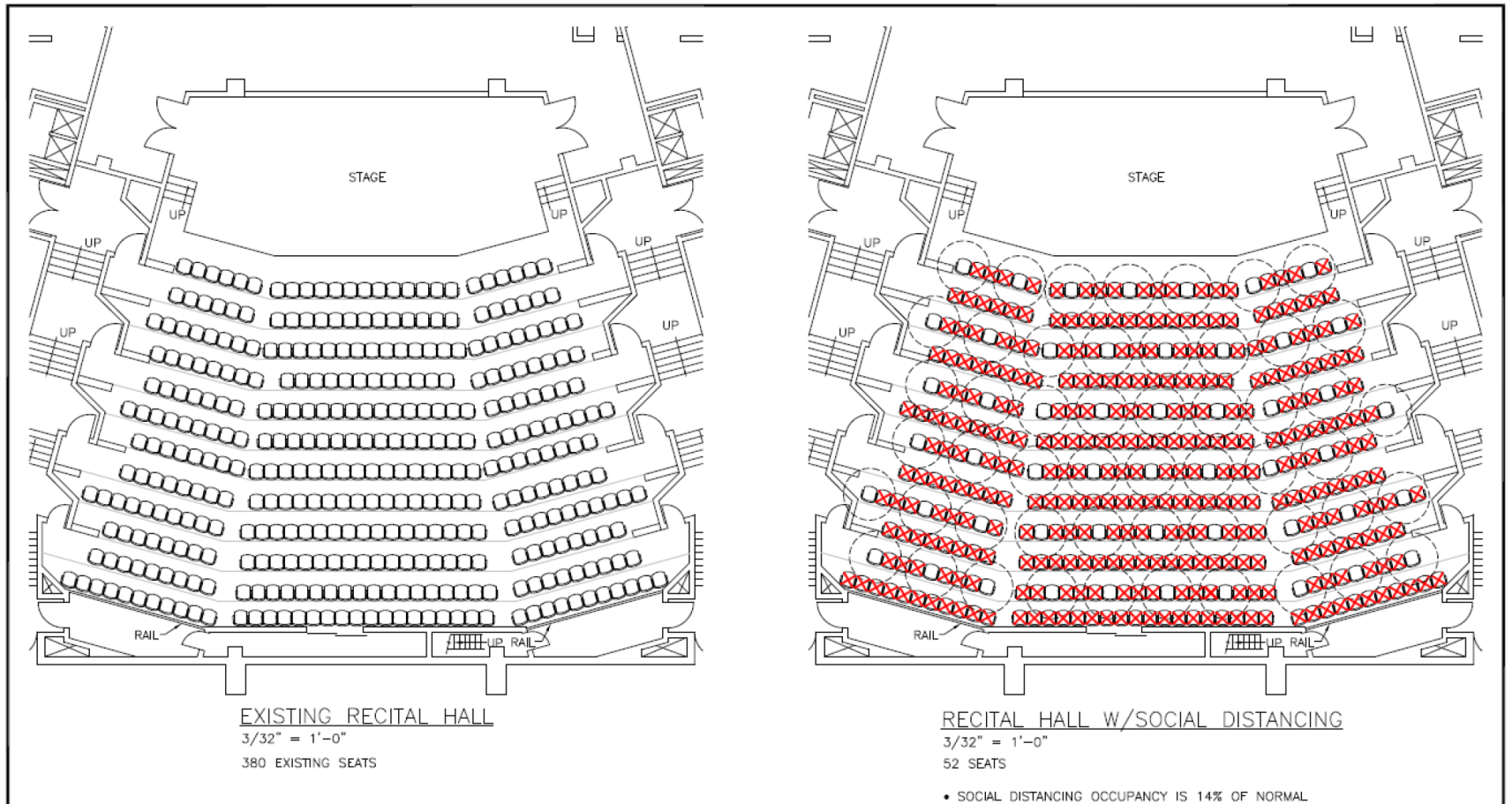


# MIS=Most Efficient Social Distancing



# MIS=Most Efficient Social Distancing

**Figure 5 – Lecture Hall Social Distancing Mock-Up**



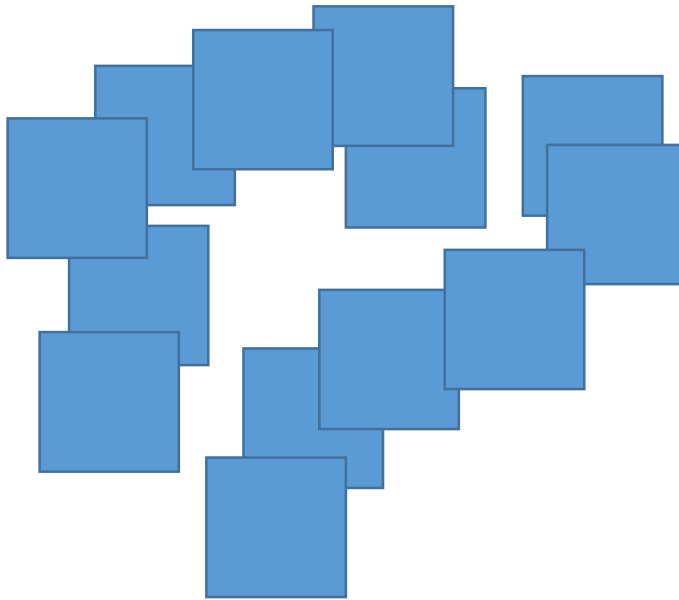
**Campus Planning, Design & Construction  
Research and Support Service, Suite 160  
Stony Brook, NY 11794-6010**

BUILDING: #0021 STALLER CENTER	
DWG. TITLE: RECITAL HALL SOCIAL DISTANCING	
ROOM #: 0024	SCALE: AS NOTED
DRAWN BY: G.E.T.	DATE: 5-27-20





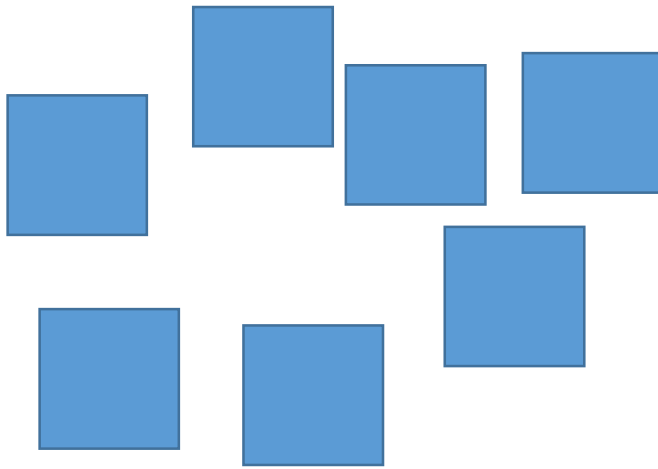
Special Case:  $S = \{ \text{unit squares} \}$



$$|S| = 13$$



Special Case:  $S = \{ \text{unit squares} \}$

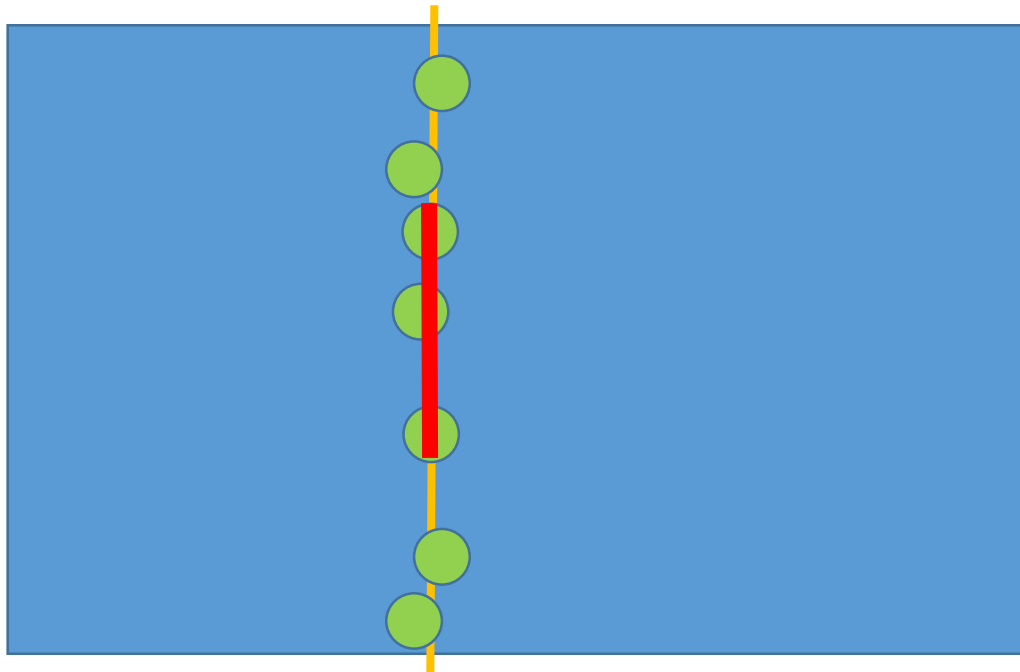


$$|S^*| = 7$$

# Maximum Independent Set: Unit Disks

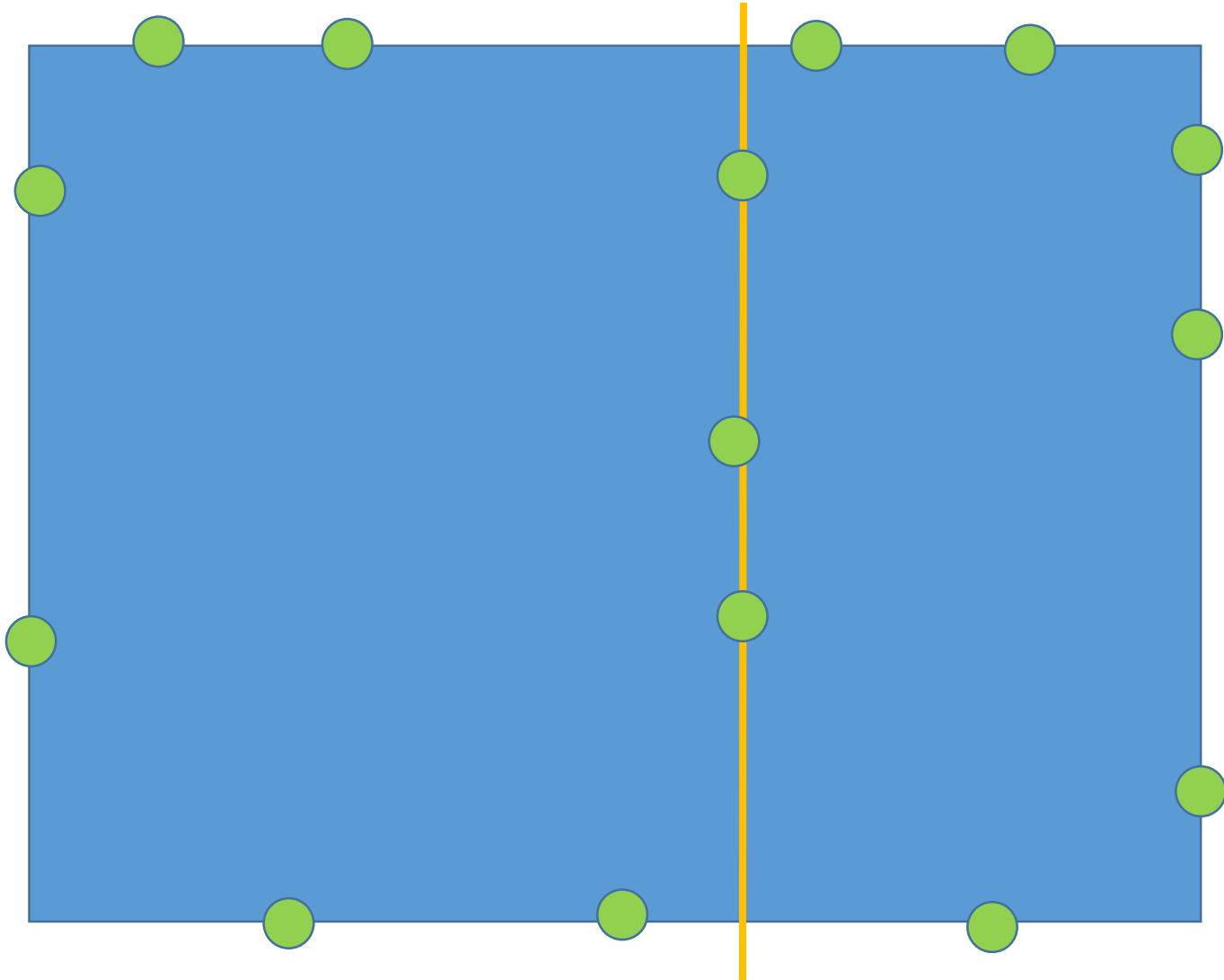
- Consider an OPT set of (disjoint) unit disks
- The boundaries of these disks give a network (1-net)
- $m$ -guillotine structure Thm: can make network  $m$ -guillotine, adding (red) length  $O(1/m) * |OPT|$

Give up the disks stabbed by the red  $m$ -spans: At most  $O(1/m)$  of disks are given up.

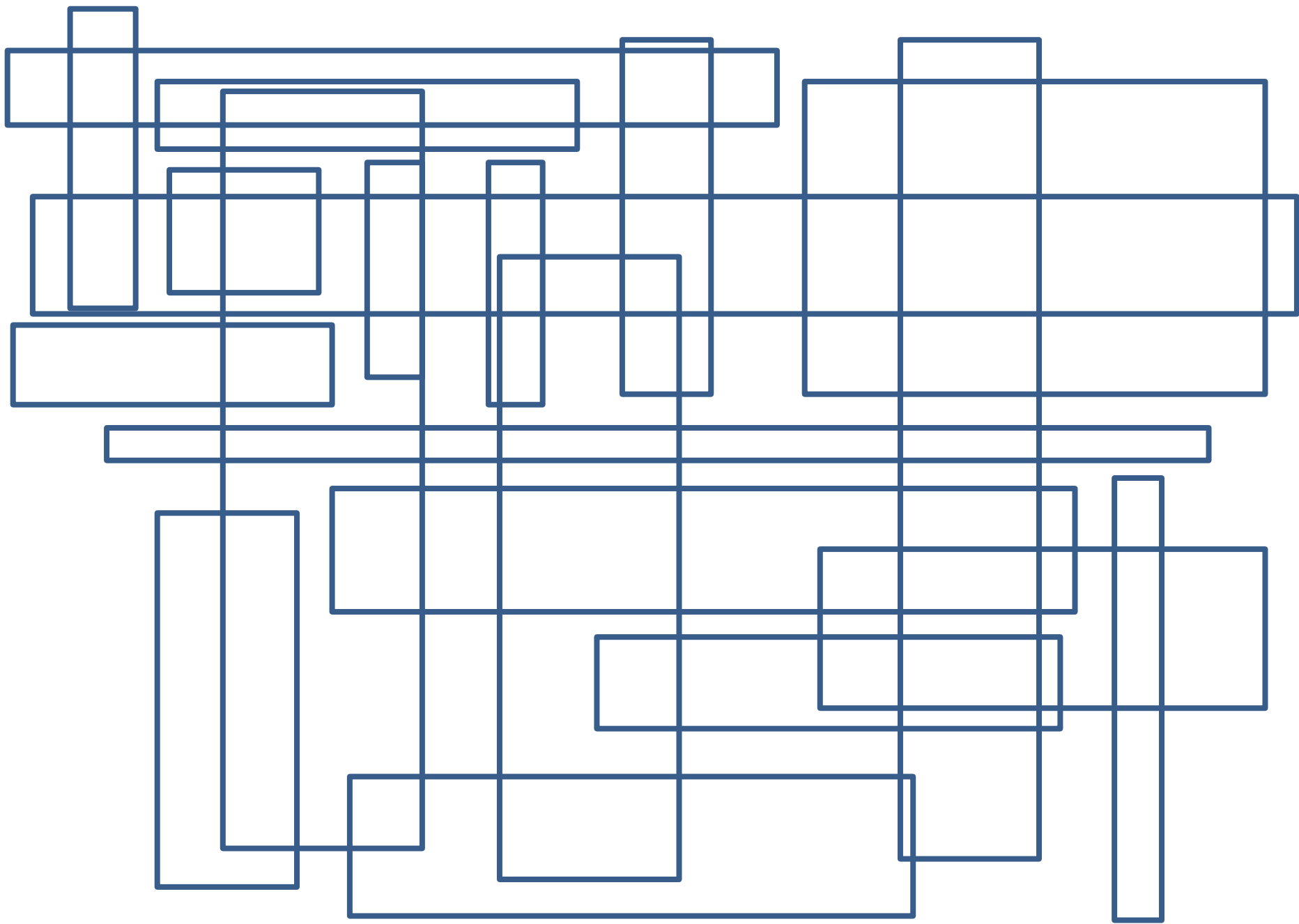


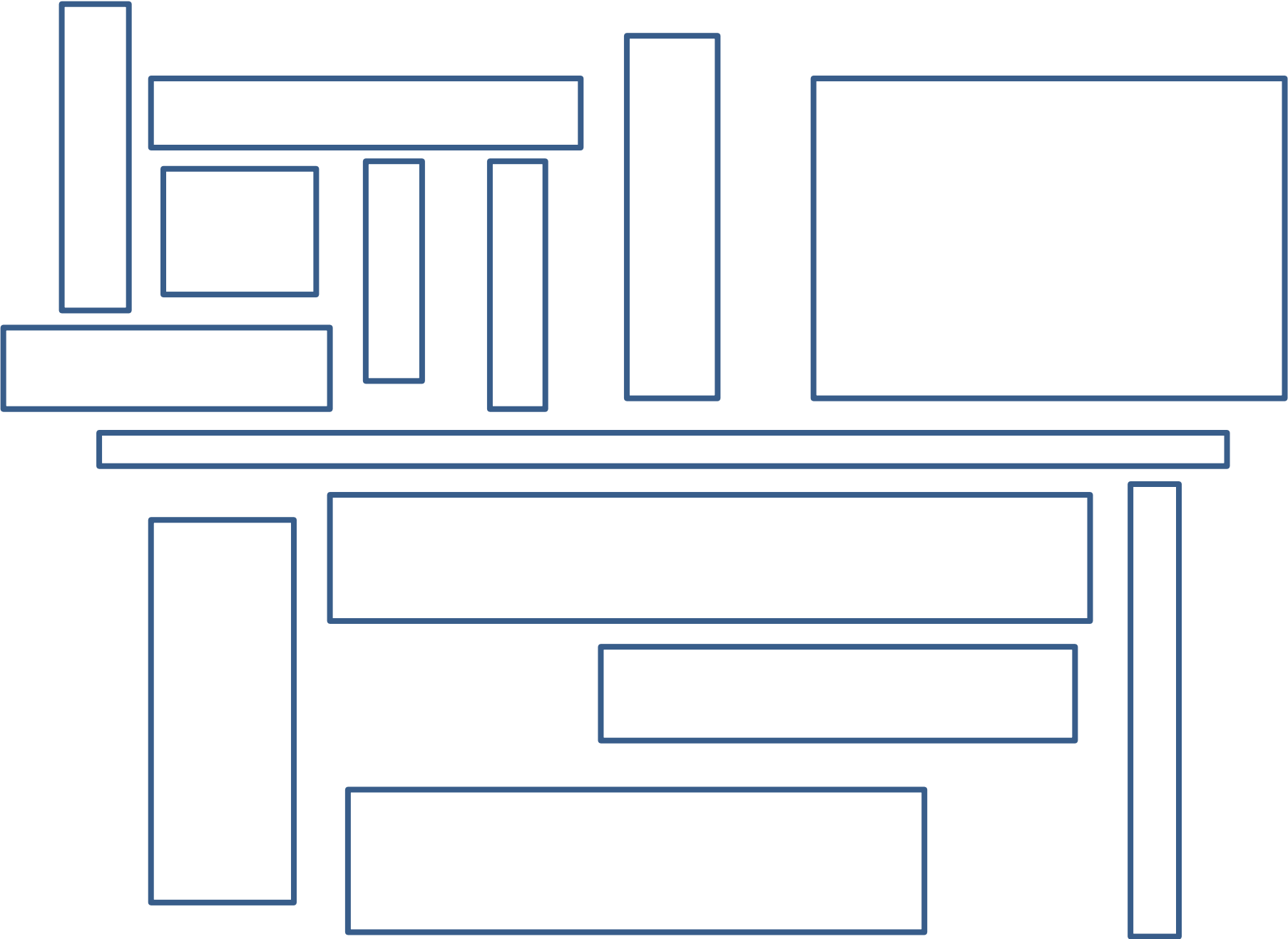
# Subproblem

Only  $O(m)$  disks specified crossing boundary of rectangle  $R$ .  
Optimize over cuts, choices of  $O(m)$  disks crossing cut



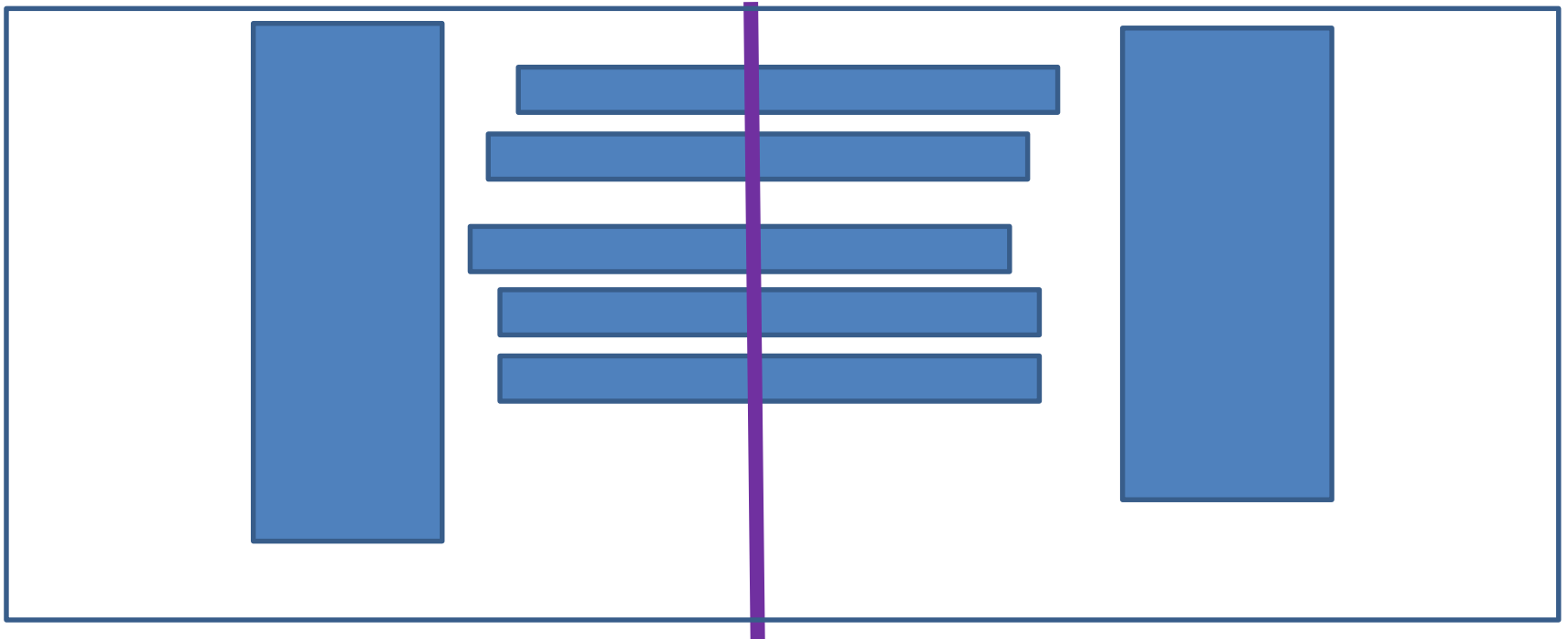
Time  $n^{O(m)}$





# Key Idea

- Rectangles that are cut should be “paid for”
- But, we cannot assume there is a newly exposed rectangle/vertex when we cross a rectangle:

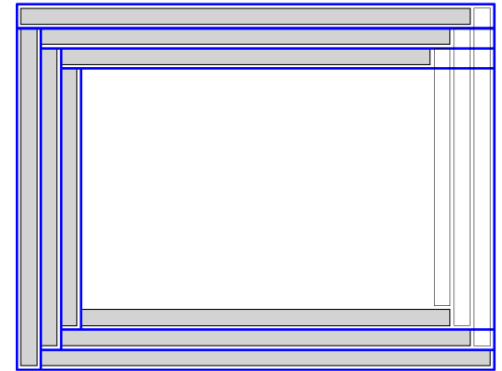
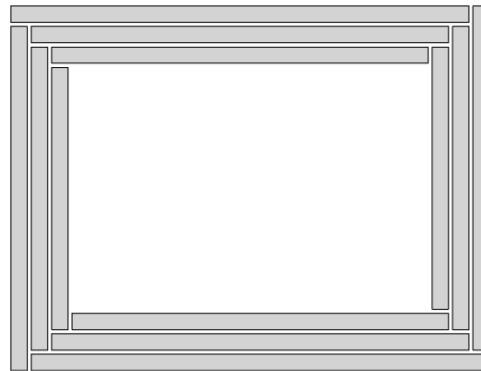
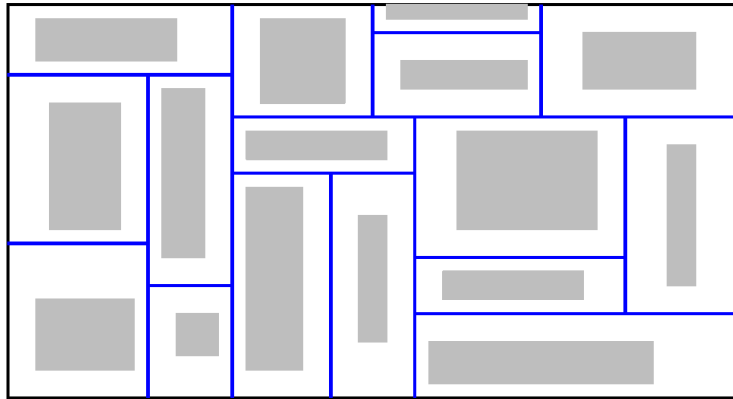


# Approximations

- Disks, fat regions: PTAS (1- $\epsilon$ )-approx, for any  $\epsilon > 0$ , in polytime  
(1- $\epsilon$ )-approx in  $n^{O(1/\epsilon^{d-1})}$  [Chan]  
Also: PTAS for pseudodisks [Chan, Har-Peled]
- Rectangles: MISR Rectangles are neither fat nor pseudodisks!
  - QPTAS
    - $n^{\text{poly}((\log n)/\epsilon)}$  [Adamaszek, Har-Peled, and Wiese]
    - $n^{O((\log \log n)/\epsilon^4)}$  [Chuzhoy and Ene]
  - PTAS for “long” rectangles [Adamaszek, Har-Peled, and Wiese]
  - Polytime:  $O(\log \log n)$ -approx [Chalermsook, Chuzhoy]
  - Parameterized Approximation Scheme: [Grandoni, Kratsch, Wiese, 2019]  
For any  $k, \epsilon$ , in time  $f(k, \epsilon)n^{g(\epsilon)}$  either gives indep subset of  $\geq k/(1+\epsilon)$ , or declares  $\text{OPT} < k$
  - **Here:  $O(1)$ -Approx in polytime**

# MISR: One Approach

- Show that any set of disjoint rectangles (e.g., the rectangles of OPT) has a constant fraction subset that has a perfect BSP



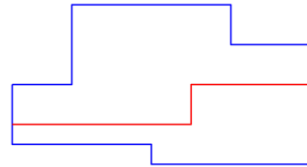
[Pach-Tardos Conjecture]

**Conjecture 1.** *For any set of  $n$  interior-disjoint axis-aligned rectangles in the plane, there exists a subset of size  $\Omega(n)$  that has a perfect orthogonal BSP.*

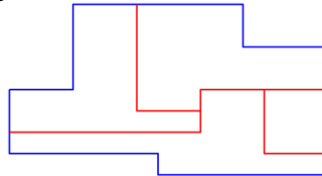


# Main Ideas

- Use more general cuts to get  $O(1)$  complexity pieces – “CCRs”

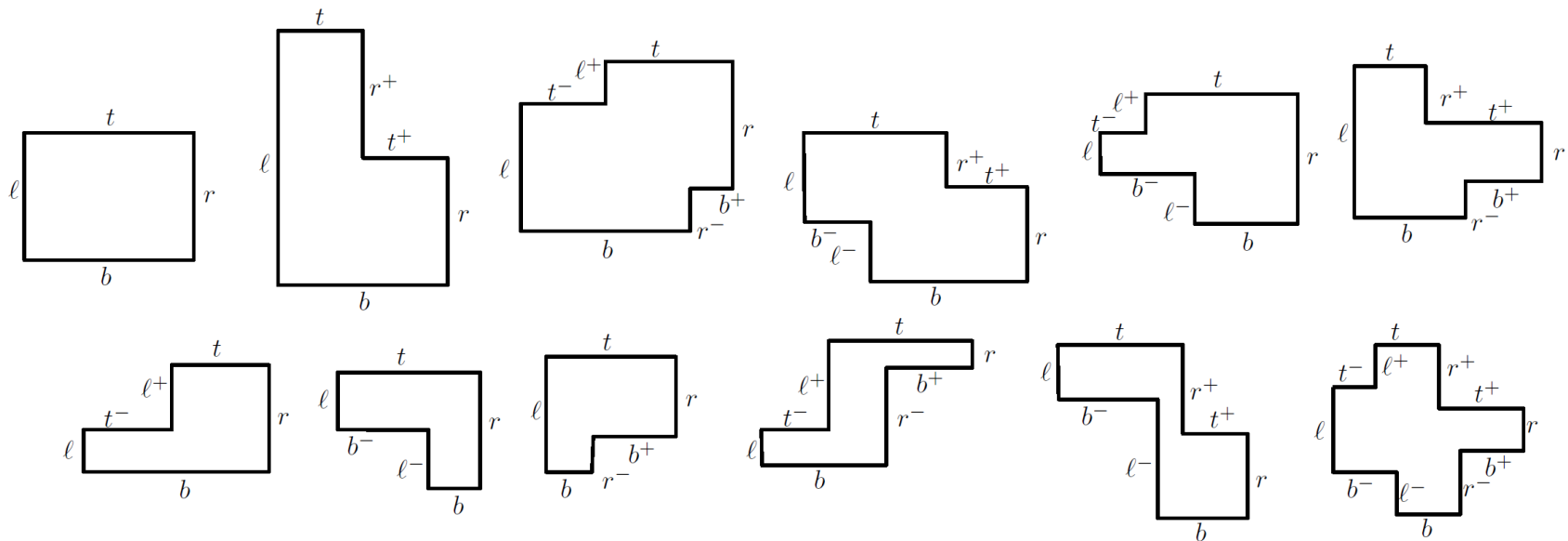
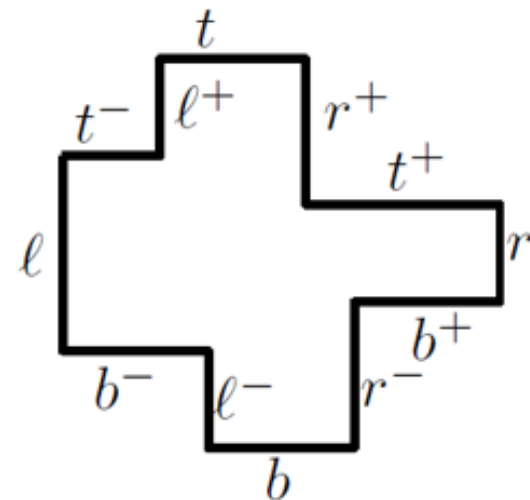
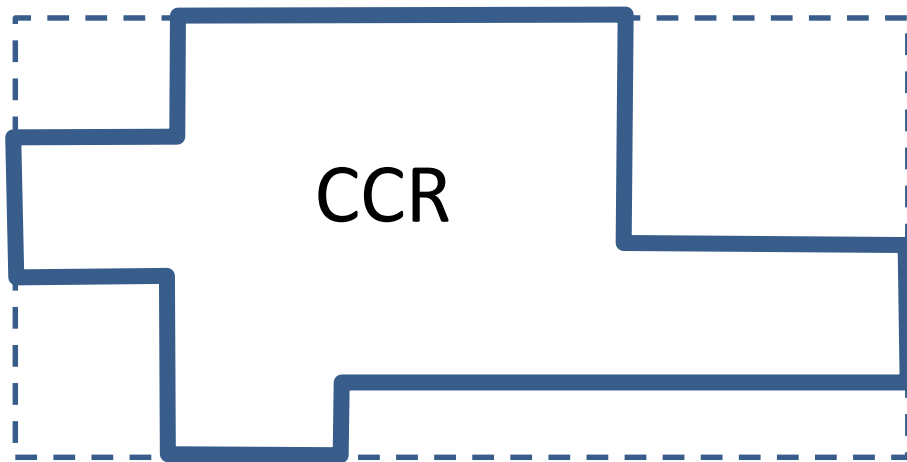


- Use K-ary cutting instead of just binary  
 $K \leq 5$



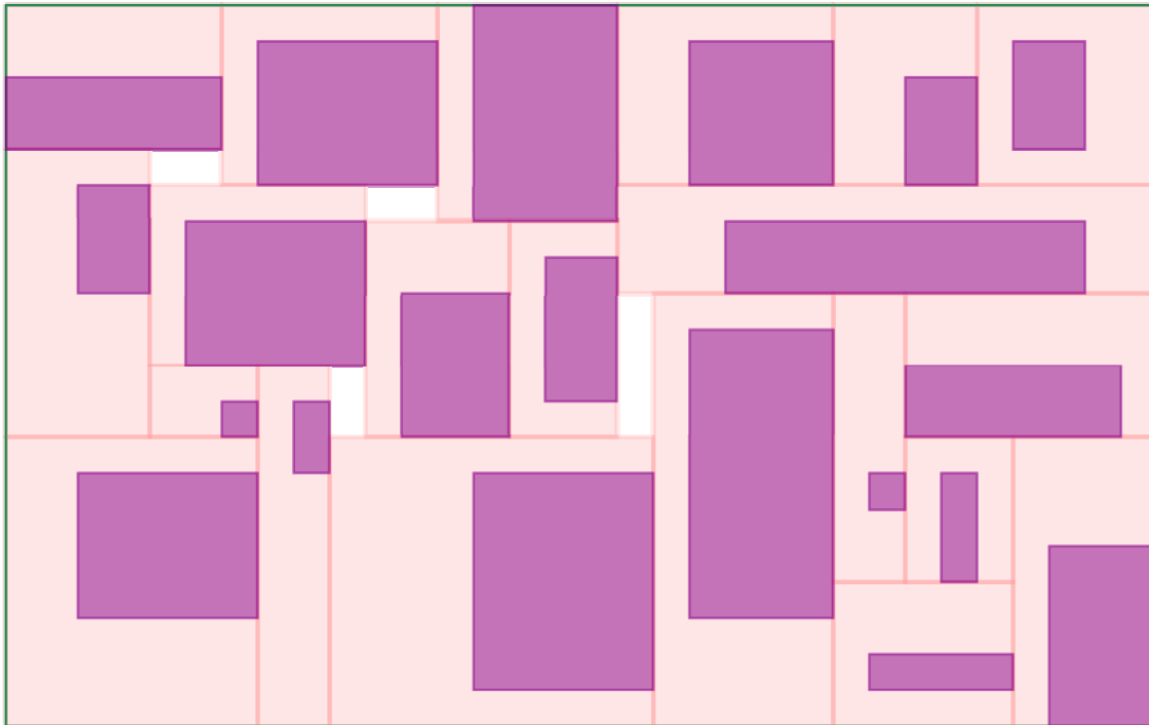
- Charging scheme to prove a structural theorem: Can afford to discard a constant fraction of input rectangles, to enable a “nearly perfect CCR-partition”
- DP to optimize

# Corner Clipped Rectangles



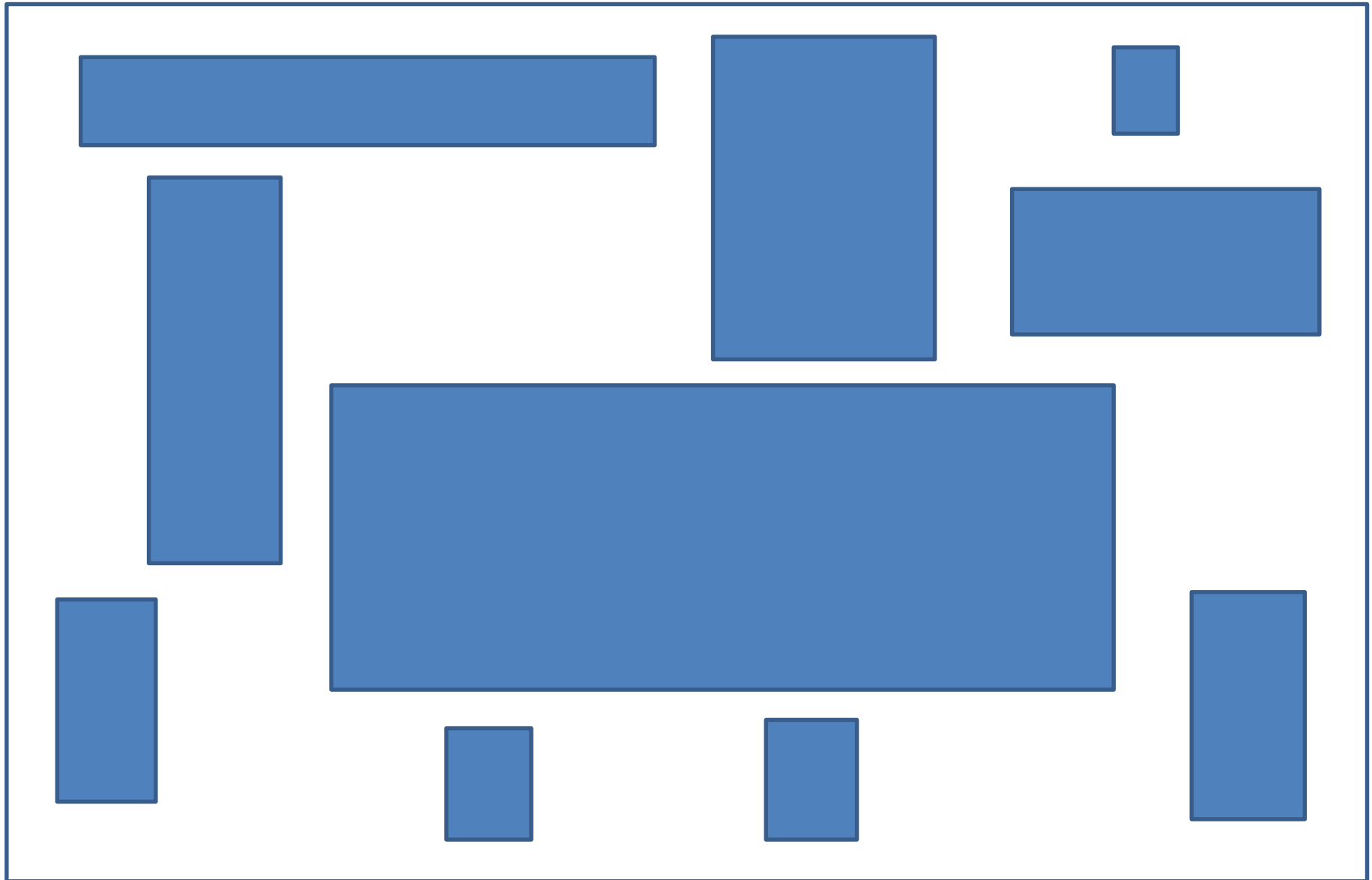
# Maximal Rectangles

- Transform any set  $I$  of  $k$  disjoint rectangles into a set  $I'$  of *maximal* disjoint rectangles

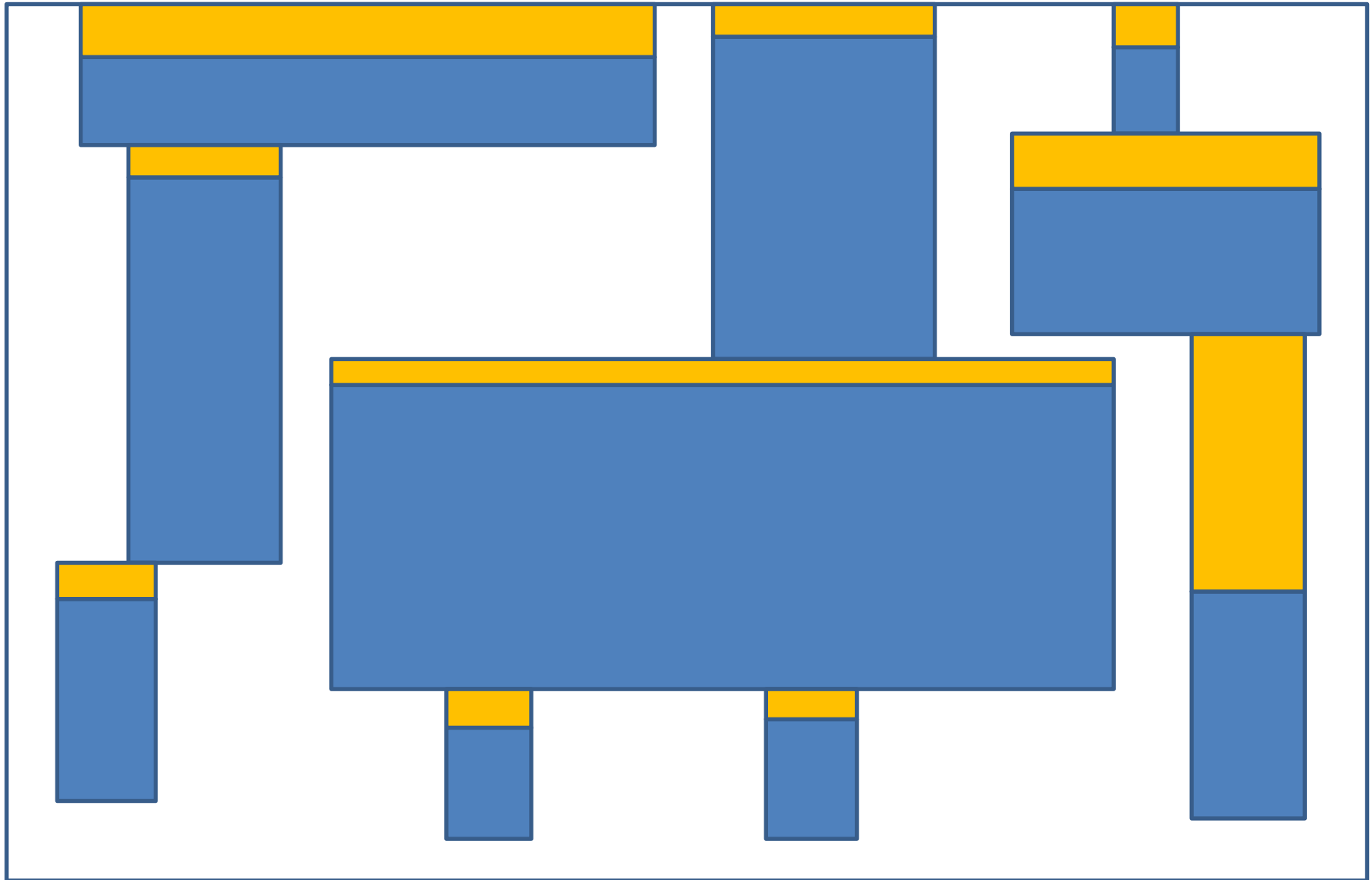


Will show that  $I'$  has a constant-fraction subset for which there is a “nearly perfect CCR-partition” wrt the subset

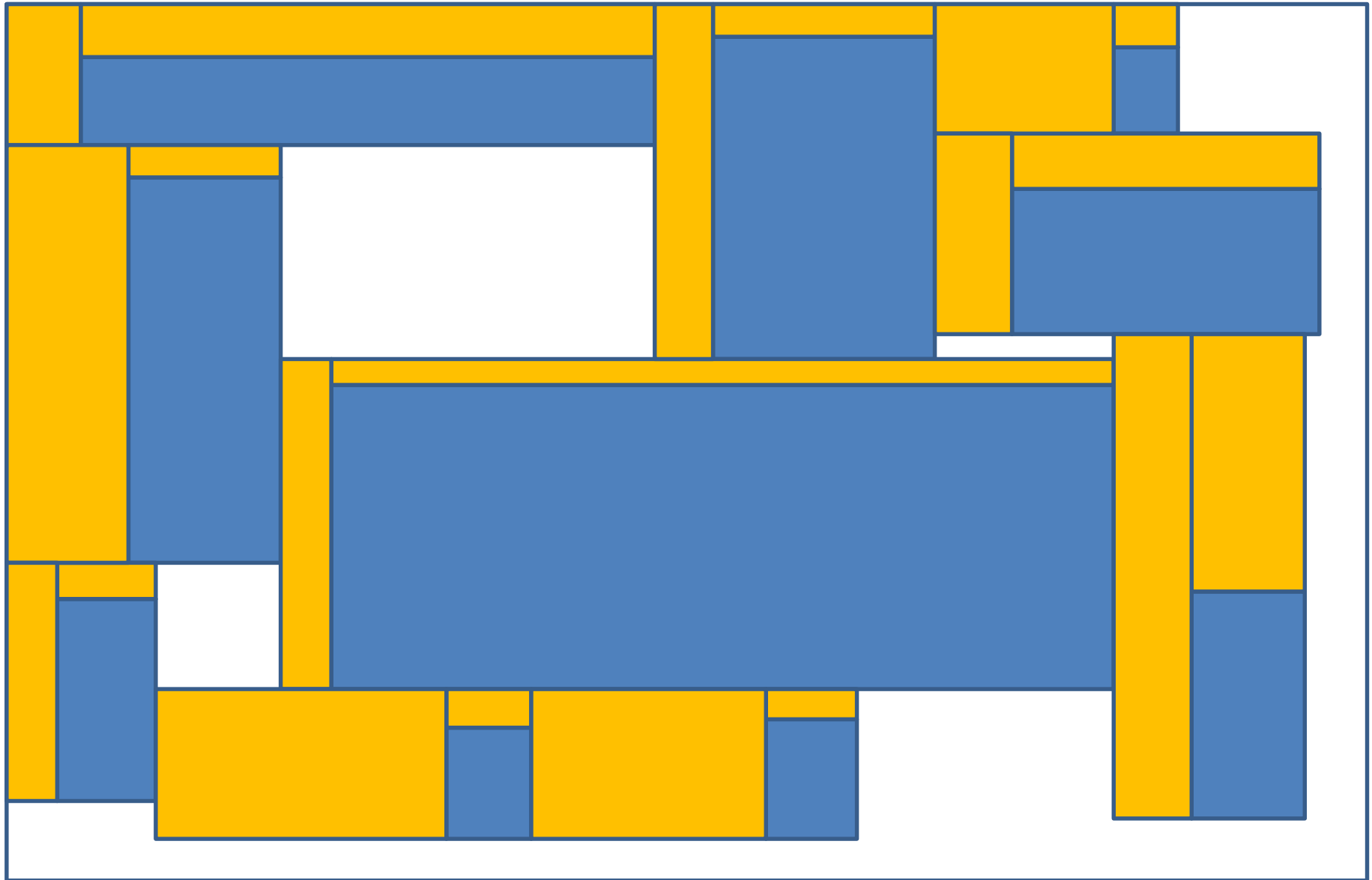
# Rectangle Maximal Expansions



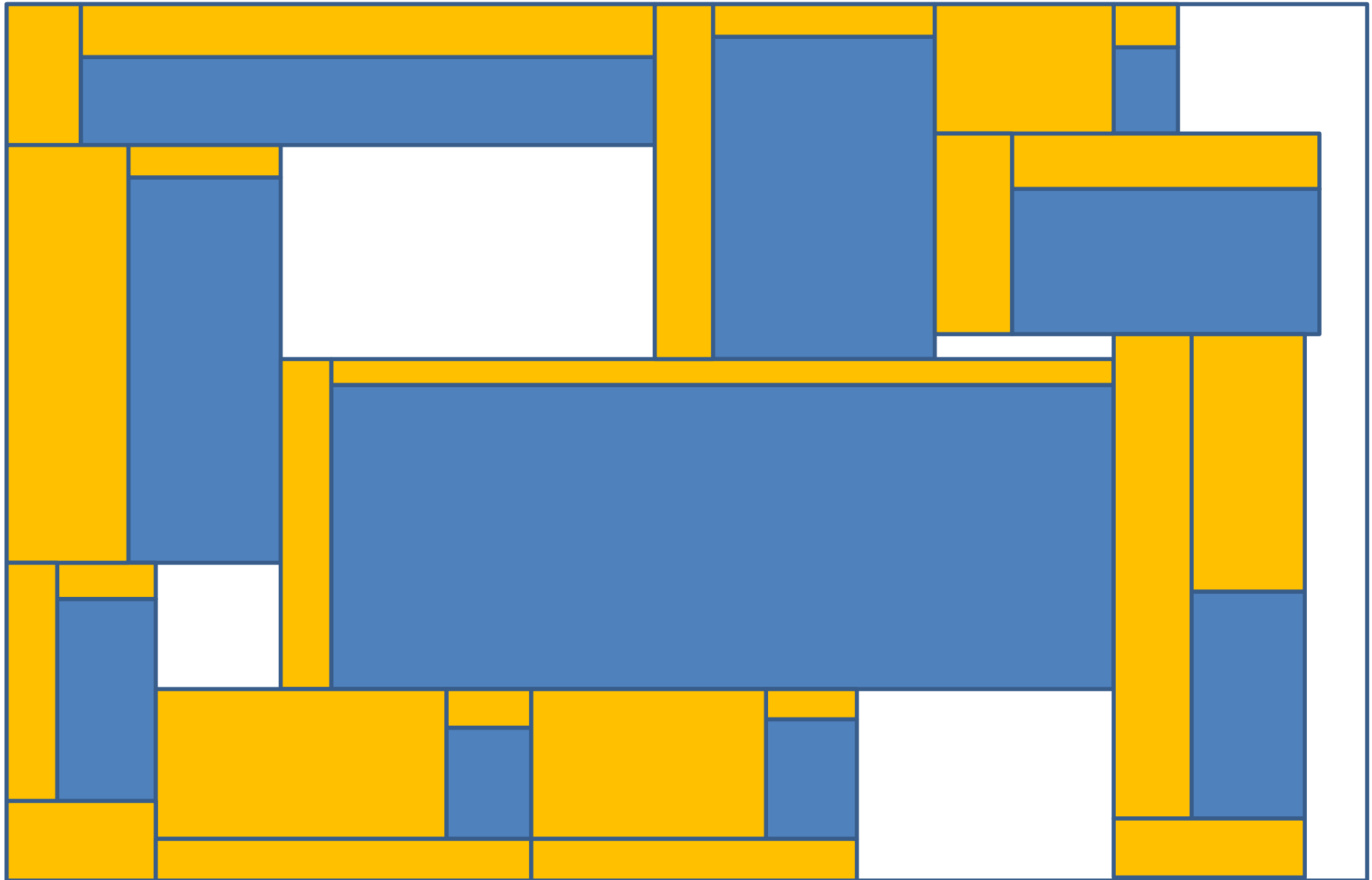
# Rectangle Maximal Expansions



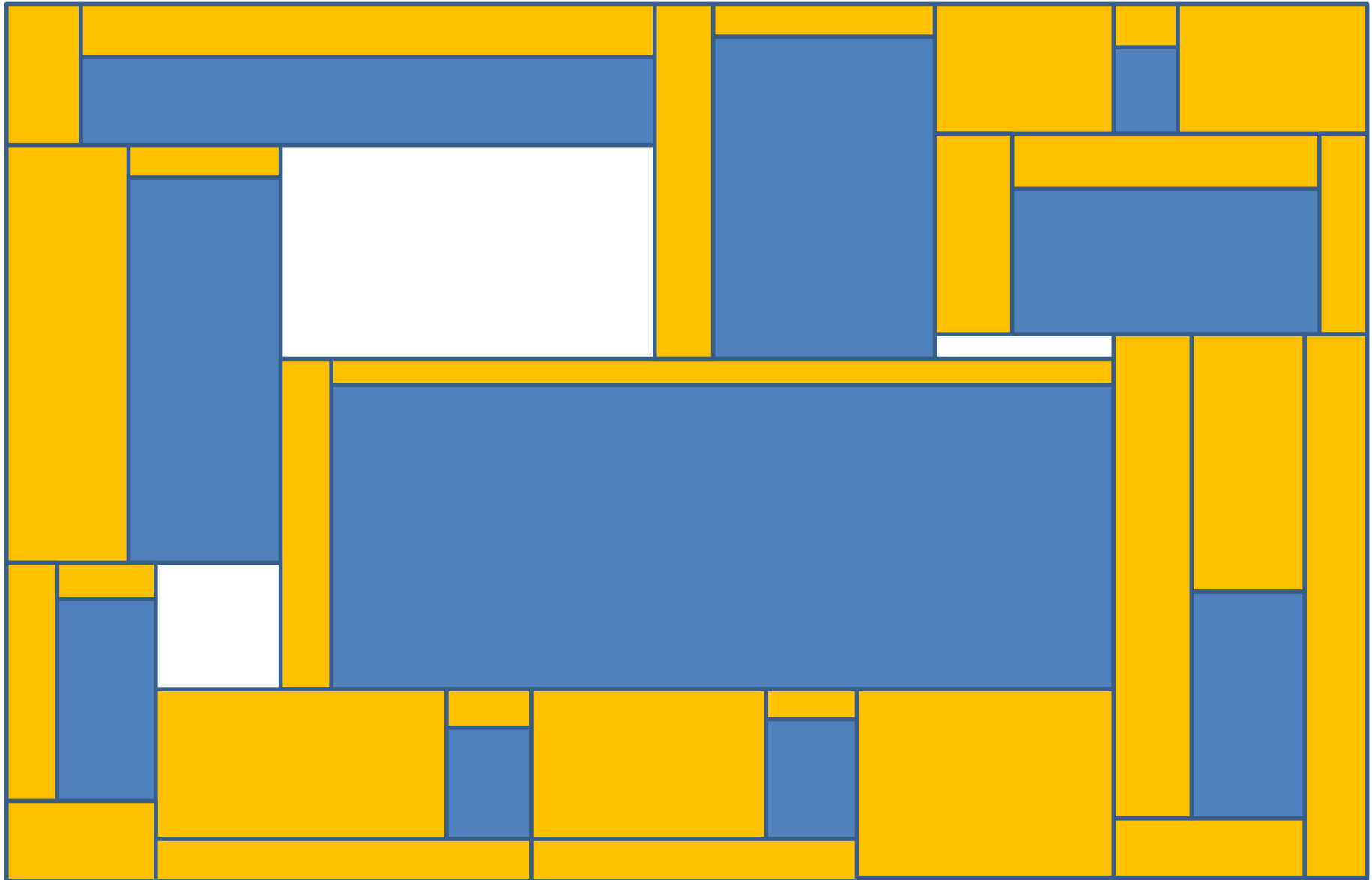
# Rectangle Maximal Expansions



# Rectangle Maximal Expansions

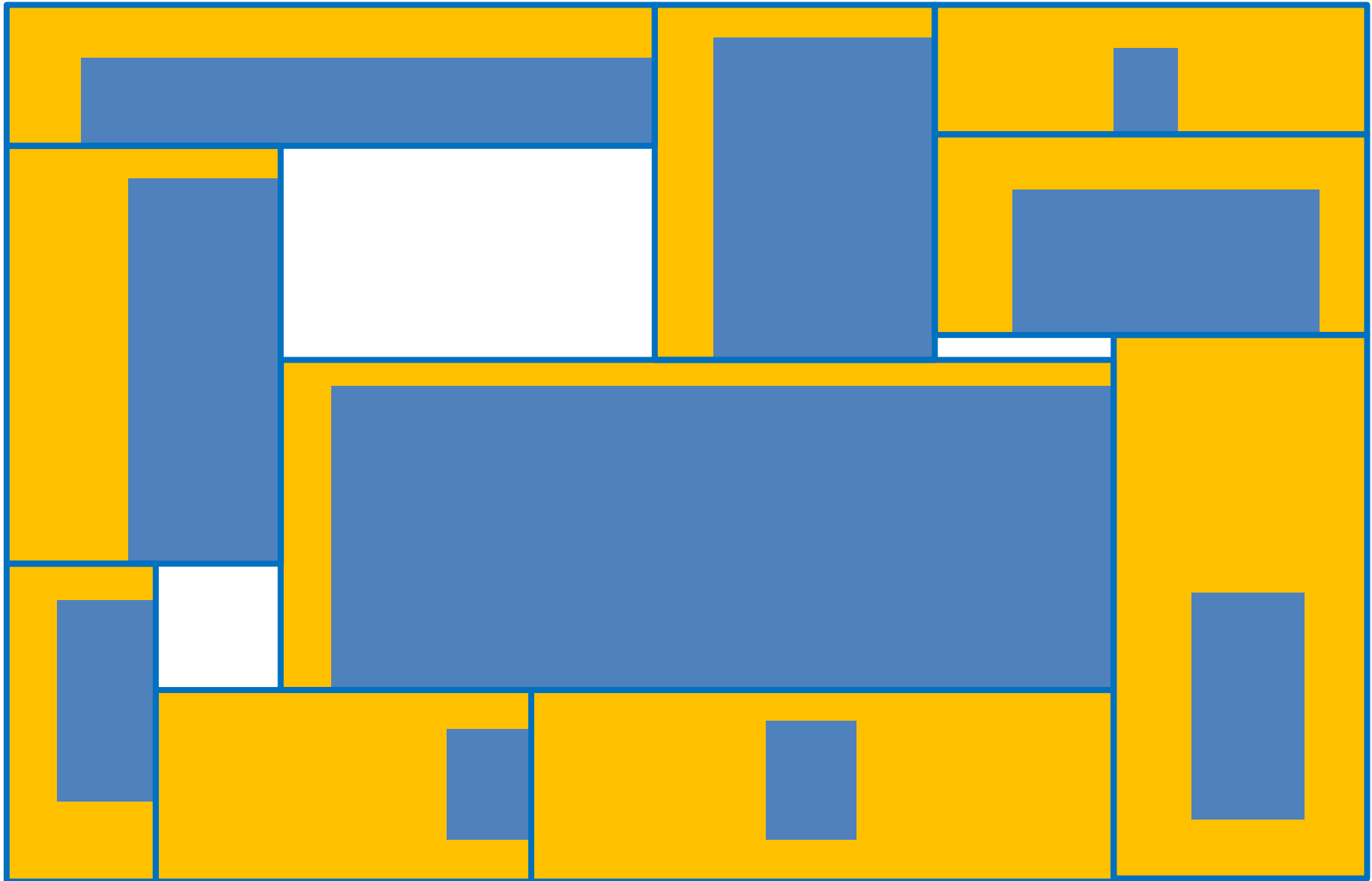


# Rectangle Maximal Expansions



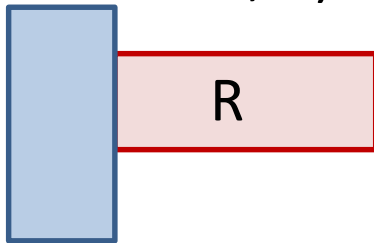


# Rectangle Maximal Expansions



# Nesting Among Maximal Rectangles

**Def:** A rectangle  $R$  is *nesting* to its left/right/top/bottom if its corresponding side is contained in the interior of an abutting rectangle's side (or the side of the BB,  $B$ )

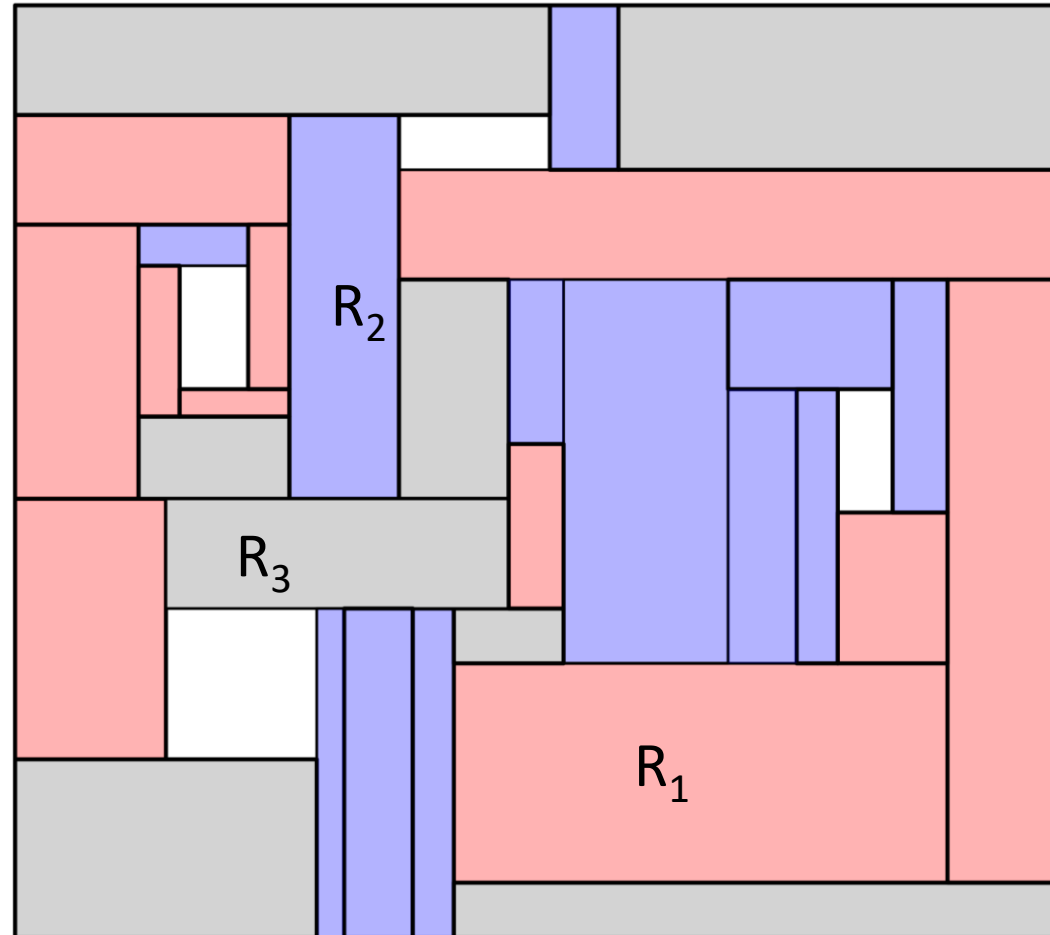


**Example:**

$R_1$  is horiz nested (red)

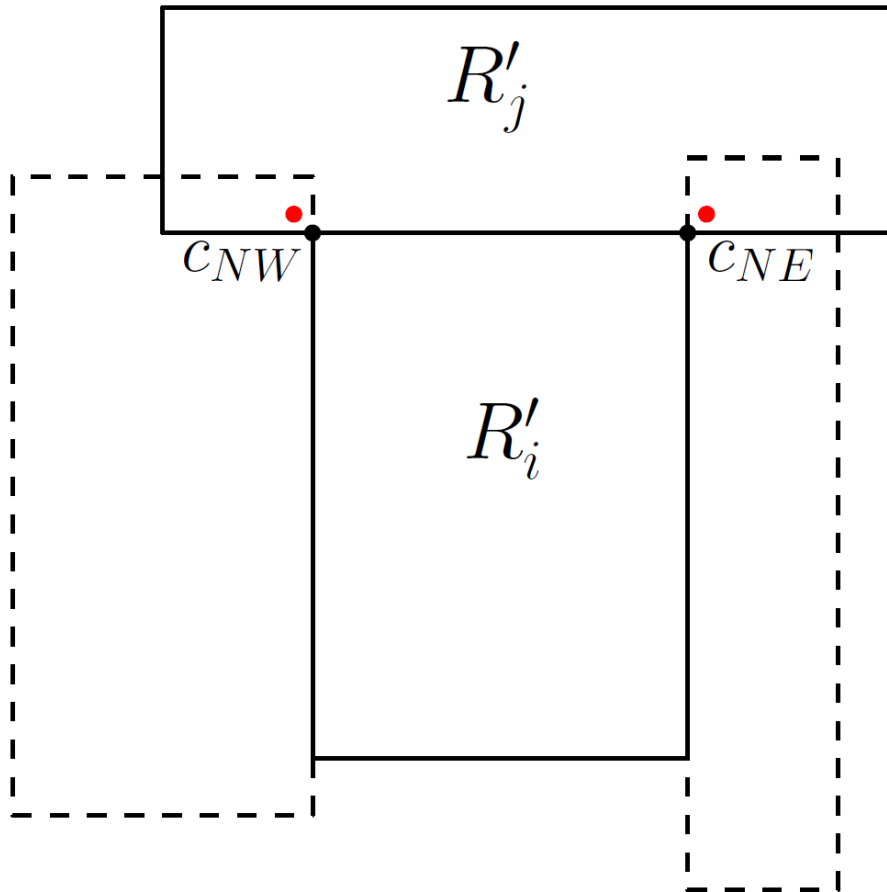
$R_2$  is vert nested (blue)

$R_3$  is not nested in any direction

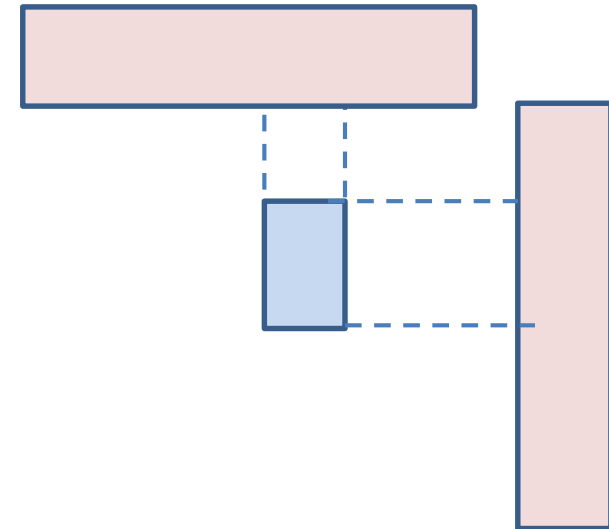


# Why Maximality Is Useful

**Observation 1.** For a set  $I'$  of independent rectangles that are maximal within  $BB(\mathcal{R})$ , a rectangle  $R'_i \in I'$  cannot be nested both vertically and horizontally.

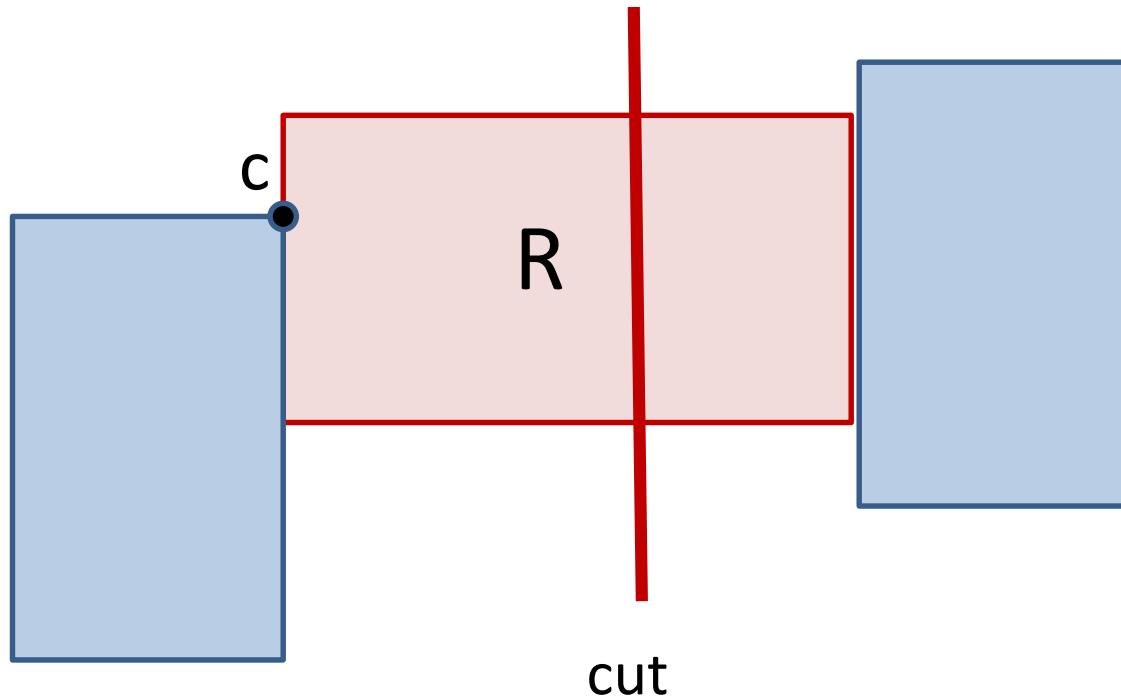


Note that the claim is not true without maximality:



# Why Nesting Concept Is Useful

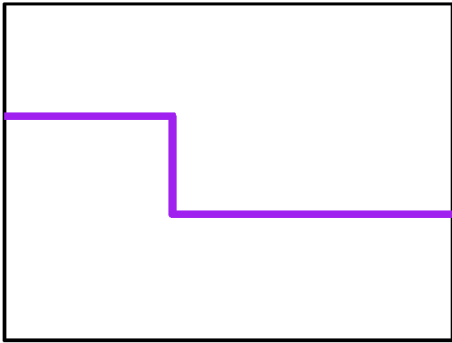
If  $R$  is *not* nested on at least one side, there is hope to be able to “charge”  $R$  to a corner,  $c$ , when a cut segment crosses  $R$



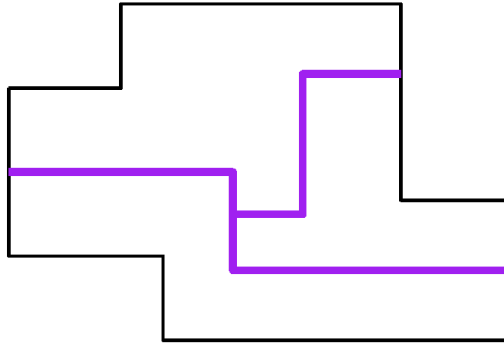
# CCR-Partitions

- Recursive partitioning of the BB, B, of input
- Each face Q is a CCR
- A *cut*, consisting of  $O(1)$  hor/vert segments partitions Q into at most 5 subfaces (CCRs)
- A CCR-partition is *perfect* wrt input rectangles if no rectangle is penetrated by a cut segment, each leaf face has exactly 1 input rectangle
- *Nearly perfect* CCR-partition: each cut segment penetrates at most 2 input rectangles, each leaf face has  $\leq 1$  input rectangle

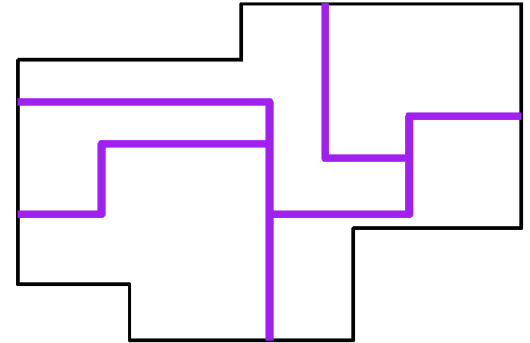
# K-ary Cuts



K=2

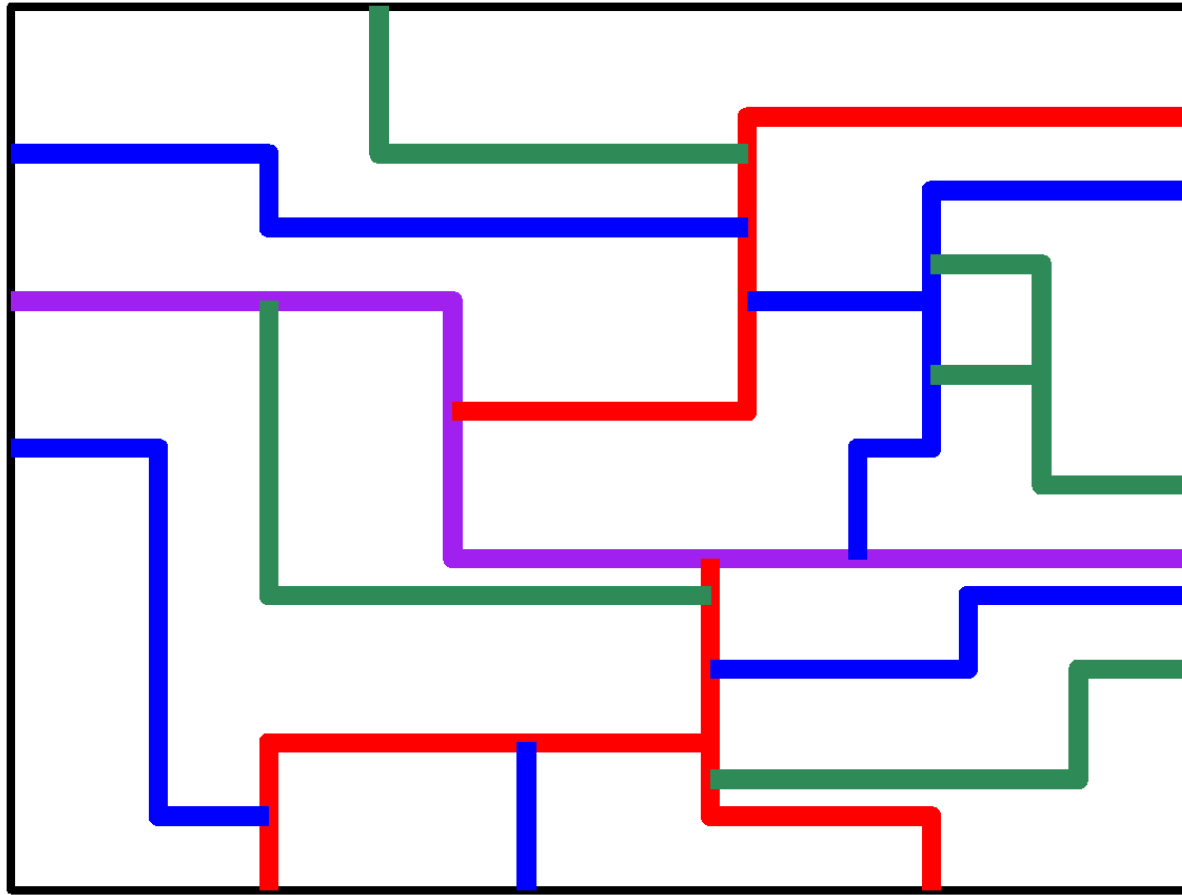


K=3

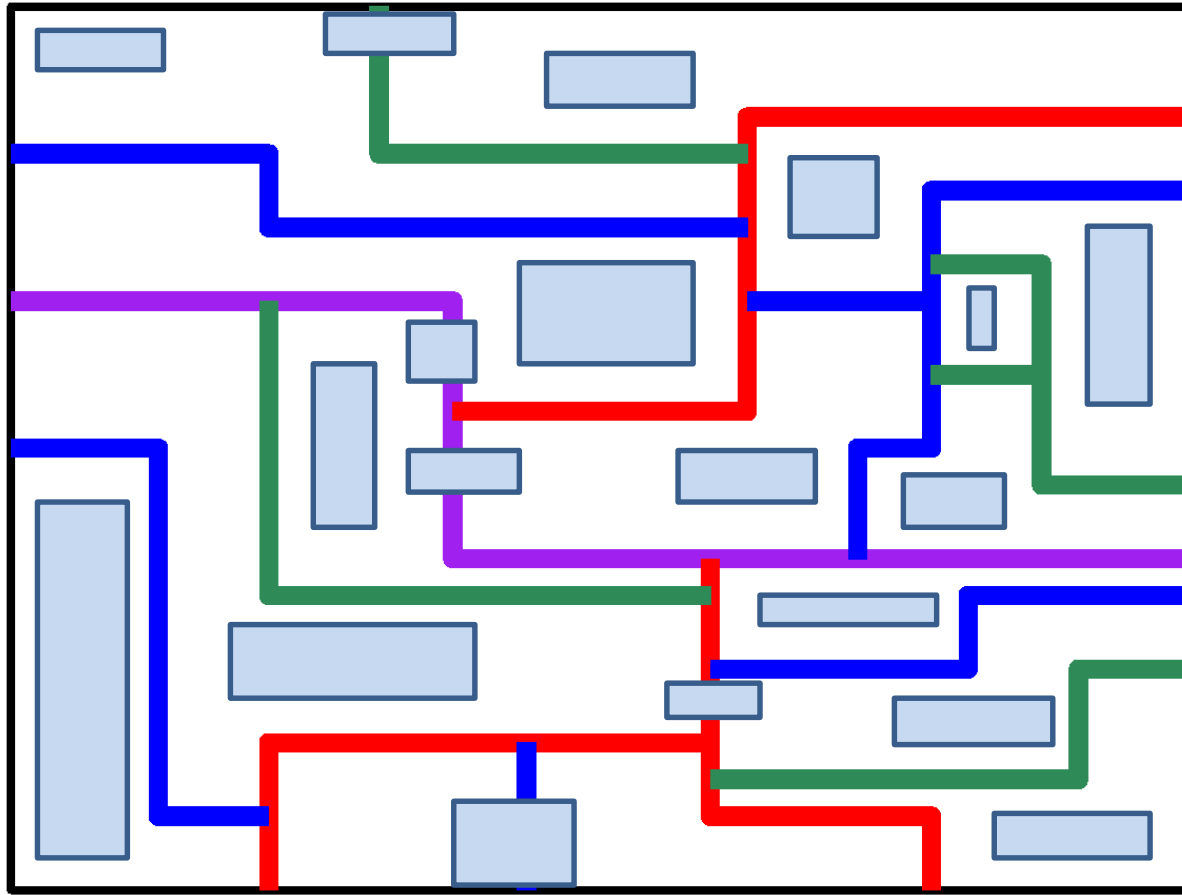


K=5

# CCR Partition



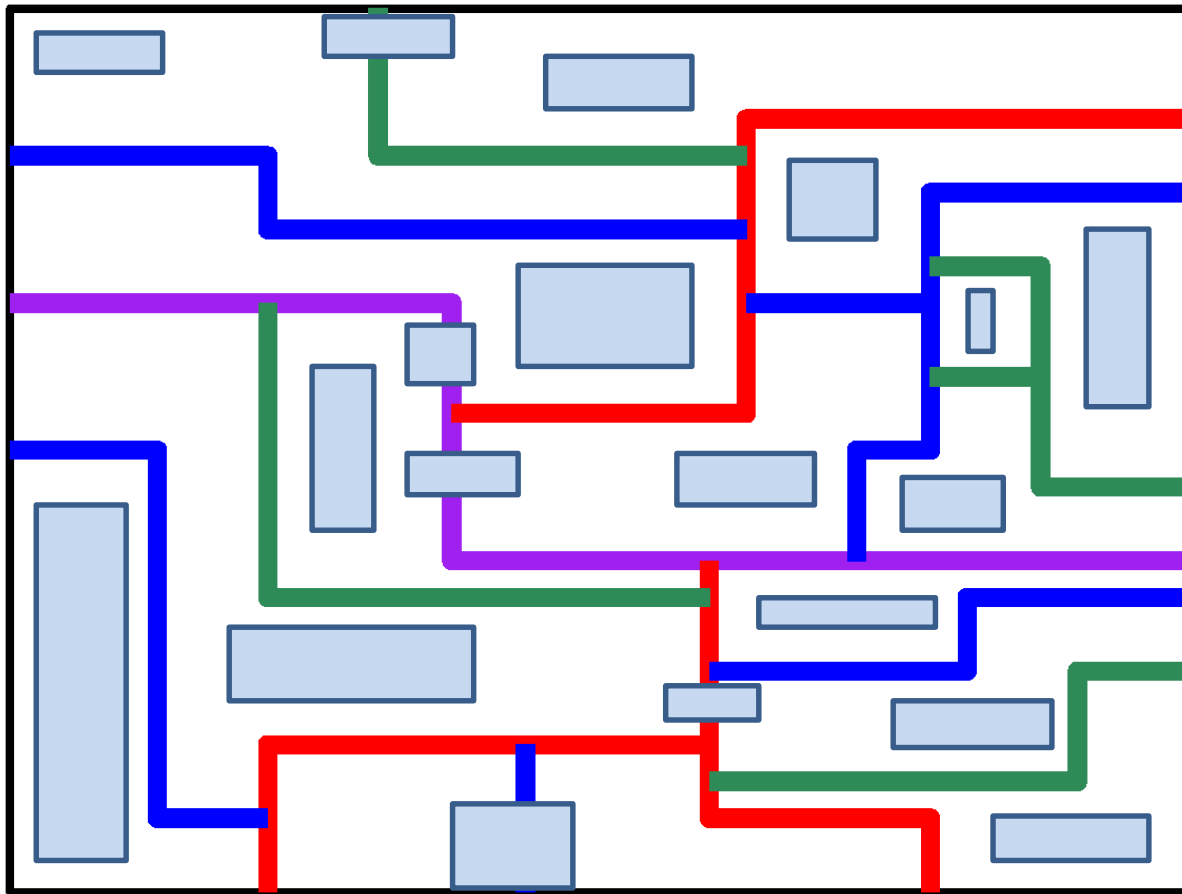
# Nearly Perfect CCR Partition





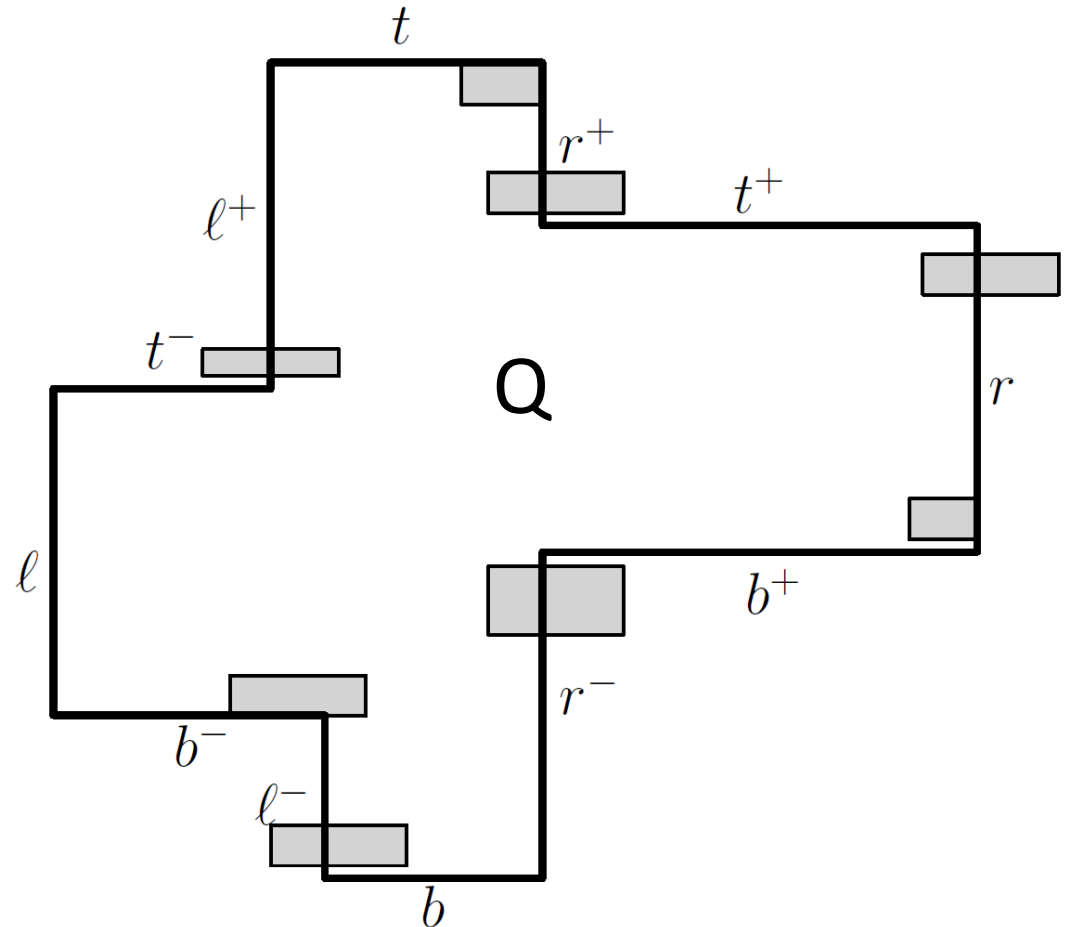
# The Structure Theorem

**Theorem 3.1.** For any set  $I = \{R_1, \dots, R_k\}$  of  $k$  interior disjoint (axis-aligned) rectangles in the plane within a bounding box  $B$ , there exists a  $K$ -ary CCR-partition of the bounding box  $B$ , with  $K \leq 5$ , recursively cutting  $B$  into corner-clipped rectangles (CCRs), such that the CCR-partition is nearly perfect with respect to a subset of  $I$  of size  $\Omega(k)$  (at least  $k/10$ ). More carefully: at least  $k/3$



# The Algorithm: DP Subproblem

Subproblem  $S=(Q, I_S)$ ,  
where  $I_S$  is a set of  
“special” (specified)  
rectangles, at most 2  
per vertical side of the  
CCR face  $Q$ .



# Dynamic Program

- Optimize over K-ary cuts ( $K \leq 5$ ) for a CCR subproblem,  $S$ , to compute  $f(S)$ , the max cardinality of an indep subset of input rectangles for which there is a nearly perfect CCR-partition

$$f(S) = \begin{cases} 0 & \text{if } \mathcal{R}(S) = \emptyset, \\ \max_{\chi \in \gamma(S), I_\chi} (f(S_1) + \dots + f(S_K) + |I_\chi|) & \text{otherwise,} \end{cases}$$

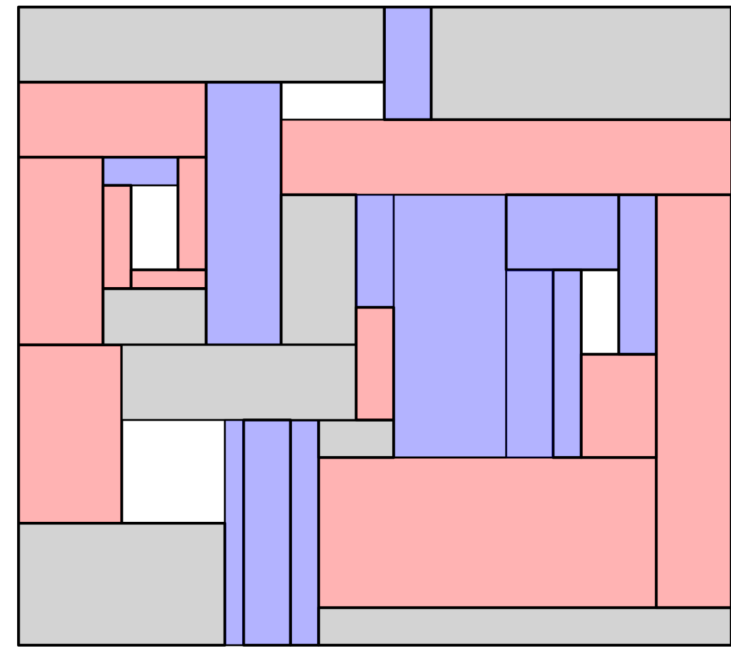
Here,  $I_\chi$  is the set of rectangles (at most 2 per vertical segment of  $\chi$ ) that are penetrated by vertical cut segments and become special rectangles specified for the new subproblems, and  $\gamma(S)$  is the set of all eligible K-ary CCR-cuts

**Theorem 4.1.** *There is a polynomial-time  $(1/10)$ -approximation algorithm for maximum independent set for a set of axis-aligned rectangles in the plane.*

Crudely counted: time is  $O(n^{34})$

# Proof of the Structure Theorem

- Let  $I = \{R_1, R_2, \dots, R_k\}$  be an OPT set
- Let  $I' =$  maximal expansions of  $I$
- $I' = I_h \cup I_v \cup I_0$  (partition)
  - $I_h =$  red (nested horiz)
  - $I_v =$  blue (nested vert)
  - $I_0 =$  gray (not nested)
- WLOG:  $|I_h| \leq k/2$
- # Non-red rectangles  $\geq k/2$



# Proof of the Structure Theorem

- Goal: Keep a subset of  $\Omega(k)$  rectangles of  $I'$ , for which there is a nearly perfect CCR-partition

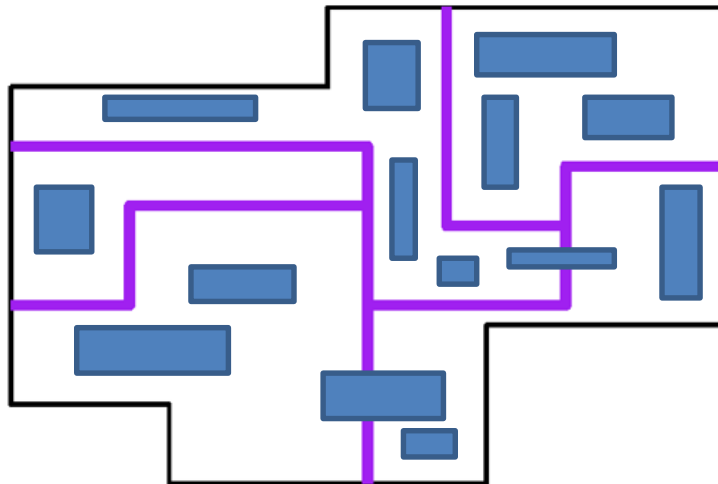
**Claim 5.1.** *If a CCR-partition is nearly perfect with respect to a set  $A' \subset I'$  of maximal rectangles, then it is nearly perfect with respect to the corresponding set  $A \subseteq I$  of input rectangles.*

- Process of selecting a subset of  $I'$  ( $k$  rectangles):
  - Initially, all rectangles of  $I'$  are *active*
  - During process, some rectangles are *discarded*  
Removed from active status
  - Charging scheme argument:  $\leq (9/10)k$  discarded

More carefully: At most  $(2/3)k$  discarded

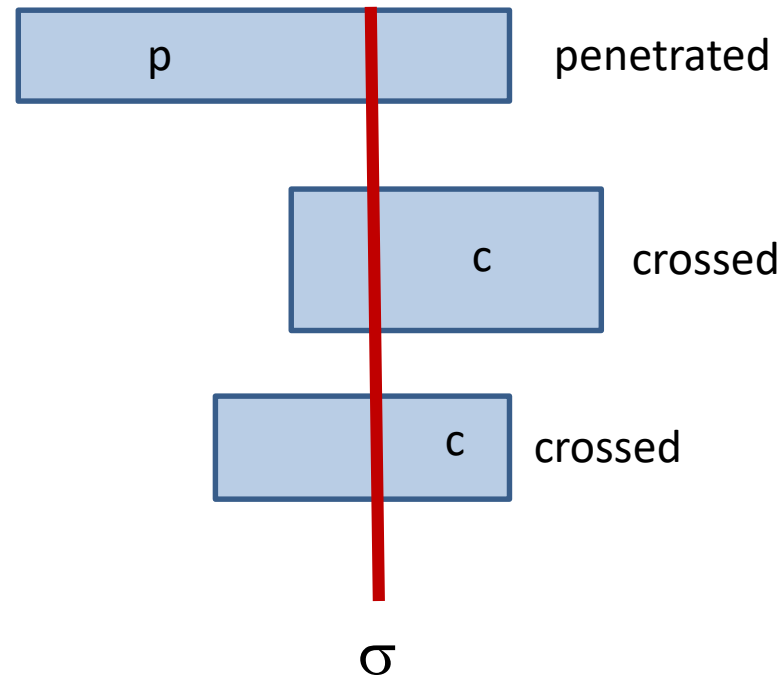
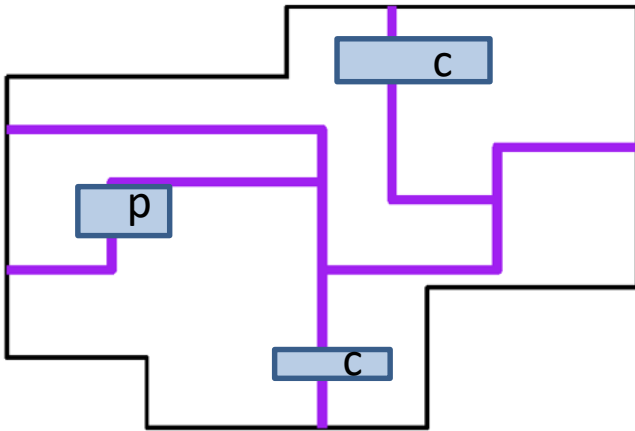
# Process of Cutting; CCR-Partition

- Starting with  $BB(R)$ , we recursively partition faces of a CCR-partitioning during the process
- Face  $Q$ : If  $Q$  has  $>1$  rectangle within it, we partition it with a cut  $\chi$  into at most 5 subfaces

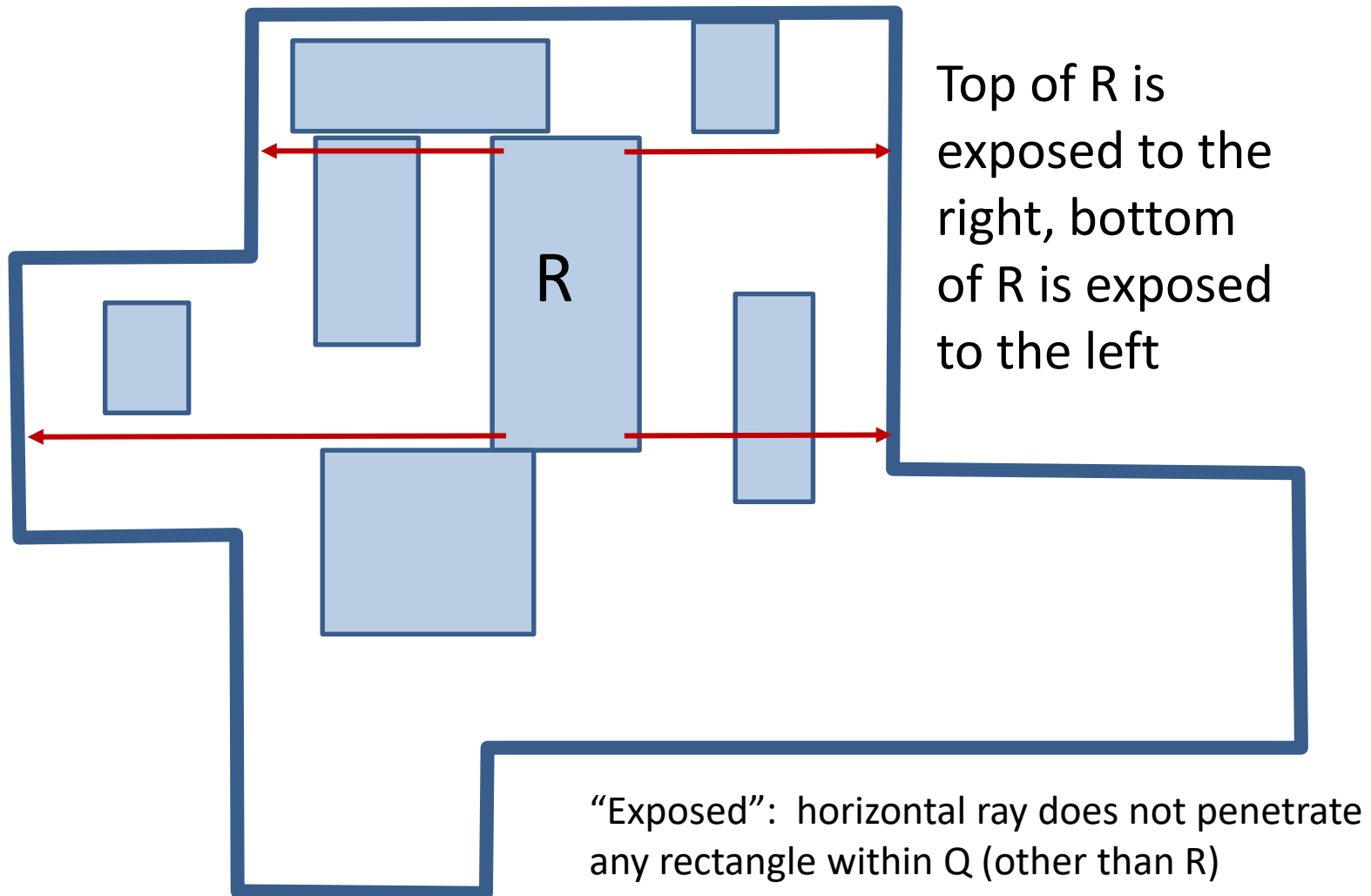


# Properties of a Cut

- cut  $\chi$  : consists of horizontal/vertical portions
  - Horizontal does not penetrate any rectangle
  - Vertical portion  $\sigma$ :
    - (will always be subsets of “fences”)

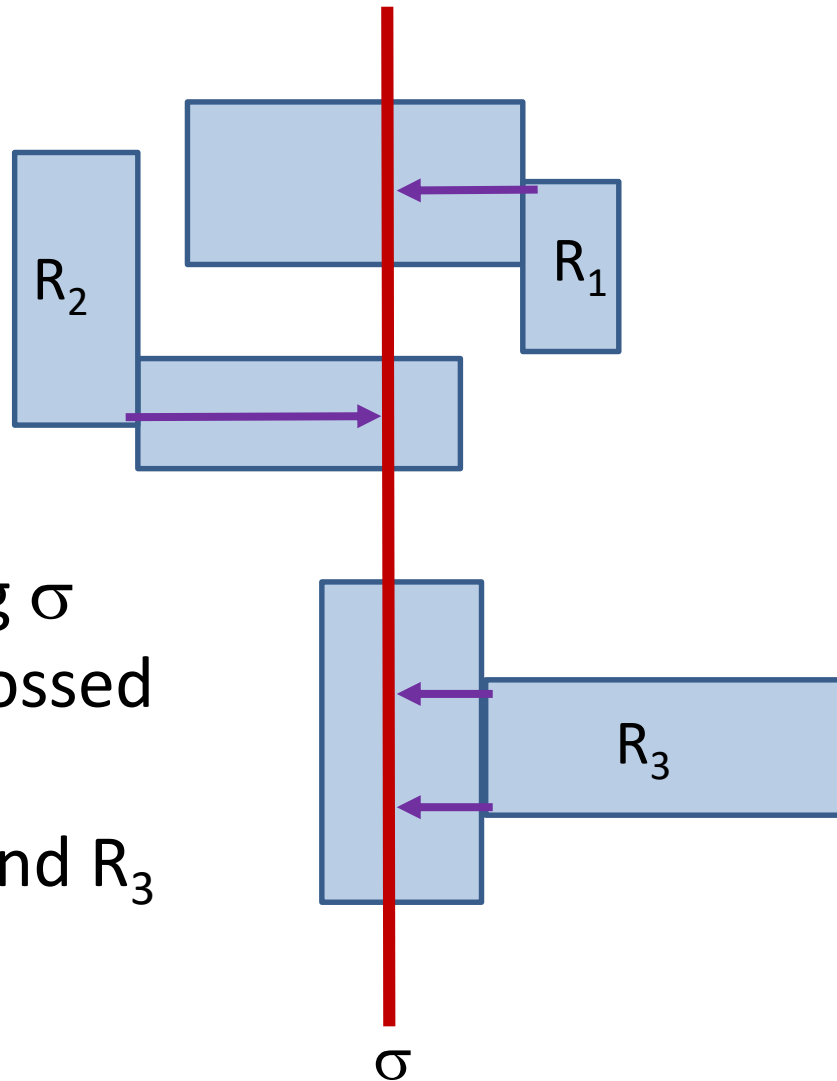


# Notion of Being “Exposed”



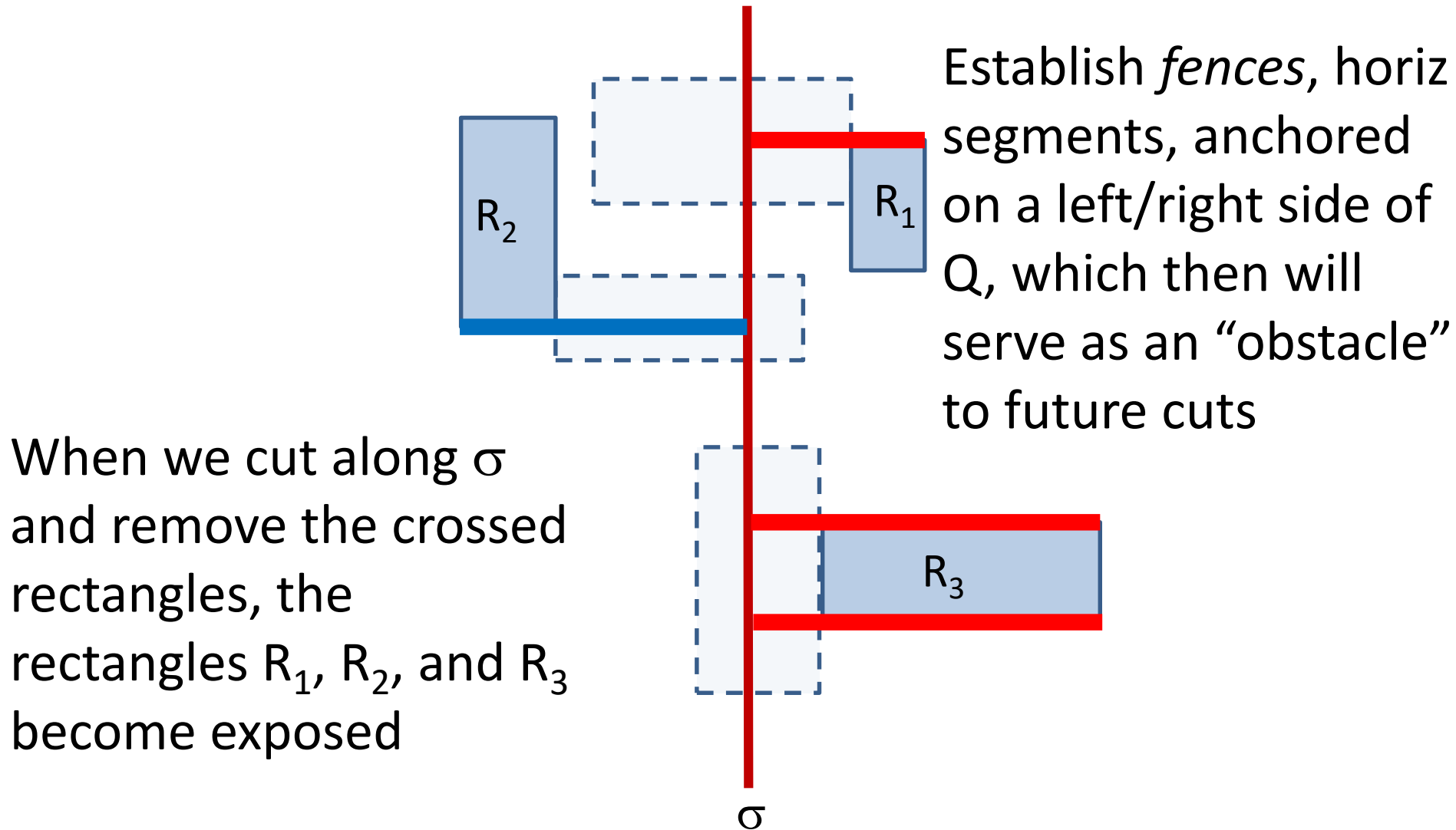


# A Cut Exposes Some Rectangles



When we cut along  $\sigma$  and remove the crossed rectangles, the rectangles  $R_1$ ,  $R_2$ , and  $R_3$  become exposed

# A Cut Exposes Some Rectangles



# Example: Cut $\sigma$ Exposes Tops/Bottoms; Fences



# Fence Invariant

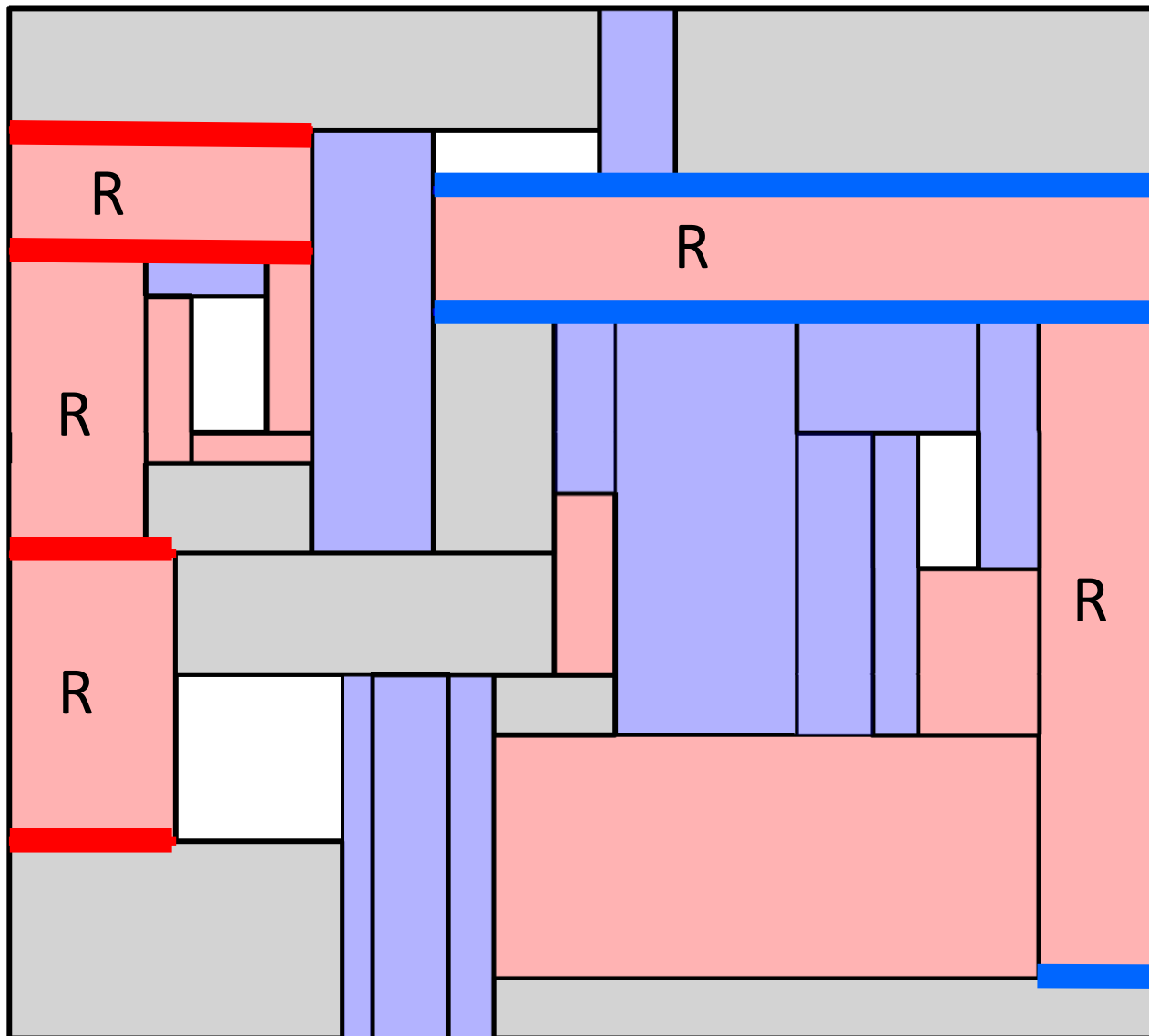
We establish fence (obstacle) segments to maintain the following invariant:

## **Fence Invariant**

For any face  $Q$  and rectangle  $R$  within  $Q$ , if  $R$  is exposed to left/right (on its top or bottom), there is a fence (horizontal segment obstacle) established that anchors  $R$  to the left/right

# Initial Fences

Anchored  
rectangles R



# Key Technical Lemma

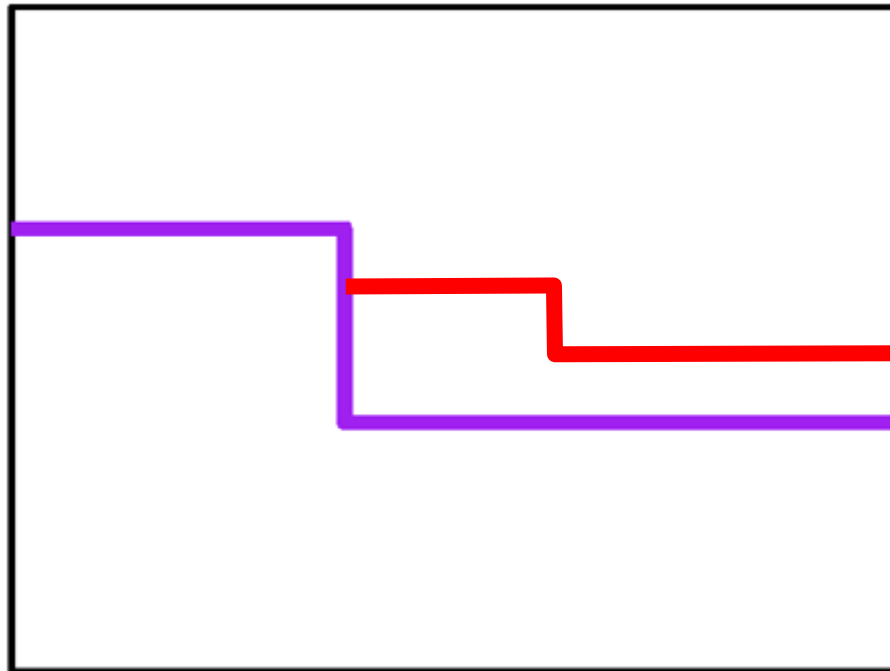
**Lemma 5.2.** *Let  $I'$  be a set of maximal rectangles associated with an independent set  $I$  of rectangles. Let  $Q$  be a CCR whose edges lie on the grid lines of  $\mathcal{G}$ , defined by the coordinates of the rectangles  $I'$  (and thus of  $I$ ). Let  $\{\alpha_1, \dots, \alpha_{k_\alpha}\}$  be a set of “red” horizontal anchored (grid) segments within  $Q$ , that are anchored with left endpoints on the left sides ( $\ell$ ,  $\ell^+$ , or  $\ell^-$ ) of  $Q$ , and let  $\{\beta_1, \dots, \beta_{k_\beta}\}$  be a set of “blue” horizontal anchored (grid) segments within  $Q$ , that are anchored with right endpoints on the right sides ( $r$ ,  $r^+$ , or  $r^-$ ) of  $Q$ . Then, assuming that  $Q$  contains at least two grid cells (faces of  $\mathcal{G}$ ), there exists a CCR-cut  $\chi$  with the following properties:*

- (i)  $\chi$  partitions  $Q$  into  $O(1)$  (at most 5) CCR faces;
- (ii)  $\chi$  is comprised of  $O(1)$  horizontal/vertical segments on the grid  $\mathcal{G}$ , with endpoints on the grid;
- (iii) horizontal cut segments of  $\chi$  are a subset of the given red/blue anchored segments;
- (iv) vertical cut segments of  $\chi$  do not cross any of the given red/blue anchored segments;
- (v) there are at most 2 vertical cut segments of  $\chi$ .

For any set of horiz segments (fences) anchored on the left/right of a CCR face  $Q$ , there exists a cut, with  $O(1)$  horiz/vert segments partitioning  $Q$  into at most 5 CCR subfaces, with horiz cut segments contained within the fences (and thus not penetrating any rectangle), and at most 2 vertical cut segments, not crossing any fences.

# Care Is Needed

Not enough just to use straight, “L”, and “Z” cuts, since we must create CCR faces with the cuts



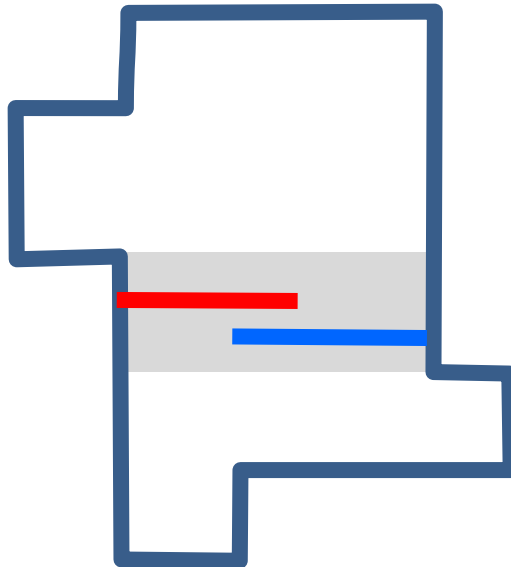
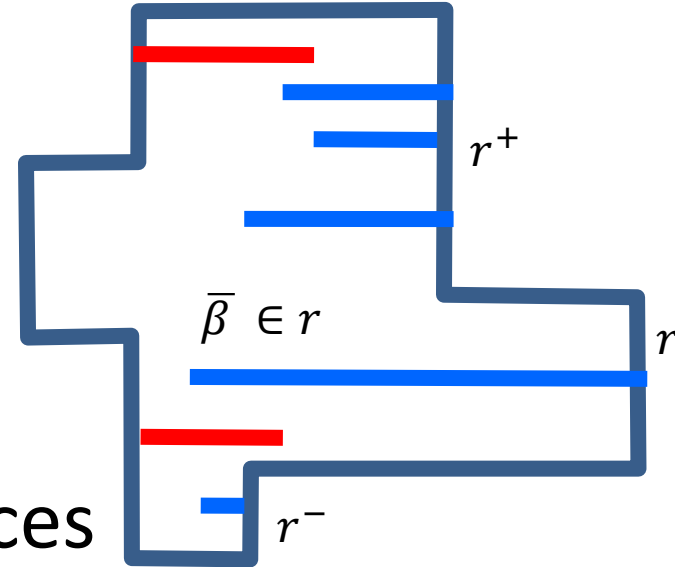
# Proof: Case Analysis

(1)  $\bar{\beta} \in r$   $\bar{\beta}$  = right anchored fence with leftmost left endpoint

(2)  $\bar{\beta} \in r^+$  (symmetric:  $\bar{\beta} \in r^-$ )

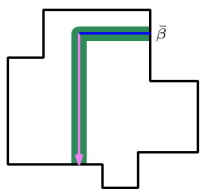
(3) Mid (gray) has no vertical

Separation between left/right fences

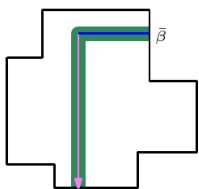




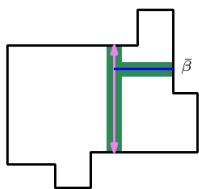




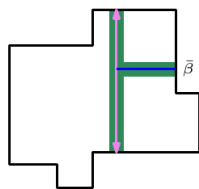
(2)(a)



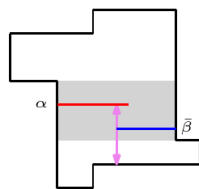
(2)(a)



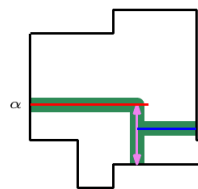
(2)(b)(i)



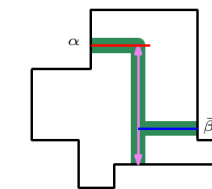
(2)(b)(i)



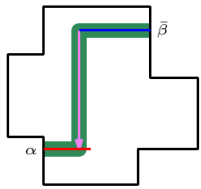
(2)(b)(ii)



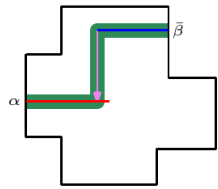
(2)(b)(iii)



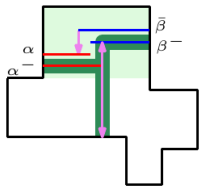
(2)(b)(iii)



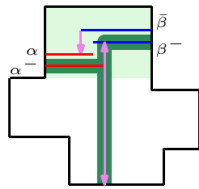
(2)(c)



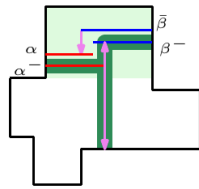
(2)(c)



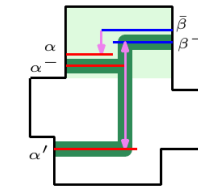
(2)(d)(i)



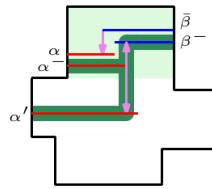
(2)(d)(i)



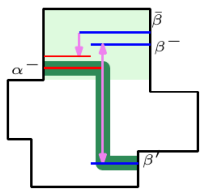
(2)(d)(i)



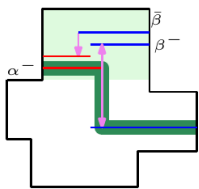
(2)(d)(ii)



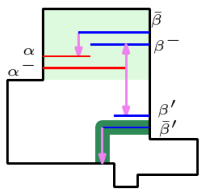
(2)(d)(ii)



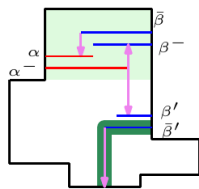
(2)(d)(iii)



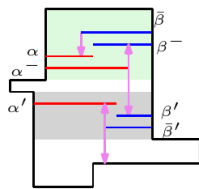
(2)(d)(iii)



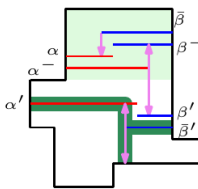
(2)(d)(iv)(A)



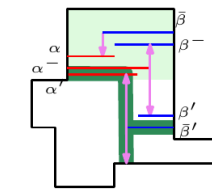
(2)(d)(iv)(A)



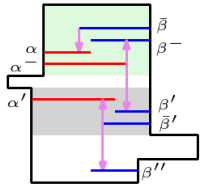
(2)(d)(iv)(B)(I)



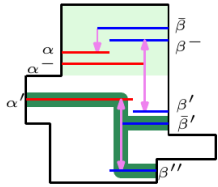
(2)(d)(iv)(B)(II)



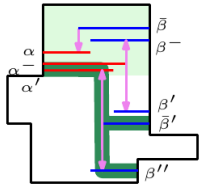
(2)(d)(iv)(B)(II)



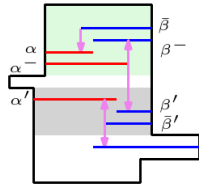
(2)(d)(iv)(C)(I)



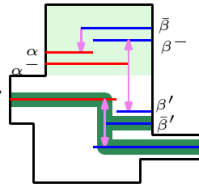
(2)(d)(iv)(C)(II)



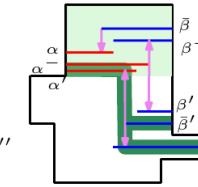
(2)(d)(iv)(C)(II)



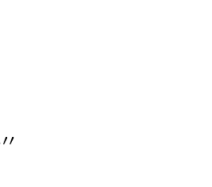
(2)(d)(iv)(D)(I)



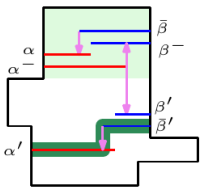
(2)(d)(iv)(D)(II)



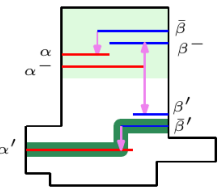
(2)(d)(iv)(D)(II)



(2)(d)(iv)(D)(II)

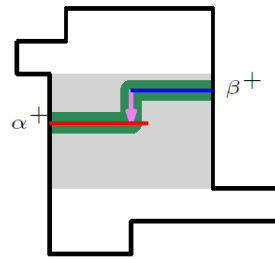


(2)(d)(iv)(E)

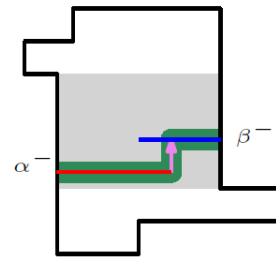


(2)(d)(iv)(E)

Technical Lemma  
Case (2)  $\bar{\beta} \in r^+$

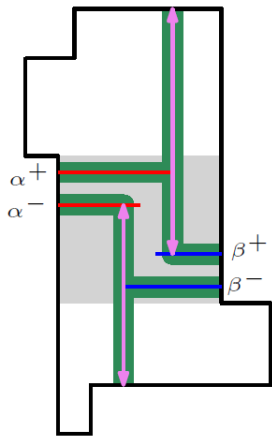


(3)(a)

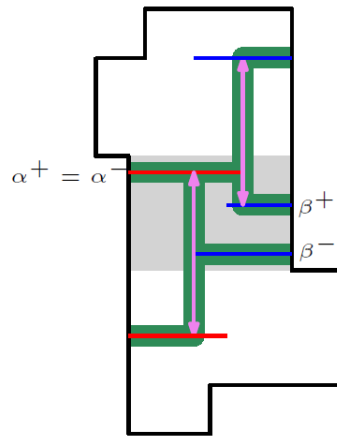


(3)(b)

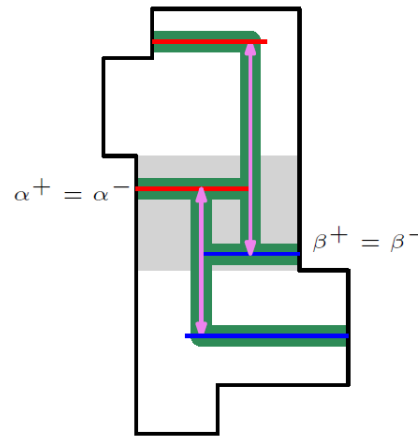
Result in K=5 pieces



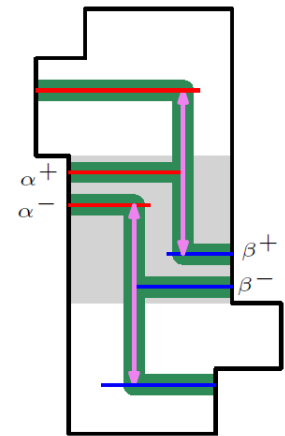
(3)(c)



(3)(c)



(3)(c)

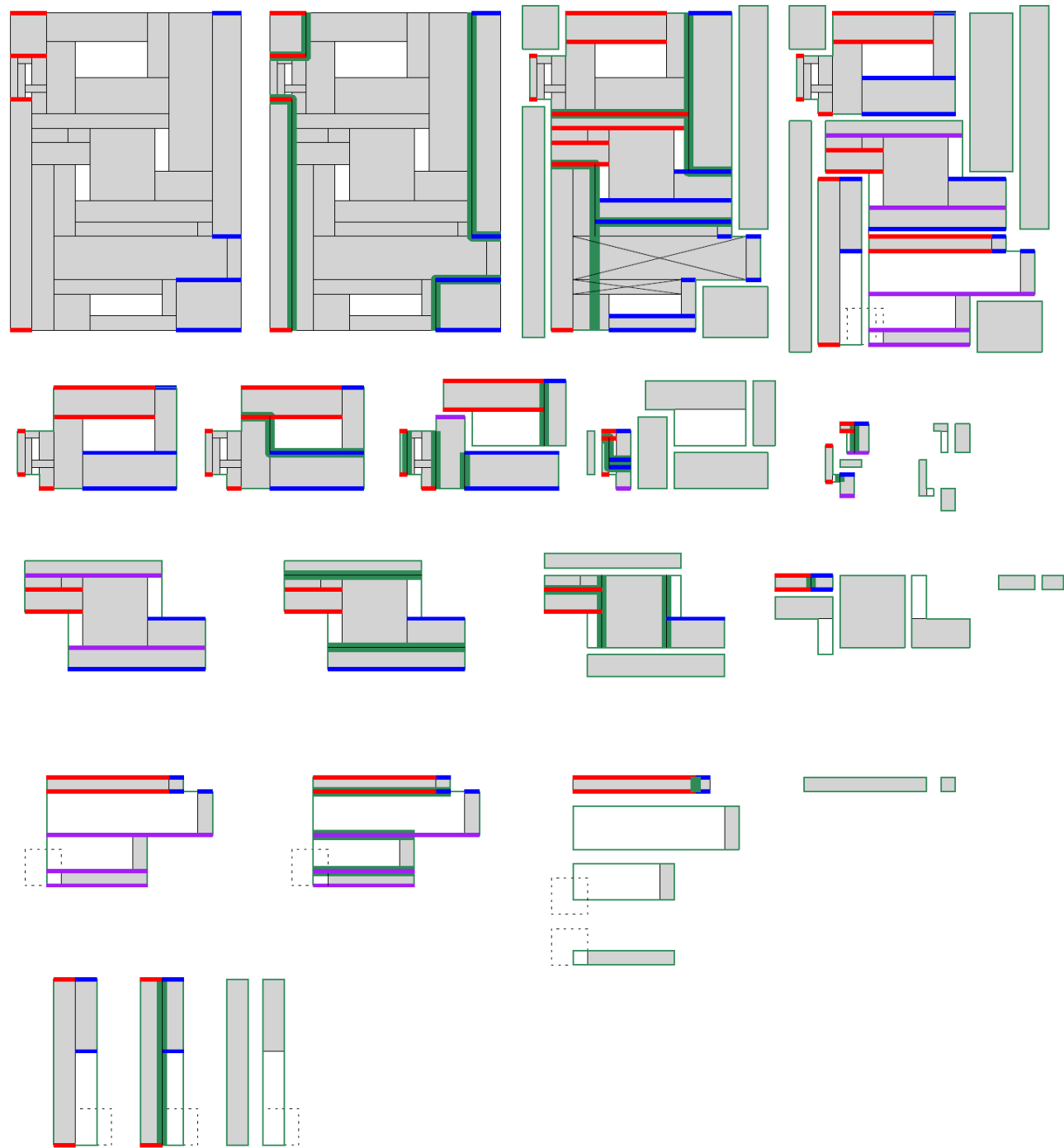


(3)(c)

## Technical Lemma

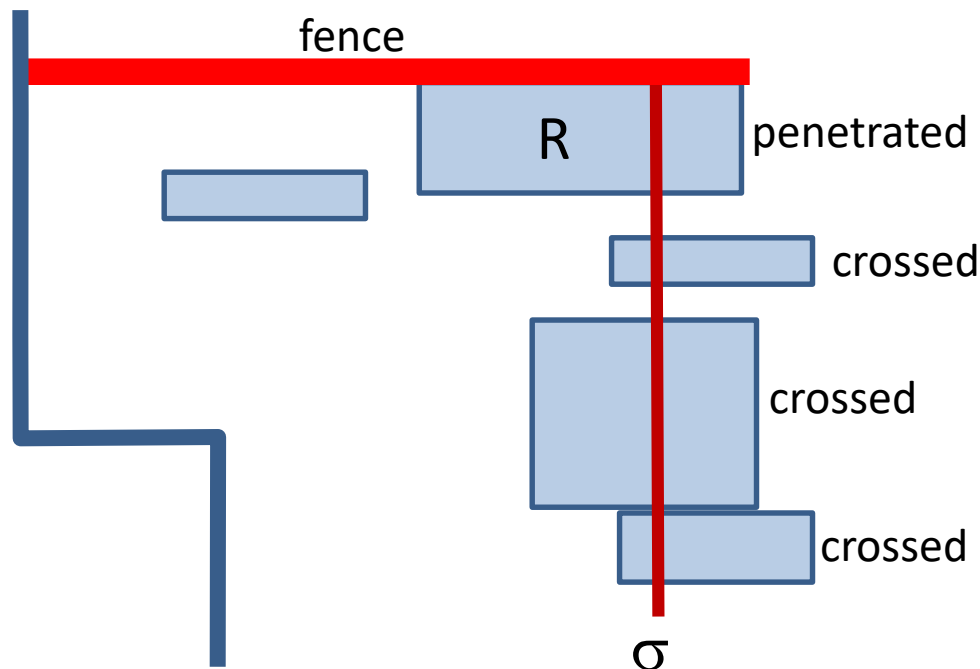
Case (3) Mid (gray) region has no vertical cut separating left/right fences

# Example



# Fences, Anchored Rectangles Are Not Cut

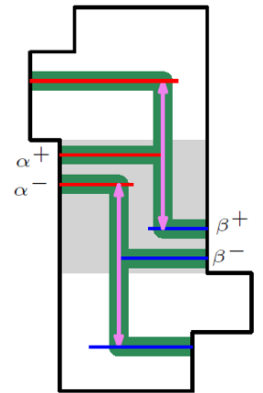
- As a result of the Technical Lemma and the Fence Invariant, no anchored rectangle  $R$  is ever *crossed* by a (vertical) cut segment (it may be penetrated)



# Vertical Cut Segments

- Since at most 2 vertical cut segments in any cut provided by the Technical Lemma case analysis, for any vertical cut segment  $\sigma$ , to  $\geq 1$  of its sides (left or right) there is no other vertical cut segment of the cut

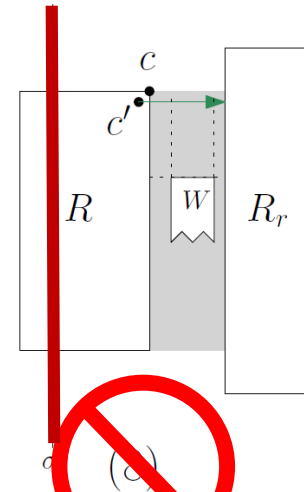
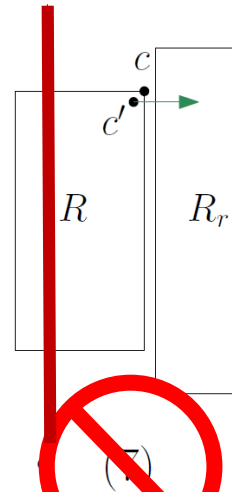
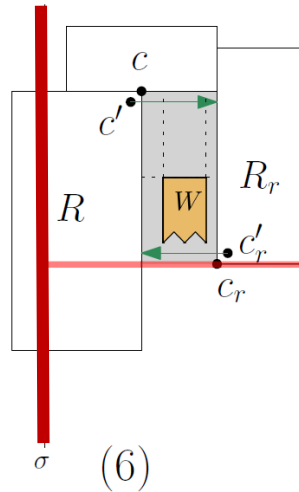
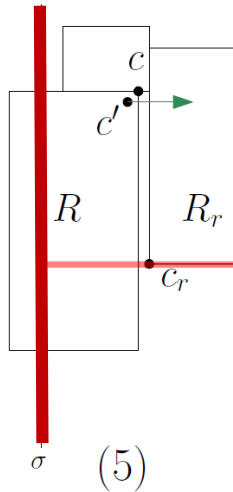
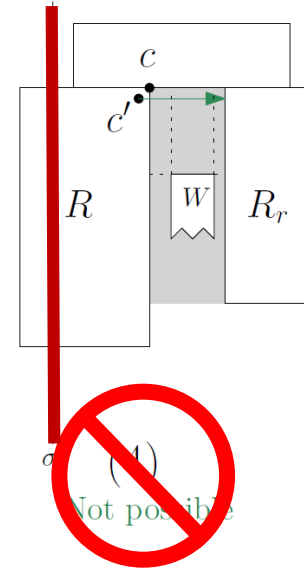
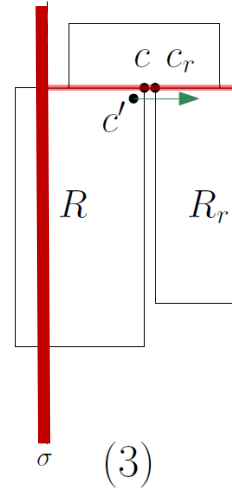
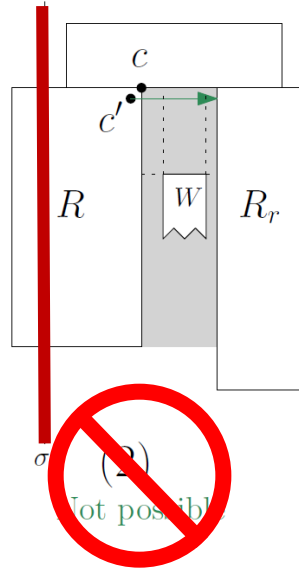
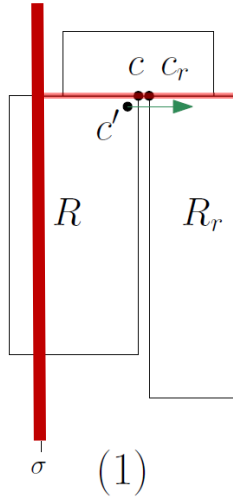
WLOG: No vert cut segment to the right of  $\sigma$



- Goal: Charge off non-red rectangles that are crossed by vertical cut segment  $\sigma$

# Charging Off a Non-Red Crossed Rectangle, $R$

WLOG: No vert cut segment to the right of  $\sigma$



Note:  $R_r$  is **not** nested on its left

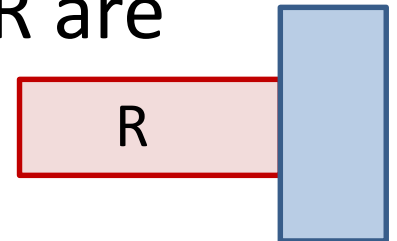
# Charging Properties

- No corner is ever charged more than once
- If we charge a corner,  $c$ , of  $R_r$ , then  $R_r$  has not previously been crossed (and discarded)

$R$  would have become exposed; fence

- If we charge a corner,  $c$ , of  $R_r$ , then  $R_r$  will not subsequently be crossed (since a fence is established)
- At most 2 corners of a red rectangle  $R$  are charged (left ones or right ones)

In cases (1),(3),(5),(6), the charged rectangle  $R_r$  is **not** nested on its left





# Accounting for Rectangles that are Cut/Crossed

- Red rectangles:  $h_0$  uncut;  $h_x$  are cut (discarded)
- Non-red rect:  $m_0$  uncut;  $m_x$  are cut (discarded)
- Goal: Show that  $h_0 + m_0 \geq k/10$
- Charge of “1” for each cut non-red rectangle
- Total charge =  $m_x \leq 2h_0 + 4m_0$ 
  - Only uncut rectangles are assigned charge
  - $\leq 2$  corners of red rectangle charged
  - $\leq 4$  corners of non-red rectangle charged

# Accounting for Rectangles that are Cut/Crossed

- Total charge =  $m_\chi \leq 2h_0 + 4m_0$

Thus,

Recall:  $m_0 + m_\chi \geq k/2$  (WLOG)

$$4(h_0 + m_0) \geq m_\chi + 2h_0 = (m_0 + m_\chi) + 2h_0 - m_0 \geq (k/2) + 2h_0 - m_0$$

$$2h_0 + 5m_0 \geq k/2,$$

$$5(h_0 + m_0) - 3h_0 \geq k/2.$$

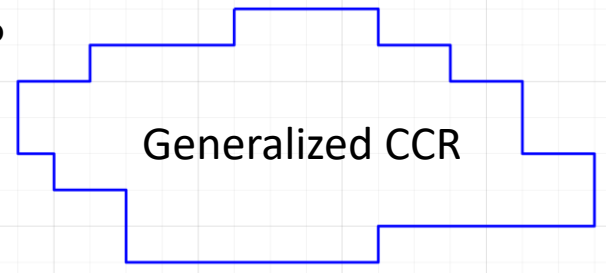
$$5(h_0 + m_0) \geq k/2,$$

Thus,  $h_0 + m_0 \geq k/10$

QED

# Conclusion

- Improve the approx factor and/or running time
- PTAS?
  - Better than factor 3? 2?
- Weights
  - $O(\log n / \log \log n)$ -approx [Chan, Har-Peled]
  - $O(\log \log n)$ -approx [Chalermsook, Walczak, SODA21]
- Higher dimensions?
- Pach-Tardos conjecture about perfect BSP's



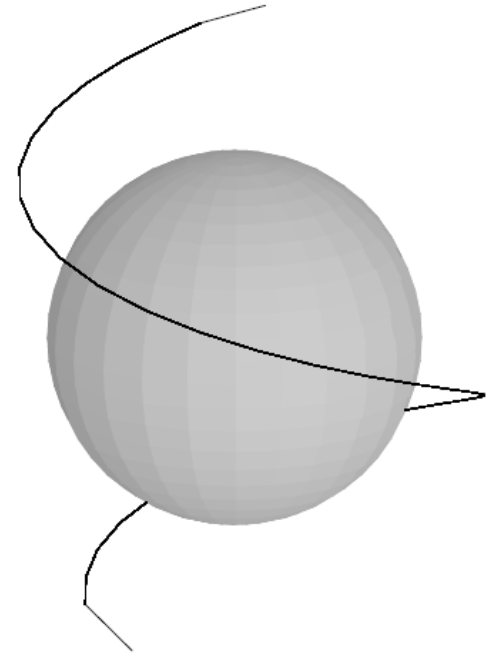
**Conjecture 1.** *For any set of  $n$  interior-disjoint axis-aligned rectangles in the plane, there exists a subset of size  $\Omega(n)$  that has a perfect orthogonal BSP.*

# Problem Discussed

- Added 3 slides about the problem mentioned: Find a shortest path/cycle in outer space in order to do a visibility coverage of planet earth

# External Watchman **Path** for a Sphere

- Short **Path**  
Length 11.08



Two segments and a spiral:

$$\{(\underline{(1 - at^2)} \sin(b\pi t), \underline{(1 - at^2)} \cos(b\pi t), ct) \mid -1 \leq t \leq 1\}$$

Fatten spiral  
near middle

$$a = 0.4, \quad b = 1.18, \quad c = 1.12, \quad x_0 = -0.37, \quad y_0 = -0.199, \quad z_0 = 1.24$$

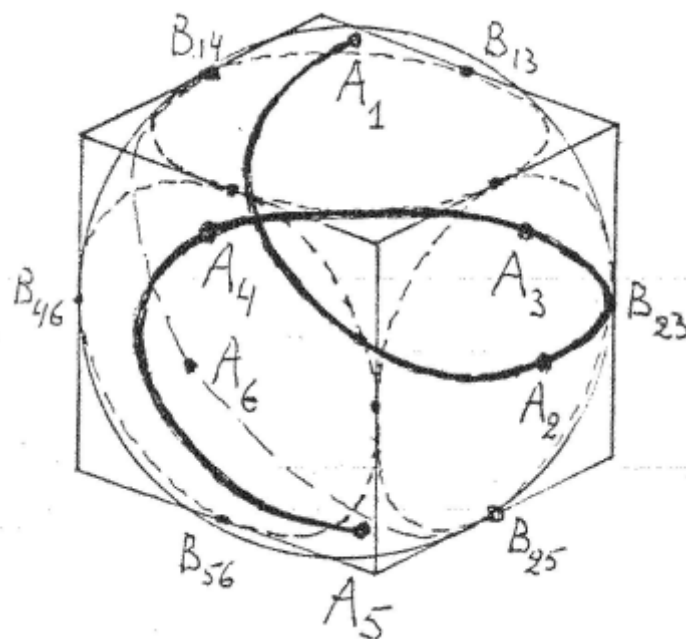
By computer search

**The Asteroid Surveying Problem and Other Puzzles**

[SoCG'03 video]

# External Watchman **Path** for a Sphere

- Short **Path**  
Length 10.726



a "rather short" inspection curve that lies at the constant altitude of  $\sqrt{2} - 1$

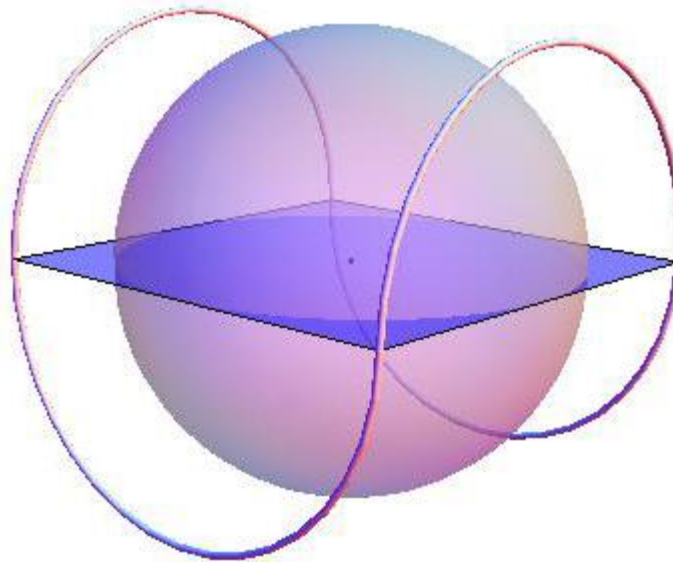
$$L = \pi(2 + \sqrt{2}) \approx 10.726$$

SHORTEST INSPECTION CURVES FOR THE SPHERE

# External Watchman **Cycle** for a Sphere

Shortest **Cycle** ?

"Shortest Inspection  
Curves for the Sphere"  
V. A. Zalgaller



"baseball stitch curve"

[discussions: Jin-ichi Itoh, Joe  
O'Rourke, Anton Petrunin, Y. Tanoue,  
Costin Vilcu]

108 double stitches

